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# China Family Panel Studies

## 2012 Questionnaire



Institute of Social Science Survey, Peking University

April, 2012

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## **Introduction to the China Family Panel Studies**

The China Family Panel Studies (CFPS) collect data on individuals, families, and communities over multiple time periods from a nationally-representative sample in order to capture social development and changes in China, and provide valid information sources for society, systematic and scientific data for academic research, and scientific evidence for government policy decisions.

In order to obtain accurate data, please answer the interview questions based upon your actual situation. We apologize for any inconvenience this may bring to your life and work and appreciate your understanding and support of our work.

In accordance with Article 25 of Chapter 3 of the *Statistics Law of the People's Republic of China*, the information you provide will not be released without your consent. Your personal information will not be identified in published scientific research, policy analysis, or opinion review. Such publications will present only aggregate information, ensuring the confidentiality of your personal information.

This is the first round of follow-up survey of the CFPS carried out by Peking University. All the interviewers and supervisors have received professional training. They have adequate knowledge about the relevant content in the *Statistics Law of the People's Republic of China*, and will abide by the law.

All the interviewers and supervisors wear standard identifications issued by Peking University. If you have any concern about an interviewer's identity, you are welcome to call 010-62767908 / 400-626-1069 at any time for verification.

Thank you for your support.

Peking University  
April, 2012

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# China Family Panel Studies

## 2012 Screening Questionnaire

**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, “[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual.”



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April, 2012

X1 “[CAPI] Load the name of the respondent of the 2010 Family Roster Questionnaire” Is this person you or your family member?

1. Yes                      5.No

[CAPI] Soft Check:X1=1. Interviewer’s Note: Double check in case the response is 5.

X101 On “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was accomplished”, were you interviewed for PKU’s *China Family Panel Studies*?

1. Yes (End the screening; the CAPI system automatically generates a “1001” code)  
5.No (Continue to X2)

X2 Is any of the following persons a member of your/“[CAPI] Load the name of the respondent of the 2010 Family Roster Questionnaire” ’s family? [Select all that apply]

[CAPI]

#01 Load the list of family members in T1, except the respondent of the 2010 Family Roster Questionnaire.

#02 Add “78. None of the above” as a mutually exclusive option.

#03 If anyone in T1 is selected, then the screening is completed; the CAPI system automatically generates a “1001” code.

X201 When did your/“[CAPI] Load the name of the respondent of the 2010 Family Roster Questionnaire” ’s family move to this address? \_\_\_\_\_Year (1900...2012) \_\_\_\_\_Month (1...12)

[CAPI] If respondent answers “Don’t know” or “Refuse to answer”, continue to next question.

X202 Did your family begin to live at this address before “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was accomplished”?

1. Yes                      5.No

[Prompt] Pause the interview and log out of the interview system. Explain to the respondent and check the address information with the Institute of Social Science Survey.

[CAPI]The CAPI system automatically generates a “8901” code, and enters the case into the review system.

# China Family Panel Studies

## 2012 Family Roster Questionnaire

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April, 2012

## I Choose a Repondent

RESP Next we will complete a family questionnaire. It will collect some basic information of each family member and some information about your family's economic and financial conditions. Who will be the most suitable person in your family to answer this questionnaire?

Interviewer's Note:

- (1) Priority is given to those listed below and who are confirmed as family members in the 2010\_T1.
- (2) Priority is given to adults (aged 18 or above).

[CAPI] Load the list of all family members in *CFPS2010\_T1\_1\_alive* (excluding the decedent in 2011 wave) and "77.Others (please specify)".

## II Verify Original Family Members

### Information Correction

[CAPI] Load one by one the information of family members whose names are incorrect in *CFPS2010\_T1\_1\_alive*.

CKP1 According to our records, is there a member in your family named "Name A" or "Name B"? What is his/her name on the ID card? \_\_\_\_\_

Interviewer's Note: If the person has no ID card, record the name on the household registration.

[Data] Update the corrected names in the system and create an updated form of *CFPS2010\_T1\_1\_alive\_v1*

CKP2 According to our records, when we interviewed you on "[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was accomplished", the following people were part of your family: "[CAPI] Load all names in *CFPS2010\_T1\_1\_alive\_v1*". Does any family member's name require correction? [Select all that apply]

[CAPI] Load all names in *CFPS2010\_T1\_alive\_1\_v1*.

[CAPI] Ask CKP3 for each chosen people.

CKP3 The name on ID card of "[CAPI] Load the name of the people chosen in CKP2" is \_\_\_\_\_

Interviewer's Note: If the person has no ID card, record the name on the household registration.

[CAPI] Replace the recorded name with the corrected one and form the updated "*CFPS2010\_T1\_1\_alive\_UPDATED*".

### Status of Original Family Members

E1 Among the following members, who is still living in this family, including short-term absence? [Select all that apply]



- #1 If E2=1, 2, or 7, skip to E3;  
 #2 If E2=3, 5, 6, 8, 9, 11, or 12, skip to the next non-coresident family member;  
 #3 If E2=10, skip to E9(cause of death).  
 #4 If E2=4, continue to E2X.

[Data]

- #1 If E2=4, this person is treated as a “Non-coresident Family Member” and should be interviewed;  
*Rtype=7.*  
 #2 If E2=8, 9, 11, or 12, this person is treated as a member of a “Newly-formed Family” and should be interviewed; *Rtype=8.*  
 #3 If E2=3, 5, or 6, this person is treated as a “Non-coresident Family Member” and will not be interviewed; *Rtype=9.*  
 #4 If E2=10, this person is treated as a “Passed away Family Member” and will not be interviewed;  
*Rtype=11.*

E2X Interviewer fills in the detailed information if selecting option “4” in E2:

Interview Note: Do not read out this, choose it depending on what you know from respondent.

1. Visit friends
2. Run away from home
3. Economically related but lived elsewhere in 2010
77. Other\_\_\_\_\_ [please specify]

[CAPI]Continue to next non-coresident family member.

E3 Has “[CAPI] Load the family member’s name” ever married?

Interviewer’s Note: Cohabitation is treated as married

1. Yes
5. No

[CAPI]

- #1 “Refuse to answer” or “Don’t know” is not allowed.  
 #2 If E2=1 and E3=1, continue to E301;  
 #3 If E2=1 and E3=5, skip to E304;  
 #4 If E2=2 or 7 and E3=1, continue to E301;  
 #5 If E2=2 or 7 and E3=5, skip to E302.

E301 Is the spouse or any child of “[CAPI] Load family member’s name” living in this family?

Interviewer’s Note: Select 1 if either the spouse or any child, or both are living in this family.

1. Yes
5. No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.  
 #2 If E301=1, skip to next family member;  
 #3 If E301=5:  
 1) If E2=1, skip to E304;  
 2) If E2=2 or 7, continue to E302.

[Data]

- #1 If E2=4 and E301=1, this person is treated as a “Non-coresident Family Member” and should be interviewed; *Rtype=7.*

#2 If E2=2 and E301=1, this person is treated as a “Non-coresident Family Member” and should be interviewed; *Rtype* =7.

#3 If E2=7 and E301=1, this person is treated as a “Non-coresident Family Member” and will not be interviewed; *Rtype*=9.

E302 Does “[CAPI] Load the family member’s name” have a formal job?

1. Yes (continue to E303)      5. No (skip to E304)

[CAPI] “Refuse to answer” or “Don’t know” is not allowed.

E303 Is “[CAPI] Load the family member’s name” raising the family?

1. Yes (continue to next non-coresident family member)  
5. No (continue to next non-coresident family member)

F1: “Raising the family” means the family cannot sustain its material life without his/her income.

[CAPI] “Don’t know” or “Refuse to answer” is not allowed.

[Data]

#1 If E2=2 and E303=1, this person is treated as a “Non-coresident Family Member” and should be interviewed; *Rtype*=7.

#2 If E2=2 and E303=5, this person is treated as a member of a “Newly-formed Family” and should be interviewed; *Rtype*=8.

#3 If E2=7 and E303=1, this person is treated as a “Non-coresident Family Member” and will not be interviewed; *Rtype*=9.

#4 If E2=7 and E303=5, this person is treated as a member of a “Newly-formed Family” and will not be interviewed; *Rtype*=10.

E304 Does the family need to provide economic support to “[CAPI] Load the family member’s name”?

1. Yes (continue to next non-coresident member)  
5. No (continue to next non-coresident member)

[CAPI] “Don’t know” or “Refuse to answer” is not allowed.

[Data]

#1 If E2=1 and E304=1, this person is treated as a “Non-coresident Family Member” and should be interviewed; *Rtype*=7.

#2 If E2=1 and E304=5, this person is treated as a member of a “Newly-formed Family” and should be interviewed; *Rtype*=8.

#3 If E2=2 and E304=1, this person is treated as a “Non-coresident Family Member” and should be interviewed; *Rtype*=7.

#4 If E2=2 and E304=5, this person is treated as a member of a “newly-formed family” and should be interviewed; *Rtype*=8.

#5 If E2=7 and E304=1, this person is treated as a “Non-coresident Family Member” and will not be interviewed; *Rtype*=9.

#6 If E2=7 and E304=5, this person is treated as a member of a “Newly-formed Family” and will not be interviewed; *Rtype*=10.

[CAPI] Continue to next non-coresident member.

## Cause of Death

E9 When did “[CAPI] Load family member’s name” die? \_\_Year (2010...2012) \_\_Month (1...12)

E901 What was the cause of the death of “[CAPI] Load the family member’s name”?

Interviewer’s Note: Press the space key, load the *CFPS Classification of Causes of Death*, and then enter the specific cause(s) of death for this decedent.

[CAPI]

#1 Preload CFPS cause-of-death classification table.

#2 Continue to next non-coresident family member.

## III Confirm the New Member’s Identity

[CAPI] If *faminterv*=1, load in F1 “from [CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed’ till now”; otherwise load “now”.

F1 From “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed” till now/Now, besides “[CAPI] Load the list of all the members living in this family (E101=1)”, is there anyone else living in this family? Short-term absence is treated as living in this family.

[CAPI] Soft Check: F1=1. “Interviewer’s Note: Confirm that there is no new member in this family.”

1. Yes (continue to F101)      5.No (skip to F10)

F1: “Short-term absence” means that the person will return within three months and will live in this family in the long term.

F101 How many new family members are living in this family? \_\_\_\_\_(1...30)

F102 Which new member is included in the name list below? [Select all that apply]

[CAPI]

#01 List the following members for the respondent to choose:

- 1) Individuals whose personal codes start with “2” in *CFPS2010\_T3* (lineal relatives who did not live together before)
- 2) *CFPS2010\_T1\_3* (individuals whose personal codes start with “3” in T1)
- 3) Add the option of “78. None of the above”

#02 “78. None of the above” and the other options are mutually exclusive.

#03 Create a new variable (*newcount*) by subtracting the number of people selected in F102 from F101(i.e. F101-F102).

#04 If a person from *CFPS2010\_T3* is selected in F102, he/she is treated as a “new family member” and should answer a long-form individual questionnaire after the family questionnaire is completed. If a person from *CFPS2010\_T1\_3* is selected in F102, skip to F2.

[Data]

#1 If an individual from *CFPS2010\_T3* is selected in F102, keep his or her personal code starting with “2”.

#2 If an individual from *CFPS2010\_T3* is selected in F102, assign his or her *Rtype*=2.

[CAPI] Show spaces for F103 according to the value of *newcount*

F103 Names of the other “[CAPI] Load the value of *newcount*” new members of your family are\_\_\_\_,\_\_\_\_,\_\_\_\_,\_\_\_\_, respectively.

[CAPI]

#01 Soft Check:  $F103 \neq CFPS2010\_T3$ . Interviewer’s Note: If the name of a new member is the same as an original member, please confirm whether this is a new member.

#02 Soft Check:  $F103 \neq CFPS2010\_T1\_3$ . Interviewer’s Note: If the name of a new member is the same as an original member, please confirm whether this is a new member.

F104 Among “[CAPI] Load the names listed in F103”, who became a member of your family through birth or adoption?

Interviewer’s Note: A stepchild is not treated as adopted.

F1: “Adoption” refers to bringing someone else’s child into the family as a legal son or daughter of one’s own.

[CAPI]

#01 Load the names listed in F103 along with “78. None of the above”.

#02 “78. None of the above” and the other options are mutually exclusive.

[Data] For each new family member, generate a new binary variable *F104\_X* with a value of “1” indicating being a new family member through birth or adoption and “0” being not.

[CAPI]

#1 Load the members in *CFPS2010\_T1\_3* who are also chosen in F102, in addition to those listed in F103; for each of them, ask F2-F9.

#2 Present F2, F3 and F4 in a tabular form.

F2 Who is the father of “[CAPI] Load the new member’s name”?

Interviewer’s Note: If the new member is an adopted/step child, record the foster/step father’s name.

[CAPI]

#1 Load the names of the family members in *T1\_1\_all\_UPDATED* whose personal codes start with “1”, including those who passed away in 2011, and add an option “77. Other” .

#2 Skip pattern:

- 1) If the person chosen in F2 is a member in *CFPS2010\_T1\_1\_all\_UPDATED* whose personal code starts with “1”, record *Father\_gene* =1 (CFPS gene member), and skip to F3.
- 2) If F2=77, record *Father\_gene*=0 (non-CFPS gene member), and continue to F201.

F201 Who is the father of “[CAPI] Load the new member’s name”?

## Interviewer's Note:

- (1) If the new member is an adopted/step child, record the foster/step father's name.
- (2) If the name is unknown, choose "77" and record "Don't know".

## [CAPI]

#1 Load the names of the family members in *CFPS2010\_T3* whose personal codes start with "2", in addition to those listed in F103 (except the new member him/herself). Add an option "77. Other (please specify)".

#2 Continue to F3 after answering F201.

F3 Who is the mother of "[CAPI] Load the new member's name"?

Interviewer's Note: If the new member is an adopted/step child, record the foster/step mother's name.

## [CAPI]

#1 Load the names of the family members in *TI\_1\_all\_UPDATED* whose personal codes start with "1". Add an option "77. Other".

#2 Hard Check:  $F3 \neq F2$  and  $F2 \neq "77"$  or "79". "Interviewer's Note: The new member's father and mother can't be the same person."

#3 Skip pattern:

- 1) If the person chosen in F3 is a member in *CFPS2010\_TI\_1\_all\_UPDATED* whose personal code starts with "1", record *Monther\_gene* =1 (CFPS gene member), and skip to the [CAPI]before F4.
- 2) If  $F3=77$ , record *Mother\_gene*=0 (non-CFPS gene member), and continue to F301.

F301 Who is the mother of "[CAPI] Load the new member's name"?

## Interviewer's Note:

- (1) If the new member is an adopted/step child, record the foster/step mother's name.
- (2) If the name is unknown, choose "77" and record "Don't know".

[CAPI] Load the names of the family members in *CFPS2010\_T3* whose personal codes start with "2", in addition to those listed in F103 (except the new member him/herself). Add an option "77. Other (please specify)".

[CAPI] If  $F104\_X=1$ , then skip to "Determine the new member's identity I"; otherwise, continue to F4.

F4 Who is the spouse of "[CAPI] Load the new member's name"?

Interviewer's Note: If the member has no spouse, then choose "79. Not applicable".

## [CAPI]

#1 Load the names of the family members in *TI\_1\_all\_UPDATED* whose personal codes start with 1. Add options "77. Other" and "79. Not applicable (without spouse)" [Do not read out].

#2 Skip pattern:

- 1) If the person chosen in F4 is a member in *CFPS2010\_TI\_1\_all\_UPDATED* whose personal code starts with "1", record *Spouse\_gene*=1 (CFPS gene member), and skip to "Determine the new member's identity I".
- 2) If  $F4=77$ , record *Spouse\_gene*=0 (non-CFPS gene member), and continue to F401.

3) If F4=79, skip to F5.

F401 Who is the spouse of “[CAPI] Load the new member’s name”?

[CAPI]

#1 Load the names of the family members in *CFPS2010\_T3* whose personal codes start with 2, in addition to those listed in F103 (except the new member him/herself). Add an option “77. Other (please specify)”.

#2 Continue to F5 after answering F401.

F5 Who is the child of “[CAPI] Load the new member’s name”? [Select all that apply]

Interviewer’s Note: If the member has no child, then choose “79. Not applicable”.

[CAPI]

#1 Load the names of the family members in *TI\_1\_all\_UPDATED* whose personal codes start with “1”. Add options “77. Other” and “79 Not applicable” [Do not read out].

#2 Skip pattern:

- 1) If the person chosen in F5 is a member in *CFPS2010\_TI\_1\_all\_UPDATED* whose personal code starts with 1, record *Child\_gene*=1 (CFPS gene member), and skip to “Determine the new member’s identity I”.
- 2) If F5= 77, record *Child\_gene*=0 (non-CFPS gene member), and continue to F501.
- 3) If F5= 79, sip to “Determine the new member’s identity I”.

F501 Who is the child of “[CAPI] Load the new member’s name”? [Select all that apply]

Interviewer’s Note: If there are several children not listed in the table, please record all of them in “77. Other (please specify)”.

[CAPI] Load the names of the family members in *CFPS2010\_T3* whose personal codes start with “2”, in addition to those listed in F103 (except the new member him/herself). Add an option “77. Other (please specify)”.

[CAPI] “Determine the new member’s identity I”

#1 When F104\_X=1 (enter the family through birth or adoption):

- 1) Whoever has *Mother\_gene*=1 or *Father\_gene*=1 (CFPS gene member) is treated as a “new family member (CFPS gene member)”, and should be interviewed using a long-form individual questionnaire after the family questionnaire is completed. Skip to the next new member after F501.
- 2) 2) If *Mother\_gene*=0 and *Father\_gene*=0 (non-CFPS gene member), continue to F6.

#2 If F104\_X=0:

- 1) Whoever has at least one of the four variables, *Mother\_gene*, *Father\_gene*, *Spouse\_gene*, and *Child\_gene*, equal to “1 (CFPS gene member)” is treated as a “new family member (CFPS gene member)”, and should be interviewed using a long-form individual questionnaire after the family questionnaire is completed. Skip to the next new member after F501.
- 2) If none of the four variables, *Mother\_gene*, *Father\_gene*, *Spouse\_gene*, and *Child\_gene*, equals to “1”, continue to F6.

[Data]

- #1 Assign the first digit of the personal codes for the new family members (CFPS gene member) as “1”, and resume coding the rest digits after those coded in the 2010 baseline survey.
- #2 If  $F104\_X=1$  and either  $Mother\_gene=1$  or  $Father\_gene=1$  (CFPS gene member), then  $Rtype=3$ .
- #3 If  $F104\_X=0$  and at least one of the four variables,  $Mother\_gene$ ,  $Father\_gene$ , “Spouse\_gene”, and  $Child\_gene$ , equals “1”, then  $Rtype=4$ .

F6 Does “[CAPI] Load the new member’s name” undertake his/her own living expenditure such as food and boarding fees?

1. Yes (non-family member, skip to next new member)      5. No (continue to F7)

F7 Does “[CAPI] Load the new member’s name” have blood/marital/adoptive relationship with you or your family member?

1. Yes (continue to F701)      5. No (Skip to F9)

F701 Is “[CAPI] Load the new member’s name” your or your family member’s lineal relative?

1. Yes (skip to the next new family member)      5. No (continue to F8)

[Data]

- #1 If  $F701=1$ , the new family member’s personal code starts with “1”, and resume coding the rest digits after those coded in the 2010 baseline survey.
- #2 If  $F701=1$ ,  $Rtype=5$ .

F8 Till now, has “[CAPI] Load the new member’s name” been living in your family for 3 months or more?

1. Yes (skip to the next new family member)  
5. No (non-family member, skip to the next new family member)

[Data]

- #1 If  $F8=1$ , the new family member’s personal code starts with “1”, and resume coding the rest digits after those coded in the 2010 baseline survey.
- #2 If  $F8=1$ ,  $Rtype=5$ .

F9 Till now, has “[CAPI] Load the new member’s name” been living in your family for 6 months or more?

1. Yes (skip to the next new family member)  
5.No (non-family member, skip to the next new family member)

[Data]

- #1 If  $F9=1$ , the new family member’s personal code starts with “3”, and resume coding the rest digits after those coded in the 2010 baseline survey.
- #2 If  $F9=1$ ,  $Rtype=6$ .

[CAPI]

- #1 If  $Rtype=2, 3$ , or  $4$ , this person is treated as a **new family member**; and generate a long-form individual questionnaire for him/her.

#2 If *Rtype*=5 or 6, this person is treated as a **new family member**; and generate a short-form individual questionnaire for him/her.

#3 Generate the 2012 T1 family member roster, *CFPS2012\_T1*, according to the rules below: Include all the members in *CFPS2010\_T1\_1\_alive\_UPDATED* whose personal codes start with 1, but exclude those whose *Rtype*=8, 10, 11, or 12; then add **new family members** and those in FA103 with *Rtype*=7.

#4 Generate the 2012 family gene member roster, *CFPS gene member\_2012*, according to the rules below:

Include all the members in *CFPS2010\_T1\_1\_alive\_UPDATED* whose personal codes start with 1, but exclude those whose *Rtype*=8, 10, or 11; then add people whose *Rtype*=3 (whoever enters the family through birth/adoption <F104 X=1> with at least one of his/her parents being a gene member <*Mother\_gene*=1 or *Father\_gene*=1>) and those in FA103 with *Rtype*=7.

#05 If there is no *CFPS gene member\_2012*, end the family interview and generate a system code indicating “7102 the interview of this family ends”.

F10 Who is the primary person in charge of making important decisions (e.g., house construction or purchasing, residential move, children’s education, etc.) in your family?

[CAPI] Load the members in *CFPS2012\_T1* whose personal codes start with “1”.

## IV Newborn Biological Children Who Belong to A Newly-Formed Family

FA1 Among the following people, who had children after “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed”, regardless of whether the child is currently living in this family or not? [Select all that apply]

Interviewer’s Note: Children refer to newborn babies since the last interview.

[CAPI]

#1 Load the list of the members in *CFPS2010\_T1\_1\_all\_UPDATED* whose personal codes start with “1”.

#2 Add “78. None of the above” as a mutually exclusive option.

#3 Soft Check: FA1≠78. “Interviewer’s Note: Confirm that none of the family members has a newborn baby who has left this family and belongs to a new family.”

#4 If FA1=“78”, skip to the module named “Collect address information about the non-coresident family members”; otherwise, continue to FA101.

[CAPI] Ask each of the persons selected in FA1 about FA101-FA103.

FA101 How many children did “[CAPI] Load the name of chosen person in FA1” have since “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed”? \_\_\_ (1...10)

FA102 Who are the children born to “[CAPI] Load the name of chosen person in FA1” since “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed”? Choose from the list below.

[CAPI]

#1 Load *CFPS2012\_T1*.

#2 Add “78. None of the above” as a mutually exclusive option.

#3 A new variable *newchildnum1* is generated, which is equal to the number of children chosen in FA102.

#4 If *newchildnum1*=FA101, then skip to module named “Collect address information about the non-coresident family members”; otherwise, continue to FA103.

[CAPI]

#01 Leave enough space to fill in children’s name according to the number of children recorded in “FA101-*newchildnum1*”.

#02 If FA101-*newchildnum1*=1, the word “respectively” should not appear in the question.

FA103 What are the names of the other children born to “[CAPI] Load the name of chosen person in FA1” since “[CAPI] Load the year and month when the 2010 Family Roster Questionnaire was completed”? \_\_\_\_\_, \_\_\_\_\_

[Data] For individuals in FA103, *Rtype*=12.

## Rules for Generating CFPS2012 Individual Questionnaire

<i>Rtype</i>	<b>Individual Condition</b>	<b>Individual Type</b>	<b>Specific Type</b>	<b>Type of Questionnaire</b>		<b>Code</b>
<b>Individual interview should be conducted locally</b>						
1	NE1=1(original family member living in this family)	CFPS gene member	Core	Long-form		0000
2	Individuals chosen in F102 whose personal codes start with "2" (lineal relatives of original family member)	New family member	Core	Long-form		0000
3	New family member by F104_X=1, F2, F3	New CFPS gene member	Core	Long-form		0000
4	New family member by F104_X=0, F2, F3, F4, F5	New family member	Core	Long-form		0000
5	F701, F8 New family member	New family member	Non-core	Self-report short-form	No need to answer if under 16	0000
6	F9 New family member	New family member	Non-core	Self-report short-form	No need to answer if under 16	0000
7	(1) E2=1 & (E301=1 OR E304=1); (2) E2=2 & (E301=1 OR E303=1 OR E304=1) (3) E2=4 (4) Individuals recorded in FA103 with rtype=7	Non-coresident CFPS gene member	Core	Proxy-report short-form;Long -form		0000

Individual interview do not need to be conducted locally					
8	(1) E2=1 & E304=5; (2) E2=2 & (E303=5 OR E304=5); (3) E2=8, 9, 11, 12 (4) Individuals recorded in FA103 with rtype=8	CFPS gene member in a newly-formed family	Core	Generate non-local follow-up residential screening questionnaire. Send the sampled persons to the computer allocation system	0000
9	(1) E2=3, 5, 6 (2) E2=7 & (E301=1 OR E303=1 OR E304=1)	Non-resident CFPS gene member	Core	No need to follow up	8002
10	E2=7 & (E303=5 OR E304=5);	CFPS gene member in a newly-formed family	Core	No need to follow up	8003
11	E2=10	Deceased CFPS gene member	Core	Retain personal code, and stop interview	8004

## Options of E2:

1. Study away from hometown    2. Out-migration for work/Work away from hometown    3. Monk    4. Visiting friends/relatives    5. In prison  
6. Military service    7. Abroad (Including Hong Kong, Macao, and Taiwan)    8. Family division (*fenjia*)    9. Marry out    10. Death    11. Divorce

---

## V Non-Coresident Member's Address

[CAPI]

#1 Ask each non-coresident member with *Rtype=7* about E4-E802. Then skip to the module of "Information about the Newly-formed Families".

#2 If there is no non-coresident member with *Rtype=7*, skip to the module of "Information about the Newly-formed Families".

E4 Detailed contact information of "[CAPI] Load the non-coresident family member's name"

E401 The home phone number at "[CAPI] Load the non-coresident family member's name"'s current residence \_\_\_\_\_ (area code)\_\_\_\_\_

Interviewer's Note: "home phone number" refers to the land-line phone number used at the place where the non-coresident member lives. If the non-coresident member is a student, record his/her dormitory phone number.

[CAPI] A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

E402 The workplace phone number of "[CAPI] Load the name of non-coresident member" \_\_\_\_\_ (area code)\_\_\_\_\_

Interviewer's Note: If the non-coresident member is unemployed, record "-8." (Not applicable)

[CAPI]

#01 A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

#02 If E402A=-8,continue to E403.

E403 The mobile phone number of "[CAPI] Load the name of the non-coresident member"

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with "123" are invalid.

[CAPI] If there is no valid information in E401, E402, and E403 ("Refuse to answer" or "Don't know" is chosen), then ask E404; otherwise, skip to E405.

E404 Why cannot the respondent provide the non-coresident member's phone number? \_\_\_\_\_

[CAPI] "Don't know" or "Refuse to answer" is not allowed in E404.

E405 The email address of "[CAPI] Load the name of non-coresident member"

Interviewer's Note: An E-mail address must contain @.

[CAPI] An E-mail address must contain @.

E5 Where does "[CAPI] Load the name of non-coresident member" live now?

1. This village/residential community (skip to E506)
2. Another village/residential community in this town /township/street (skip to E504)

- 
3. Another town/township/street in this county/ county-level city/district (skip to E503)
  4. Another county/county-level city/district in this province (skip to E502)
  5. Another province in China (skip to E501)

[CAPI] “Refuse to answer” or “Don’t know” is not allowed in this question.

E501 In which province does “[CAPI] Load the name of the non-coresident member” live?

Interviewer’s Note: Press the space key first to retrieve the list of provinces, and then select the one where this member lives.

[CAPI] Load the list of provinces which can be searched by initial letters.

E502 In which county/county-level city/district does “[CAPI] Load the name of non-coresident member” live? \_\_\_\_\_

Interviewer’s Note: Press the space key to retrieve the list of counties, and then select the one where the member lives.

[CAPI] Load the list of counties/county-level cities/districts which can be searched by initial letters.

E503 In which town/township/street does “[CAPI] Load the name of non-coresident member” live? \_\_\_\_\_

E504 In which village/residential community does “[CAPI] Load the name of non-coresident member” live? \_\_\_\_\_

E506 What is the detailed address of “[CAPI] Load the name of non-coresident member” within the village/residential community? \_\_\_\_\_

E505 What is the ZIP code of “[CAPI] Load the name of non-coresident member”’s address?

[CAPI] Make sure the ZIP code is of 6 digits.

E6 When was the last time “[CAPI] Load the name of non-coresident member” left this family? \_\_\_ year (1993...2012) \_\_\_ month (1...12)

E7 Did “[CAPI] Load the name of non-coresident member” ever send money back home in the past month?

1. Yes (continue to E701)
5. No (skip to E8)

E701 How much money did “[CAPI] Load the name of non-coresident member” send back home in the past month? \_\_\_\_\_ yuan (10...100,000)

[CAPI] Soft Check: <10,000. “Interviewer’s Note: Double check in case the amount of money is more than 10,000.”

E8 Did the family send money to “[CAPI] Load the name of non-coresident member” in the past month?

1. Yes (continue to E801)
5. No (skip to next family member)

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E801 How much money did the family send to “[CAPI] Load the **name of non-coresident member**” in the past month? \_\_\_\_\_ yuan (10...100,000)

[CAPI] Soft Check: <10,000. “Interviewer’s Note: Double check in case the amount of money is more than 10,000.”

E802 Please tell me “[CAPI] Load the **name of non-coresident member**”’s specific job, frequency of returning home, and the closest date in the future when he/she is likely to come back.

Interviewer’s Note: Record in details, for example, working as cementer in the county, living in the contemporary shed, coming back once a month, may be back during the National Day holiday.

## VI Newly-Formed Family

[Data] Generate a variable *out\_count* to indicate the number of newly-formed family members who need to be followed up (*Rtype*=8 and *Rtype*=12).

[CAPI]

#1 If *out\_count*=0, skip to “Interviewer Observations”.

#2 If *out\_count*=1, show the Prompt screen. Assign G1=1 and skip to G3. Use the wording “this family” in the questions.

#3 If *out\_count*>1, show the Prompt screen and begin to ask G1. Use the wording “the *i*th family” in questions.

[Prompt] Interviewer’s Note: Read out, “As you mentioned, “[CAPI] Load the **name of all members with *Rtype*=8 or *Rtype*=12**’ have moved out of this family. Now, we will ask some questions about their newly-formed families.”

G1 Among “[CAPI] Load the **names of all members with *Rtype*=8 or *Rtype*=12**”, how many new families did they form? \_\_\_\_\_ (1...10)

[CAPI] Ask each family about the following questions, starting with G2.

G2 Who is in “the *i*th family” [Select all that apply]

[CAPI]

#1 Load the list of the family members with *Rtype*=8 or *Rtype*=12.

#2 Hard Check: Each member must be selected once and once only.

[Data] Generate a variable *rtype8\_count* indicating the number of gene members with *rtype*=8 in every newly-formed family.

[CAPI]

#1 If *rtype8\_count*=0, recode *rtype*=7 for all the members in the newly-formed family whose *rtype*=12.

#2 If *rtype8\_count*>=1, recode *rtype*=8 for all the members in the newly-formed family whose *rtype*=12.

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G3 Where does “[CAPI] Load this family/ the *i*th family” live?

1. This village/residential community (skip to G306)
2. Another village/residential community in this town /township/street (skip to G304)
3. Another town/township/street in this county/ county-level city/district (skip to G303)
4. Another county/ county-level city/district in this city (skip to G302)
5. Another city in this province (skip to G301A)
6. Another province in China (skip to G301)

[CAPI] “Refuse to answer” or “Don’t know” is not allowed.

[Data]

#1 If *Rtype*=8 and G3=1, 2, 3, or 4, generate *Local*=1.

#2 If *Rtype*=8 and G3=5 or 6, generate *Local*=0.

G301 In which province does “[CAPI] Load this family/ the *i*th family” live?

Interviewer’s Note: Press the space key to retrieve the list of provinces, and then select the province where this member lives.

[CAPI] Load the list of provinces which can be searched by initial letters.

G301A In which city does “[CAPI] Load this family/ the *i*th family” live?

Interviewer’s Note: Press the space key to retrieve the list of cities, and then select the city where this member lives.

[CAPI] Load the list of cities which can be searched by initial letters.

G302 In which county/county-level city/district does “[CAPI] Load this family/ the *i*th family” live? \_\_\_\_\_

Interviewer’s Note: Press the space key to retrieve the list of the counties/county-level cities/districts, and then select the province where this member lives.

[CAPI] Load the list of the counties/county-level cities/districts which can be searched by initial letters.

G303 In which town/*township*/street does “[CAPI] Load this family/ the *i*th family” live? \_\_\_\_\_

G304 In which village/residential community does “[CAPI] Load this family/ the *i*th family” live in? \_\_\_\_\_

G305 What is the detailed address of “[CAPI] Load this family/ the *i*th family” within the village/residential community? \_\_\_\_\_

G306 what is the ZIP code of “this family/ the *i*th family”’s address?

[CAPI] Make sure the ZIP code is of 6 digits.

G307 The home phone number of “[CAPI] Load this family/ the *i*th family” \_\_\_\_\_(area code) \_\_\_\_\_

[CAPI] A land-line phone number is restricted to 8-9 digits and the area code is restricted to 3-4

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digits.

[CAPI]

#1 If *Rtype*=7, return to G2, ask about the next member who has formed a new family.

#2 If *Rtype*=8 and *out\_count*=1, load the names of non-coresident family members whose *Rtype*=8 in G4-G403.

#3 If *Rtype*=8 and *out\_count*>1, load the name of the *i*th non-coresident family member in the *i*th new family whose *Rtype*=8 in G4-G403.

G4 The detailed contact information of “[CAPI] Load the name of the non-coresident member/the name of the *i*th non-coresident family member in the *i*th new family”:

G401 The workplace phone number of “[CAPI] Load the name of the non-coresident member/name of the *i*th non-coresident family member in the *i*th new family” \_\_\_\_\_ (district number) \_\_\_\_\_

Interviewer’s Note: If the person is a student, record “-8” (not applicable).

G402 The mobile phone number of “[CAPI] Load the name of the non-coresident member/name of the *i*th non-coresident family member in the *i*th new family” \_\_\_\_\_

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with 123 are invalid.

G403 The email address of “[CAPI] Load the name of the non-coresident member/name of the *i*th non-coresident family member in the *i*th new family”

Interviewer’s Note: An E-mail address should contain @.

[CAPI] An E-mail address should contain @.

[CAPI] If none of the G307, G401, and G402 contains valid information, continue to ask G404; otherwise, skip to Part VII Interviewer Observations.

G404 Record the reason why the respondent cannot provide the non-coresident member’s detailed contact information? \_\_\_\_\_

[CAPI] “Don’t know” or “Refuse to answer” is not allowed in G404.

[Data] a VAR *famno* is generated, recording the number of families with *G1-rtype8\_count*=0

[Prompt] Interviewer’s Note: The system will generate certain copies (equal to the value of *famno*) of newly-formed family questionnaires.

## VII Interviewer Observations

[CAPI]

#1 Press “1” to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer’s note.

[Prompt] Interviewer’s Note: The following questions are to be answered by the interviewer based

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on his/her observations.

Z1 Who was the main respondent that completed this questionnaire? \_\_\_\_\_

[CAPI] Load the members whose *Rtype*=1, 2, 3, 4, 5, or 6 in *2012\_T1*.

Z101 Who else answered this questionnaire in the family? \_\_\_\_\_ [Limited to two respondents]

Interviewer's Note: Record another one or two main respondents.

[CAPI] Load the members whose *Rtype*=1, 2, 3, 4, 5, or 6 in *2012\_T1* except the person chosen in Z1.

Z102 Who was present during the interview except the family members? [Select all that apply]

1. Relatives or friends
2. Interview supervisor
3. Neighbor
4. Cadre of the village or community
77. Other [Please specify his/her identity]\_\_\_\_\_
78. No one else

[CAPI] "78. No one else" and the other options are mutually exclusive.

Z103 What was the main language used in the interview? [Single choice]

1. Mandarin (skip to Z201)
5. Dialect (continue to Z104)

Z104 What was the dialect used in the interview \_\_\_\_\_

Z201 Respondent's comprehension of questions:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z202 Respondent's physical condition:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z203 Neatness/cleanliness of respondent's clothing:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z204 Respondent's appearance:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z205 Respondent's Mandarin fluency:

Interviewer's Note: If the main language in the interview is not Mandarin, choose "79. Not applicable."

Very Poor --1--2--3--4--5--6--7--> Very Good

Z206 Respondent's cooperation during the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z207 Respondent's intelligence:

Very Low --1--2--3--4--5--6--7--> Very High

Z208 Respondent's courteousness:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z209 Respondent's interest in the interview:

Very Low --1--2--3--4--5--6--7--> Very High

Z210 Respondent's concern about the interview:

Very Low --1--2--3--4--5--6--7--> Very High

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Z211 Reliability of respondent's response:

Very Low --1--2--3--4--5--6--7--> Very High

Z212 Respondent's ability to express themselves:

Very Weak --1--2--3--4--5--6--7--> Very Strong

Z5 Respondent's impatience with the interview:

No--1--2--3--4--5--6--7--> Yes

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# China Family Panel Studies

## 2012 Family Questionnaire

**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, “[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual.”



Institute of Social Science Survey, Peking University

April, 2012

### Part A Community Infrastructure

A0 Interviewer's Note: Record the type of the community through observation.

1. Residential community (*Ju wei hui*)

5. Village community (*Cun wei hui*)

A1 How far is it from your house (permanent residence) to the nearest bus stop? \_\_\_\_ meters (-8,

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1..10.000)

Interviewer's Note: If there is no bus stop, record "-8".

F1: "How far" refers to the distance of the nearest path you choose to get to the bus stop, rather than the direct distance.

[CAPI]

#1 If A1=-8, skip to A3.

#2 Soft Check: <=1,000. "Interviewer's Note: Double check in case the distance is beyond 1 kilometer."

A2 Waiting time for the bus is, on average, \_\_\_\_\_ minutes (1...120)

F1: "Waiting time for the bus" refers to the time duration from when you arrive at the bus stop to when you get on the bus. There may be variations in the waiting time for different buses at different periods of the day. Ask the average time here.

[CAPI] Skip to A4 after answering A2.

A3 Long-distance bus service is available:

1. Daily (continue to A301)
5. Once in several days (skip to A302)

A301 How many long-distance buses are there per day\_\_\_\_\_ (1...12)

[CAPI] Skip to A4 after answering A301.

A302 How often (in days) is there a long-distance bus service?\_\_\_\_\_ (1...31)

F1: "Long-distance bus" refers to the commuting bus for passenger transportation between a city and a village, serving only a few times a day or once in several days.

[CAPI] Continue to A4 after answering A302.

A4 Distance between your house and the nearest hospital/medical center:\_\_\_\_\_meters (1...100,000)

F1: (1) "Distance" refers to the distance of the nearest path by which you choose to get to the bus stop, rather than the direct distance.

(2) "Hospital/medical center" means a place equipped with medical staff and facilities to provide general medical care and services, such as a general hospital, town hospital, community hospital, village clinic, or private clinic. Specialized hospitals such as dental clinics or supplementary clinics in drugstores do not count.

[CAPI] Soft Check: <=1,000. "Interviewer's Note: Double check in case the distance is beyond 1 kilometer."

A5 How long does it take to get to the nearest hospital/medical center from your house by the fastest means of transportation? \_\_\_\_\_minutes (1...1, 440)

Interviewer's Note: Ask the time spent by taking the fastest means of transportation.

F1: (1) "The fastest means of transportation" means the fastest daily means of transportation you take, rather than the fastest among all the possible means. If the family has no car, then the time should not be calculated based on using a car or the corresponding traffic condition.

(2) "Hospital/medical center" means a place equipped with medical staff and facilities to provide general medical care and services, such as a general hospital, town hospital, community hospital,

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village clinic, or private clinic. Specialized hospitals such as dental clinics or supplementary clinics in drugstores do not count.

[CAPI] Soft Check:  $\leq 240$ . “Interviewer’s Note: Double check in case the time is longer than 4 hours.”

A6 Distance between your house and the nearest high school: \_\_\_\_\_ meters (0...100,000)

F1: “Distance” refers to the distance of the nearest path by which you choose to get to the school, rather than the direct distance.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Double check in case the distance is beyond 10 kilometers.”

A7 How long does it take to get to the nearest business center of the city (town) from your house by using the daily means of transportation: \_\_\_\_\_ minutes (1...1,440)

Interviewer’s Note: Asking the time spent taking the most frequently used means of transportation.

F1: (In general term): “Business center of the city (town)” refers to a “town” in a rural area or a “city” in an urban area. It refers to the busiest and most flourishing commercial place near the family. If there are more than one such place, choose the most frequently visited one.

F1: (1) “Business center of a city” refers to a central business district where businesses is highly concentrated, and commercial services are well established and served to customers both within and beyond the local area. The business street is typically of 800 meters or longer, or the business and commercial activities are concentrated in an area of 25 hectares or larger.

(2) “Business center of a town” refers to a commercial center in an rural area where business is highly concentrated, and commercial services are well established and served to customers both within and beyond the local area. The business street is typically 500 meters or longer, or the business and commercial activities are concentrated in an area of 8hectares or larger.

## Part B Family facilities

B1 What kind of water does your family normally use for cooking?

- |                                       |                                   |                 |
|---------------------------------------|-----------------------------------|-----------------|
| 1. River/Lake Water                   | 2. Well/Spring water              | 3. Tap water    |
| 4. Mineral / Purified /Filtered water | 5. Rainwater                      | 6. Cellar water |
| 7. Pond water                         | 77. Others _____ [Please specify] |                 |

F1: (1) “Water used for cooking” are tap water in cities and “clean” water in villages for example; if the water source is “tap water” (also known as “pipe water”), then do not probe its source.

(2) “Cellar water” refers to the water stored in a cellar in areas that are short of water. A cellar is a container in a pot shape (jar or bottle shaped, etc.) built underground to store rain water. (3)

If there have been changes in the water source, record the most frequently used kind of water in the last 3 months.

B2 What kind of fuel does your family normally use for cooking?

Interviewer’s Note: If two or more kinds of fuel are used, record the one used for stir-frying.

- 
1. Firewood/Straw
  2. Coal
  3. Gas/ Liquid /Natural Gas
  4. Solar energy
  5. Methane
  6. Electricity
  7. Other [Please specify] \_\_\_\_\_

F1: Refers to the kind of fuel most frequently used in the last 3 months.

B3 What is the electricity condition at your home?

1. No electricity [skip to B5]
2. Frequent power outage
3. Occasional power outage
4. Almost no power outage at all

F1: “Electricity condition” refers to whether the family is connected to an electrical network and getting electricity supply from the network. To determine whether the family is connected to the electrical network, the interviewer can look around to see if there is a lamp in the house.

B301 Estimate the average electricity consumption per month in the past year: \_\_\_\_\_ kilowatt- hours (1...10,000)

[CAPI] Soft Check: <=4,000. “Interviewer’s Note: Double check in case the electricity consumption is beyond 4,000 kwh per month.”

B4 Did your family use electricity for production or business in the past year?

1. Yes
- 5.No

F1: (In general term): “Electricity for production or business” refers to the electricity not used for family lightening and domestic appliance. Any electricity usage related to production is “electricity for production or business”. Record “1” even if the family runs a small grocery store which cannot be separated from the living space, indicating that electricity is used for production or business.

F1: There are many kinds of electricity usage in China, such as that used for civilian daily living, business, farming, industrial production (including highly-energy consuming industries, low-energy consuming industries, township, and village enterprises), administrative and public institution, and so on. Here we want to learn about the electricity usage for production (i.e., electricity used related with enterprise production, including that used by family-owned workshop).

B5 What type is your family’s house? [Show card]

1. Apartment in a building
2. Bungalow
3. Quadrangle courtyard
4. Villa
5. Condominium villa
6. Low-rise house
77. Other [Please specify] \_\_\_\_\_

B6 Does your family have any of the following problems in housing? [Show card] [Limited to three responses]

1. Children aged over 12 live in the same room with parents
2. Family members of three generations live in the same room
3. Children of different genders aged over 12 live in the same room
4. Beds are laid out at night and folded up during the daytime
5. Beds are laid out in the living room
77. Other problems [Please specify] \_\_\_\_\_
78. None of the above

B7 What kind of restroom/toilet facilities does your family use mostly? [Show card]

Interviewer’s Note: If there is more than one kind of restroom/toilet facility, record the most

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frequently used one.

1. Indoor flush toilet
2. Outdoor private flush toilet
3. Outdoor public flush toilet
4. Indoor non-flush toilet
5. Outdoor private non-flush toilet
6. Outdoor public non-flush toilet
77. Other [please specify]\_\_\_\_\_

F1: (1) “Restroom” refers to a toilet or a washing room equipped with a toilet.

(2) If two families share a toilet with lock, and each of the two families has keys, then it is treated as a private toilet.

[CAPI] If B1=“3, or 4” or B7=“1, 2, or 3”, skip to B8; otherwise, continue to B701.

B701 How far is the toilet from the nearest source of drinking water? \_\_\_\_\_ meters (1..2,000)

F1: “Source of drinking water” refers to the main water source for daily family use such as cooking and drinking.

[CAPI] Soft Check: <=500. “Interviewer’s Note: Double check in case the distance is more than 500 meters.”

B8 Where do you dump your trash?

1. Public garbage can or dustbin
2. Brook/Ditch nearby
3. Around the house
4. Cesspit
5. Anywhere in the open area
6. In the trash area of the building
7. Someone comes to collect it
77. Other \_\_\_\_\_[Please specify]

F1: “Dump” means pouring out directly, referring to where to throw the family’s trash, for example, throwing the domestic waste into the river near the house. If the family uses a trash bag to collect the garbage and then throw it onto the open space by roadside, record “5”.

B9 In the past year, did your family participate in ancestor worship/tomb-sweeping activities?

1. Yes
5. No

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## I Family income

RESP1 In the past year, which family member was most familiar with and could answer the questions on the family economic conditions?

Interviewer's Note: The questions below should be answered by the respondent who is most familiar with the family economic conditions.

[CAPI] Load the family member roster 2012\_T1.

[Data] Store the family member's ID.

## Part L Family's agricultural income and expenditure

K1L In the past year, did your family engage in any agricultural work, such as farming, cultivating fruit trees, collecting agricultural products, fishing, raising fish/livestock, and selling agricultural products in a market?

Interviewer's Note: "Refuse to answer" or "Don't know" is not allowed.

1. Yes [continue to L1]

5.No [skip to M1]

F1: "Agricultural work" here is a general concept, which means that land is used to grow crops and raise animals to provide food for people and raw material for industries. It includes farming, forestry, pasturing, fishing, and sideline.

L1 In the past year, who was in charge of the accounts of your family's agricultural production?

Interviewer's Note: Select the person who was mainly responsible.

F1: "Agricultural production" refers to farming, forestry, pasturing, fishing, sideline, raising crops, raising livestock, etc.

[CAPI] Load the family member roster 2012\_T1.

[Data] Store the family member's ID.

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next, we are going to ask some questions about the agricultural income and expenditure. Please let '[CAPI] Load the name of the member chosen in L1' answer the questions."

RESP2 Respondent for agricultural income and expenditure

Interviewer's Note: Record the respondent who answers the questions on the agricultural income and expenditure.

1. The member, "[CAPI] Load the name of the member chosen in L1", in charge of the agricultural accounts

5. The member, "[CAPI] Load the name of the member chosen in RESP1", who is most familiar with the family's economic conditions

[CAPI] "Refuse to answer" or "Don't know" is not allowed in RESP2.

[Data] Store the family member's ID.

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next, we are going to ask

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you some questions about farming and forestry. Farming here refers to the productive activity of cultivating various crops, which yields grains, feed, and other sideline products. Forestry refers to the productive activities of cultivating, lumbering, and protecting trees, which yield wood and other sideline products. We are asking about the productive activities of farming and forestry in your own family. Such activities conducted by your family members as being hired by other families do not count.

L2 In the past year, did your family engage in farming or forestry work?

**Interviewer's Note:** We are asking about the farming and forestry work in your own family. Such work conducted by your family members as being hired by others families do not count.

1. Yes (continue to L201)

5. No (skip to L5)

F1: (1) "Farming" here is a narrowed concept, referring to growing foods, cash crops, green manure, and forage crops, such as rice, wheat, vegetables, melon, and fruits.

(2) "Forestry" refers to cultivating and lumbering woods, which produces forestry products, forestry sideline products, forest agricultural products, seedlings and flowers, woodwork, wood crafts, bamboo and rattan products, forestry foods, forestry chemical products, and other related products. [CAPI] "Refuse to answer" or "Don't know" is not allowed in L2.

L201 In the past year, which family member(s) engaged in farming or forestry work? [Select all that apply]

**Interviewer's Note:** We are asking about the farming and forestry work in your own family. Such work conducted by your family members as being hired by others families do not count.

F1: (1) "Farming" here is a narrowed concept, referring to growing foods, cash crops, green manure, and forage crops, such as rice, wheat, vegetables, melon, and fruits.

(2) "Forestry" refers to cultivating and lumbering woods, which produces forestry products, forestry sideline products, forest agricultural products, seedlings and flowers, woodwork, wood crafts, bamboo and rattan products, forestry foods, forestry chemical products, and other related products.

[CAPI]

#1 Load "2012\_T1" + "76. All the family members".

#2 "78. None of the above" and the other options are mutually exclusive.

## Crops and forestry products:

L3 In the past year, the total value of the farming and forestry products grown by your family (including those consumed by your family) was \_\_\_\_\_ yuan. (1..1,000,000)

F1: (1). "Farming products" refers to all products from farming, including food, cash crops, forage crops, green manure, and so on.

(2). "Forestry products" refers to all products from cultivating and lumbering woods, including forestry sideline products, forest agricultural products, seedlings and flowers, woodwork, wood crafts, bamboo and rattan products, forest foods, forestry chemical products, and other related products.

[CAPI]

#1 Soft Check: <=7,000. "In the past year, was the total value of the farming and forestry products

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grown by your family (including those consumed by your family) more than 7,000?”  
#2 If L3=“Refuse to answer” or “Don’t know”, continue to L3UB; otherwise, skip to L4.

L3UB In the past year, the total value of the farming and forestry products grown by your family (including those consumed by your family) was more than(1,000/2, 500/5,000/10,000/25,000 yuan)?

[CAPI] Ask in an unfolding manner and start from 5,000.

L4 How much did your family spend in each of the following items for farming and forestry production?

L401 Seeds (including home produced), fertilizer, and pesticides \_\_\_ yuan. (0..200,000)

Interviewer’s Note: If there was no expenditure on this item, record “0”.

[CAPI] Soft Check: <=50,000. “Did your family spend more than 50,000 yuan in seeds, fertilizer and pesticides?”

L402 The cost of hiring labor (including the cost of machine and draught animal carried by the hired labor) \_\_ yuan. (0..200,000)

Interviewer’s Note: If there was no expenditure on this item, record “0”.

F1: “The cost of hiring labor” refers to the payment made to the hired labor. It also includes the payment for the tools (such as machine and draught animal) carried by the hired labor, if applicable.

[CAPI] Soft Check: <=50,000. “Was the cost of hiring labor more than 50,000 yuan?”

L403 Rents of machine and irrigation \_\_\_yuan (0..200,000)

Interviewer’s Note: If there was no expenditure on this item, record “0”.

F1: “Rents of machine” refers to the cost of renting a machine for farming or forestry production.

[CAPI] Soft Check: <=50,000. “Was the rents of machine and irrigation more than 50,000 yuan?”

L404 Others (including fuel, transportation, processing, packaging, market administration fee, tax, etc.) \_\_yuan (0..200,000)

Interviewer’s Note: If there was no expenditure on this item, record “0”.

[CAPI] Soft Check: <=50,000. “Was the cost of other things more than 50,000 yuan?”

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next, we are going to ask you some questions about raising livestock and aquatic product. Livestock here refers to the poultry and domestic animals such as chicken, duck, cattle, pig and sheep. Aquatic product refers to the aquatic animals (including fish, shrimp, crab, shell, etc.) and plants. We are asking about raising livestock and aquatic product by your family. Such work conducted by your family members as being hired by others families do not count.”

## Livestock and fishery

L5 In the past year, did your family raise any livestock or aquatic product?

Interviewer’s Note: We are asking about raising livestock and aquatic product in your family. Such work conducted by your family members as being hired by others families do not count.

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1. Yes (continue to L501)

5. No (skip to M1)

F1: (1) Livestock includes chicken, duck, cattle, pig and sheep, etc.

(2) Aquatic product refers to aquatic animals (including fish, shrimp, crab, shell, etc.) and plants.

[CAPI “Refuse to answer” or “Don’t know” is not allowed in L5.

L501 In the past year, which family member(s) engaged in raising livestock or aquatic product?

[Select all that apply]

[CAPI] Load family member roster 2012\_TI.

L6 What is the current value of all the livestock and aquatic products? \_\_\_\_\_yuan (0...1,000,000)

[CAPI]

#1 Soft Check:  $\leq 10,000$ . “Is the total value of all the livestock and aquatic products more than 10,000 yuan?”

#2 If L6=“Refuse to answer” or “Don’t know”, continue to L6UB; otherwise, skip to L7.

L6UB The current value of all the livestock and aquatic products is more than

(5,00/1,000/2,500/5,000/10,000 yuan)?

[CAPI] Ask in an unfolding manner and start from 2,500.

L7 In the past year, what was the value of all the livestock and aquatic products (excluding sideline products) that were sold or consumed? \_\_\_\_\_yuan (0...1,000,000)

F1: “Consumed” refers to the products eaten by the family members.

[CAPI]

#1 Soft Check:  $\leq 5,000$ . “Was the value of all the livestock and aquatic products sold or consumed in the past year more than 5,000 yuan?”

#2 If L7=“Refuse to answer” or “Don’t know”, continue to L7UB; otherwise, skip to L8.

L7UB In the past year, the value of all the livestock and aquatic products (excluding sideline products) that were sold or consumed, was more than \_\_\_\_\_(2,50/5,00/1,000/2,500/5,000yuan)?

[CAPI] Ask in an unfolding manner and start from 1,000.

L8 In the past year, what was the value of the livestock sideline products (including egg, milk, wool, cashmere, goat skin, etc.) that were sold or consumed? \_\_\_\_\_ yuan (1...1,000,000)

[CAPI]

#1 Soft Check:  $\leq 2,000$ . “Was the value of the livestock sideline products (including egg, milk, wool, cashmere, goat skin, etc.) that were sold or consumed in the past year more than 2,000 yuan?”

#2 If L8=“Refuse to answer” or “Don’t know”, continue to L8UB; otherwise, skip to L9.

L8UB In the past year, the total value of the livestock sideline products (including egg, milk, wool, cashmere, goat skin, etc.) that were sold or consumed, was more than

\_\_\_\_\_ (50/100/250/500/1000 yuan)?

[CAPI] Ask in an unfolding manner and start from 250.

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L9 In the past year, what was the cost of producing livestock and aquatic products on the following items?

L901 Breeding stock, fish fry \_\_\_yuan (0...200,000)

Interviewer's Note: If there was no expenditure on this item, record "0".

F1: "Breeding stock" refers to animal especially used for reproducing.

[CAPI] Soft Check:  $\leq 5,000$ . "In the past year, was the cost of breeding stock, fish fry more than 5,000 yuan?"

L902 The cost of hiring labor (including the cost of machine and draught animal carried by the hired labor) \_\_\_yuan (0...200,000)

Interviewer's Note: If there was no expenditure on this item, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "In the past year, was the cost of hiring labor more than 10,000 yuan?"

L903 Forage (including the cost of the self-produced crops and forestry products that were used as forage) \_\_\_yuan (0...200,000)

Interviewer's Note: If there was no expenditure on this item, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "In the past year, was the cost of forage more than 10,000 yuan?"

L904 Other costs [please specify] \_\_\_\_\_yuan (0...200,000)

Interviewer's Note: If there was no expenditure on this item, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "In the past year, was the other cost more than 10,000 yuan?"

[CAPI] Soft Check: If  $L5=1$  (raised livestock or fishery),  $L6+L7 \neq 0$ . "Interviewer's Note: Make sure that the total value of currently owned, sold and consumed livestock, poultry, and aquatic products is 0."

## Part M Self-Employed Business

M1 In the past year, did any family member engaged in self-employed business (individually operated business or private enterprises)?

1. Yes (continue to M2)

5. No (skip to N1)

M2 In the past year, how many self-employed businesses (individually operated businesses or private enterprises) did you/your family members manage?

Interviewer's Note: Any self-employed business that involves independent accounting counts as one single business. For example, three enterprises that are located in different places but share a unified accounting system are treated as one single business. On the other hand, if they have separate accounting systems, they are treated as three distinct businesses.

F1: (1) "Individually operated business" refers to a type of business based on individual labor, in which the individual owns the means of production and possesses the income. It can be divided into two categories: individually-owned business and individual partnership.

(2) "Private enterprise" refers to a profit economic organization that hires labors that is founded or owned by natural persons. It includes private limited liability companies, private corporations, and

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privately owned companies.

[CAPI] For each self-employed business, ask M3-M7UB.

M3 In the past year, which family member(s) engaged in the *i*th individually operated business or private enterprise [Select all that apply]

[CAPI] Load all the family members in 2012\_T1.

M301 Who knows best about the account of the *i*th self-employed business in the past year?

[CAPI] Load all the family members in 2012\_T1.

[Prompt] Interviewer's Note: Read out the following paragraph, "Next, we are going to ask some questions about the *i*th self-employed business run by your family. We would like to have "[CAPI] Load the name in M301" answer this part."

RESP3

Interviewer's Note: Read out the prompt and record the respondent.

1. The person who knows best about this activity. "[CAPI] Load the member chosen in M301"
5. The respondent who knows best about the family's financial condition. "[CAPI] Load the member chosen in RESP1."

[CAPI] "Don't know" or "Refuse to answer" is not allowed in RESP3.

M4 The total assets of the *i*th self-employed business run by your family. \_\_\_\_\_ ten thousand yuan (0.1...1,000,000.0)

[CAPI] Soft Check:  $\leq 100,000$ . "Interview Note: The total assets of the *i*th self-employed business are less than a hundred thousand yuan."

Interviewer's Note: Count in ten thousands yuan and record to one decimal place.

M5 Your family owned \_\_\_\_\_ % of this business. (-8, 1...100%)

Interviewer's Note: If this was not a joint-stock business, record "-8" (not applicable).

M6 The *i*th self-employed business had \_\_\_\_\_ employees in total. (0...100,000)

[CAPI] Soft Check:  $\leq 10,000$ . "Interview Note: The total number of employees of the *i*th self-employed business was more than ten thousand."

M7 After deducting the costs, the net profit of the *i*th business in the past year was \_\_\_\_\_ yuan? (-2,000,000...2,000,000)

Interviewer's Note:

- (1) If the business was run jointly with non-family members, report only the net income earned by the family members. Wages (salaries) do not count.
- (2) If this business was in deficit, record negative numbers. For example, if the net loss of the business was 3000 yuan, record "-3000".

F1: "Cost" includes the costs of energy, housing or equipment rental, raw materials, transportation, marketing, wages, taxes, fees, etc.

[CAPI]

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- #1 Soft Check:  $\leq 100,000$ . “Interview Note: The net profit of the *i*th self-employed business was more than 100,000 yuan.”
- #2 Soft Check:  $\geq -100,000$ . “Interview Note: The loss of the *i*th self-employed business was more than 100,000 yuan.”
- #3 If M7=“Refuse to answer” or “Don’t know”, continue to M7UB; otherwise, skip to next business or enterprise.

M7UB After deducting the costs, was the net profit of the *i*th business in the past year more than \_\_\_\_\_?(10,000/25,000/50,000/100,000/250,000 yuan)

Interviewer’s Note: If the business was run jointly with non-family members, report only the net income earned by the family members. Wages (salaries) do not count.

F1: “Cost” includes the costs of energy, housing or equipment rental, raw materials, transportation, marketing, wages, taxes, fees, etc.

[CAPI] Ask in an unfolding manner and start from 50,000.

## Part N Family’s Public Transfer Income

[Prompt] Interviewer’s Note: Please read out the following paragraph, “In this part we ask the public transfers received by your family (taken as a whole). Public transfers belong to welfare income in nature. Examples include the “Wubaohe Subsidy” and “Tekunhu Subsidy” provided by the government.”

N1 In the past year, did your family receive any of the following government subsidies [Select all that apply] [Show card]:

1. Minimum living allowance (*Dibao*)
2. Reforestation subsidy
3. Agricultural subsidy
4. Wubaohe subsidy (targeted at low-income, blind, disabled, elderly, and youth who cannot support themselves)
5. Tekunhu subsidy (targeted at very poor family)
6. Work injury subsidies to the linear relatives
7. Emergency or disaster relief (including material goods)
77. Other [Please specify] \_\_\_\_\_
78. None of the above

F1: “Agricultural subsidy” refers to the public transfer to support producing, circulating, and trading agricultural goods. In China, agricultural subsidy primarily includes direct subsidy for grain, subsidy for selective seed and farm machinery, as well as that for general means of agricultural production.

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If N1=“78. None of the above”, skip to N2; otherwise, continue to N101 for each choice.

N101 In the past year, how much “[CAPI] Load the response in N1” did your family receive?

[CAPI] Soft Check:  $\leq 20,000$ . “Was the ‘[CAPI] Load the response in N1’ more than 20,000 yuan?”

N2 In the past year, did your family receive any of the following donations or compensations?

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[Select all that apply] [Show card]:

1. Donation from the society (including cash and goods such as food and clothing)
3. Compensation for land expropriation
5. Housing demolition/relocation
78. None of the above

F1: “Demolishment/relocation” means that the development and construction unit demolishes the housing owned by citizens, legal persons or other organizations according to urban planning approved by a certain administrative authority. The citizens, legal persons or other organizations should be compensated and relocated based on the open market price of their housing.

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If N2=“78. None of the above”, skip to N3.

#3 If N2=“1”, continue to N201.

#4 If N2=“3” or “5”, ask N202 about each choice.

N201 In the past year, what was the total value of the donation (including cash and goods such as food and clothing) your family received? \_\_\_\_\_yuan. (1...50,000)

[CAPI]

#1 Soft Check:  $\leq 20,000$ . “In the past year, was the value of the donation that family received more than 20,000?”

#2 Skip to N3 after answering N201.

N202 In the past year, how much “[CAPI] Load the choice of 3 or 5 in N2” did your family receive? \_\_\_\_\_yuan. (1...10,000,000)

[CAPI] Soft Check:  $\leq 30,000$ . “In the past year, did your family receive more than 30,000 yuan in “[CAPI] Load the choice of 3 or 5 in N2”?”

N3 In the past year, how much financial support or donation did your family receive from non-coresident relatives (including children, parents, parents-in-law and other relatives)? \_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “non-coresident” person refers to a person who does not raise the family and who in turn is not raised by the family. Finance support and donation include heritage (such as house and car), money and material goods.

[CAPI] Soft Check:  $\leq 20,000$ . “In the past year, did your family receive financial support from non-coresident relatives more than 20,000 yuan?”

N4 In the past year, how much financial support or donation did your family receive from anyone else (such as friends and colleagues)? \_\_\_yuan (0..., 50,000)

Interviewer’s Note: If none, record “0”.

F1: Finance support and donation include heritage (such as house and car), money and material goods.

[CAPI] Soft Check:  $\leq 20,000$ . “In the past year, did your family receive financial support or donation from anyone else (such as friends and colleagues) more than 20,000 yuan?”

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N5 In the past year, how much financial support or donation did your family give to non-coresident relatives (including children, parents, parents-in-law and other relatives)? \_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

F1: Finance support and donation include heritage (such as house and car), money and material goods.

[CAPI] Soft Check: <=10,000. "In the past year, did your family give more than 10,000 yuan of financial support or donation to non-coresident relatives?"

N6 In the past year, how much financial support or donation did your family give to anyone else (such as friends and colleagues)? \_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0"

F1: Finance support or donation includes heritage (such as house or car), money and material goods.

[CAPI] Soft Check: <=10,000. "In the past year, did your family give more than 10,000 yuan of financial support to anyone else?"

## II Family's Living Expenditure

### Part P Family's living expenditure

P1 In the past week, were you the primary person who purchased food for the family?

1. Yes (skip to P2)                      5. No (continue to P101)

P101 Who was the primary person purchasing food for the family?

Interviewer's Note: If possible, the primary person who purchased food for the family should answer the questions in this part.

[CAPI] Load the family member list 2012\_T1.

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next, we are going to ask you some questions about your family's living expenditure. We would like '[CAPI] Load the name of the person chosen in P101' to answer the questions."

RESP4

Interviewer's Note: Record the person who answers the questions about the family's living expenditure.

1. The primary person who purchases food for the family (not the financial respondent) "[CAPI] Load the name of the person chosen in P101"  
5. Financial respondent "[CAPI] Load the name of the person chosen in RESP1"  
77. Other \_\_\_\_\_ [Please specify]

P2 In the past week, how many people usually had meals together in your family? \_\_\_\_\_ (1...30)

[CAPI] Soft Check: <=10. "In the past week, were there more than 10 people having meals together

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in your family?”

[Prompt] Interviewer’s Note: Please read out the following paragraph, “The following questions are about your family’s living expenditure, including all the family members ‘[CAPI] Load names of family members in 2012\_T1’. If one attends school/works outside and comes home almost every week, his/her living expenditure outside of home should be included. If one attends school/works outside of home but does not come back every week, his/her living expenditure outside should be excluded.

P301 In the past week, how much did your family spend on eating out (including entertaining guests)?  
\_\_\_yuan (0...10,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 3,000$ . “In the past week, did your family spend more than 3,000 yuan on eating out?”

P302 In the past week, how much did your family spend on cigarettes and alcohol for your family consumption? \_\_\_yuan (0...50,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 3,000$ . “In the past week, did your family spend more than 3,000 yuan on cigarettes and alcohol?”

P303 In the past week, how much did your family spend on food (excluding expenditures on eating out, alcohol, and cigarettes)? \_\_\_yuan (0...10,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 1,000$ . “In the past week, did your family spend more than 1,000 yuan on food (including purchased and family-produced)?”

P304 In the past week, how much would the food produced and consumed by your family (including grains, meat, egg, aquatic products, oil, vegetable, fruits, cigarettes, alcohol, beverage, dairy products, processed food, flavoring, etc.) be worth had it been sold at a market price? \_\_\_yuan (0...5,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 1,000$ . “In the past week, would the food produced and consumed by your family be worth more than 1,000 yuan had it been sold at a market price?”

P4 Right now, we would like to ask a few questions about your family daily expenditures on the following items in the past month. [Show card]

P401 In the past month, the total expenditure on communication (including land-line phone, mobile phone, the Internet, post and so on) was \_\_\_\_\_ yuan (0...5,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 1,000$ . “In the past week, was the total expenditure on communication more than 1,000 yuan?”

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P402 In the past month, the total expenditure on water and electricity was \_\_\_\_\_ yuan (0...5,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 1,000$ . "In the past month, was the total expenditure on water and electricity more than 1,000 yuan?"

P403 In the past month, the total expenditure on fuel (including coal, firewood, charcoal, liquefied gas and so on) was \_\_\_\_\_ yuan (0...5,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 1,000$ . "In the past month, was the total expenditure on fuel more than 1,000 yuan?"

P404 In the past month, the total expenditure on housekeepers, hourly laborer, and servants were \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "In the past month, was the total expenditure on housekeepers, hourly laborer, and servants more than 10,000 yuan?"

F1: (1) "Hourly laborer" refers to a worker paid by the hour, for example, a person who cooks or does cleaning work for the interviewed family. The worker usually leaves after finishing the work and does not live in the interviewed family.

(2) "Housekeeper" is usually a worker paid by the month who does somewhat different work compared to an hourly worker. The housekeeper usually lives in the employer's family.

P405 In the past month, the total expenditure on local transportation (including petrol fees) was \_\_\_\_\_ yuan (0...10,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 2,000$ . "In the past month, was the total expenditure on local transportation more than 2,000 yuan?"

P406 In the past month, the total expenditure on daily used commodities and necessities (e.g., detergent, soap, toothpaste, toothbrush, etc.) was \_\_\_\_\_ yuan (0...10,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 2,000$ . "In the past month, was the total expenditure on daily used commodities and necessities more than 2,000 yuan?"

P407 In the past month, the total expenditure on entertainment (including purchasing books, newspapers, magazines, VCDs, and DVDs, and going to cinemas and bars, and so on) was \_\_\_\_\_ yuan (0...50,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 2,000$ . "In the past month, was the total expenditure on entertainment more than 2,000 yuan?"

P408 In the past month, the total expenditure on lottery was \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

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[CAPI] Soft Check:  $\leq 2,000$ . “In the past month, was the total expenditure on lottery more than 2,000 yuan?”

P5 we would like to learn about your family expenditures on the following items in the past year.

[Show card]

P501 In the past year, the total expenditure on clothing was \_\_\_\_\_ yuan (0...1,000,000)

Interviewer’s Note:

- (1) “Expenditure on clothing” includes the costs of clothes, shoes, hats, gloves, scarves, etc.
- (2) If none, record “0”.

[CAPI] Soft Check:  $\leq 50,000$ . “In the past year, was the total expenditure on clothing more than 50,000 yuan?”

P502 In the past year, the total expenditure on tourism was \_\_\_\_\_ yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 50,000$ . “In the past year, was the total expenditure on tourism more than 50,000 yuan?”

F1: “Expenditure on tourism” includes the costs of transportation, accommodation, catering, guide service, entrance tickets, and so on.

P503 In the past year, the total expenditure on heating (i.e. central heating) was \_\_\_\_\_ yuan (0...50,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the total expenditure on heating more than 10,000 yuan?”

P504 In the past year, the total expenditure on car purchase was \_\_\_\_\_ yuan (0...10,000,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 200,000$ . “In the past year, was the total expenditure on car purchase more than 200,000 yuan?”

P505 In the past year, the total expenditure on purchase, maintenance, and repair of other transportation and communication tools (i.e., bicycle, electric bicycle, mobile phone, etc.; excluding car purchase) and their parts was \_\_\_\_\_ yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the total expenditure on purchase, maintenance, and repair of other transportation and communication tools, (excluding cars) and accessories more than 10,000 yuan?”

P506 In the past year, the total expenditure on purchasing office electronics, such as laptop, computer, IPAD, printer, and accessories, was \_\_\_\_\_ yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 20,000$ . “In the past year, was total the expenditure on purchasing office

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electronics more than 20,000 yuan?”

P507 In the past year, the total expenditure on furniture and other durable goods, such as refrigerator, washing machine, television, and expensive musical instruments (like piano), was \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

F1: "Durable goods" refer to products with a unit price above 1,000 yuan and a common service life of 2 years or more.

[CAPI] Soft Check:  $\leq 10,000$ . "In the past year, was the total expenditure on furniture and other durable goods more than 10,000 yuan?"

P508 In the past year, the total expenditure on education (including tuition, training fee, etc.) was \_\_\_\_\_ yuan (0...1,000,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 20,000$ . "In the past year, was the total expenditure on education more than 20,000 yuan?"

P509 In the past year, the total direct medical expenditure (excluding that was reimbursed or reimbursable but including that was paid by or borrowed from relatives) was \_\_\_\_\_ yuan (0..., 1,000,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 30,000$ . "In the past year, was the total direct medical expenditure more than 30,000 yuan?"

P510 In the past year, the total expenditure on fitness (e.g., bodybuilding, physical exercise, and health-related apparatus and products) was \_\_\_\_\_ yuan (0...500,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 50,000$ . "In the past year, was total the expenditure on fitness more than 50,000 yuan?"

P511 In the past year, the total expenditure on cosmetic service (including make-ups, facials, massages, etc.) was \_\_\_\_\_ yuan (0...1,000,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 50,000$ . "In the past year, was the total expenditure on cosmetic service more than 50,000 yuan?"

P512 In the past year, the tax (excluding income tax) and miscellaneous fees that were paid to the government, not unrelated to the family's individually operated business or private enterprises, was \_\_\_\_\_ yuan (0...1,000,000)

Interviewer's Note: If none, record "0".

F1: The "miscellaneous fees" here refer to charges collected by the government for its administrative and non-profit services, including license fee, management fee, procedure fee, registration fee, examination fee, resource rent fee from governments of all levels.

[CAPI] Soft Check:  $\leq 10,000$ . "In the past year, was the tax and miscellaneous fees more than

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10,000 yuan?”

P513 In the past year, the total property management fee (including parking fee) was \_\_\_\_\_ yuan (0...50,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 20,000$ . “In the past year, was the total property management fee more than 20,000 yuan?”

P514 In the past year, the total amount of donations (including cash and goods such as food and clothing) to the society was \_\_\_\_\_ yuan (0..., 50,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the total amount of donations to the society more than 10,000 yuan?”

P515 In the past year, the premium for commercial medical insurance was \_\_\_\_\_ yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the premium for commercial medical insurance more than 10,000 yuan?”

P516 In the past year, the premium for commercial property insurance (including car insurance) was \_\_\_\_\_ yuan (0...50,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the premium for commercial property insurance more than 10,000 yuan?”

P517 In the past year, the total amount of other expenditures (excluding financial support for your relatives /friends) was \_\_\_\_\_ yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “In the past year, was the total amount of other expenditures more than 10,000 yuan?”

P601 The highest price of pork fillet in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer’s Note:

(1) If it is a Hui ethnic minority or Muslim area, record “-8” and skip the following questions on pork.

(2) Record to one decimal place.

F1: “Pork fillet” refers to the meat on the pork back which is the best part of lean meat; it is called “premium meat” in some parts of the southern area.

[CAPI]

#1 If P601=“Refuse to answer”, “Don’t know”, or “-8”, skip to P603.

#2 Soft Check:  $\leq 20$ . “Interviewer’s Note: The highest price of pork fillet was more than 20 yuan?”

P602 The lowest price of pork fillet in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

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Interviewer's Note: Record to one decimal place.

F1: "Pork fillet" refers to the meat on the pork back which is the best part of lean meat; it is called "premium meat" in some parts of the southern area.

[CAPI]

#1 If P601="Refuse to answer", "Don't know", or "-8", continue to P603; otherwise, skip to P701.

#2 Soft Check:  $\leq 20$ . "Interviewer's Note: The lowest price of pork fillet was more than 20 yuan?"

#3 Hard Check:  $P602 \leq P601$ . "Interviewer's Note: The lowest price must be lower than the highest price."

P603 The highest price of beef in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI]

#1 If P603="Refuse to answer", "Don't know", or "-8", skip to P605.

#2 Soft Check:  $\leq 20$ . "Interviewer's Note: The highest price of beef was more than 20 yuan."

P604 The lowest price of beef in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI]

#1 If P604="Refuse to answer", "Don't know", or "-8", continue to P605; otherwise, skip to P701.

#2 Soft Check:  $\leq 20$ . "Interviewer's Note: The lowest price of beef was more than 20 yuan."

#3 Hard Check:  $P604 \leq P603$ . "Interviewer's Note: The lowest price must be lower than the highest price."

P605 The highest price of mutton in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI] Soft Check:  $\leq 20$ . "Interviewer's Note: The highest price of mutton was more than 20 yuan."

P606 The lowest price of mutton in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI]

#1 Soft Check:  $\leq 20$ . "Interviewer's Note: The lowest price of mutton was more than 20 yuan."

#2 Hard Check:  $P606 \leq P605$ . "Interviewer's Note: The lowest price must be lower than the highest price."

P701 The highest price of egg in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI] Soft Check:  $\leq 20$ . "Interviewer's Note: The highest price of egg was more than 20 yuan."

P702 The lowest price of egg in the past year: \_\_\_\_\_yuan/0.5 kg (1.0...50.0)

Interviewer's Note: Record to one decimal place.

[CAPI]

#1 Soft Check:  $\leq 20$ . "Interviewer's Note: The lowest price of egg was more than 20 yuan."

#2 Hard Check:  $P702 \leq P701$ . "Interviewer's Note: The lowest price must be lower than the highest price."

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price.”

### III Family Assets

[Prompt] Interviewer’s Note: This section should be completed by the financial respondent “[CAPI] Load the name of the financial respondent”. Don’t allow a proxy to complete the entire section.

#### Part Q Current Residence

[Prompt] The following questions are about your current residence

Q1 Who owns the house where you and your family currently live?

1. Property right solely owned by the family member (skip to Q2)
2. Property right partly owned by the family member (continue to Q101)
3. Public house (*gong fang*) provided by work unit (*danwei*) (continue to Q101)
4. Cheap rental house (continue to Q101)
5. Public rental house (continue to Q101)
6. Commercial house rentable in the market (skip to Q102)
7. Friends or relatives (continue to Q101)
77. Other [Please specify] \_\_\_\_\_ [continue to Q101]

F1: (1) “Cheap rental house” refers to government-sponsored houses that are rented, at modest prices or with subsidies, to low-income urban families that are under the poverty line and cannot find affordable houses. The allocation of a cheap rental house is supported primarily by rental subsidy and occasionally by providing a public rental house or a rental discount.

(2) “Public rental house” refers to state- or publicly owned houses that are rented at a price lower than the market price or an affordable price to the groups that have trouble in housing.

Q101 Do you pay rent for your current residence?

1. Yes (continue to Q102)
5. No (skip to Q2)

Q102 What is your average monthly rent for your current residence? \_\_\_yuan (0...100,000)

Interviewer’s Note: If the rent is paid by year, divide it by 12 months.

[CAPI] Soft Check:  $\geq 100$  and  $\leq 10,000$ . “Is the average monthly rent less than 100?” “Is the average monthly rent more than 10,000?”

Q2 Is part of your current residence rented out?

1. Yes (continue to Q201)
5. No (skip to Q202)

F1: “Rent out” means leasing your house or land to someone and receiving a regular payment.

Q201 What is the average monthly rent your family receives? \_\_\_yuan (0...100,000)

Interviewer’s Note: If the rent is paid by year, divide it by 12 months.

[CAPI]

#1 Soft Check:  $\geq 100$  and  $\leq 10,000$ . “Is the average monthly rent less than 100?” “Is the average

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monthly rent more than 10,000?”

#2 If Q2=1, skip to the CAPI before Q3; otherwise, continue to Q202.

Q202 How much monthly rent would you receive had you rented out your housing unit in the market?  
\_\_\_\_\_yuan/month (1...50,000)

[CAPI]

#1 Soft Check:  $\geq 100$  and  $\leq 10,000$ . “Would the average monthly rent be less than 100?” “Would the average monthly rent be more than 10,000?”

#2 If Q1=1 or 2, continue to Q3-Q6; otherwise, skip to Q7.

Q3 Whose name is on the property ownership certificate of the house?

Interviewer’s Note: Record the name in order of its appearance on the property ownership certificate.

[CAPI] Load the names of all the family members.

Q4 What is the current market value of your house? \_\_\_ yuan

[Data] Generate the variable *houseprice1*.

[CAPI]

#1 If *houseprice1*  $\geq 1,000,000$  or  $\leq 1,000$ , continue to Q4CKP; otherwise, skip to Q5.

#2 If Q4=“Refuse to answer” or “Don’t know”, skip to Q401; otherwise, skip to Q5.

Q4CKP Are you sure that the current market value of the house is “[CAPI] Load the answer of Q4A-E”?

1. Yes (skip to Q5)

5. No (skip to Q4)

Q401 What is the current unit price of the house? \_\_\_\_\_ thousand yuan/m<sup>2</sup> (0.01...100)

Interviewer’s Note:

(1) The price is measured in thousand yuan. For example, 10,000 yuan/m<sup>2</sup>= 10 thousand yuan/m<sup>2</sup>.

(2) If the respondent does not know, ask about the market price of a similar house nearby.

[CAPI]

#1 Soft Check:  $\geq 0.1$  and  $\leq 25$ . “Is the price less than 100 yuan/m<sup>2</sup>” “Is the price more than 25,000 yuan/m<sup>2</sup>”

#2 If Q401=“Refuse to answer” or “Don’t know”, continue to Q4UB; otherwise, skip to Q5.

Q4UB Is the current market value of the house more than\*\* (25,000/50,000/100,000/250,000/500,000)?

[CAPI] Ask in an unfolding manner and start from 100,000.

Q5 Do you or other family members take out a mortgage loan to finance the purchase, construction, or decoration of your house? (If the mortgage has been paid off, the answer is “no”).

1. Yes (continue to Q501)

5. No (skip to Q6)

F1: “Mortgage loan” refers to a (bank) loan secured by the real property which is to be purchased

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by its owner whose property right will be vouched for by the real estate company. Such a loan serves as a means for people to finance their houses.

Q501 What is the total amount of the mortgage (including the interest) that you haven't repaid yet? \_\_\_\_\_ ten thousand yuan (0.01...10,000)

Interviewer's Note: The amount is measured in ten thousand yuan. Record to second decimal place.

[CAPI] Soft Check:  $\leq 100$ . "Is the total amount of the unpaid mortgage more than a million yuan?"

Q6 When did your family secure the property right of the house? \_\_\_\_\_ year (1900...2012)

Interviewer's Note: Record the year in 4 digits.

Q701 The building area of your house is: \_\_\_\_\_ m<sup>2</sup> (1..10,000)

F1: "Building area" refers to the space available for residents' living. The calculation of building area differs by floor, structure and other factors, and should be calibrated by the conditions specified in the "House Proprietary Certificate". Self-built houses can be measured using the following formula: Building area of the house = length \* width \* number of floors. The unit of measurement is the square meter.

[CAPI]

#1 Soft Check:  $\geq 30$ . "Is the building area less than 30 m<sup>2</sup>?"

#2 Soft Check:  $\leq 500$ . "Is the building area more than 500 m<sup>2</sup>?"

Q801 Does the village/residential community where you live have any demolition/relocation plan for next year?

1. Yes                      3. No                      5. Possibly

Q802 Does your family (the whole family) have any moving plan for next year?

1. Yes                      3. No                      5. Possibly

[CAPI] If Q802=1, then show "[CAPI] Are you sure that the whole family will move?"

## Part R Other Real Estate

R1 Do you or your family members own any other house than the one where you currently live?

1. Yes (continue to R101)                      5. No (skip to Part S)

F1: "Own": If a family member is the household head in the house, he/she is considered to be the owner.

R101 How many other housing units do you or your family members currently own? \_\_\_\_\_ (1...10)

[CAPI]

#1 Soft Check:  $\leq 3$ . "Do you currently own more than 3 housing units?"

#2 If R101>1, then show the prompt; otherwise, continue to R2.



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R4 The building area of the house “nearest to your family”/ “of your family” is: \_\_\_\_\_m<sup>2</sup> (5...1,000)

F1: “Building area” refers to the space available for residents’ living. The calculation of building area differs by floor, structure and other factors, and should be calibrated by the conditions specified in the “House Proprietary Certificate”. Self-built houses can be measured using the following formula: Building area of the house = length \* width \* number of floors. The unit of measurement is the square meter.

[CAPI] Soft Check:  $\geq 30$  and  $\leq 200$ . “Is the building area less than 30 m<sup>2</sup>?” “Is the building area more than 200 m<sup>2</sup>?”

R5 Has the house “nearest to your family”/ “of your family” been rented out?

1. Yes (continue to R501)                      5. No (skip to next property)

F1: “Rent out” means leasing your house or land to someone and receiving a regular payment.

R501 What is the monthly rental income for the house “nearest to your family”/ “of your family”? \_\_\_\_\_yuan/month (1...50,000)

[CAPI] Soft Check:  $\geq 100$  and  $\leq 10,000$ . “Is the monthly rental income less than 100 yuan?” “Is the monthly rental income more than 10,000 yuan?”

[CAPI] Go to the next property until all the houses are inquired. Then enter Part S.

## Part S Land

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next, we are going to ask you some questions about the land which here includes many different types such as farming land, forestry land, pasture and pond.”

S1 Does your family have any of the following types of collectively distributed land other than rented land? [Select all that apply]

1. Farming land                      2. Forestry land                      3. Pasture                      4. Pond  
78. None of the above

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If S1=“78. None of the above”, skip to S3; otherwise, continue to S101.

S101 How much collectively distributed land does your family have? \_\_\_\_\_mu (0.1...50,000.0)

F1: “Land” includes farming land, forestry land, pasture, and pond.

[CAPI] Soft Check:  $\leq 50$ . “Does your family have more than 50 mu of collectively distributed land?”

S2 In the past year, did your family rent out any of the collectively distributed land?

Interviewer’s Note: “Rent” refers to the transfer of land to others for use, regardless of whether the family receives rents or not.

1. Yes (continue to S201)                      5. No (skip to S203)

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F1: (1) “Rent” refers to the transfer of land to others for use, regardless of whether the family receives rents or not.

(2) “Land” includes farming land, forestry land, pasture, and pond.

S201 In the past year, how much collectively distributed land did your family rent out? \_\_\_\_\_mu  
(1...50,000)

F1: (1) “Rent” refers to the transfer of land to others for use, regardless of whether the family receives rents or not.

(2) “Land” includes farming land, forestry land, pasture, and pond.

[CAPI] Soft Check:  $\leq 500$ . “Is the collectively distributed land rented out by your family more than 500 mu?”

S202 In the past year, how much was the income from renting out the collectively distributed land?  
\_\_\_\_\_ yuan per year (1...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI]

#1 Soft Check:  $\leq 10,000$ . “Was the income from renting out the collectively distributed land more than 10,000 yuan per year?”

#2 Skip to S3 after answering S202.

S203 How much annual rent per mu would it be had you rented out your collectively distributed land? \_\_\_\_\_yuan per mu per year (1...50,000)

F1: “Land” includes farming land, forestry land, pasture, and pond.

[CAPI] Soft Check:  $\leq 2,000$ . “Would the annual rent be more than 2,000 yuan per mu?”

S3 In the past year, did your family rent out other family assets (such as equipment, transportation tools, trees, durable goods, and livestock) than the house or land?

1. Yes (continue to S301)

5. No (skip to S4)

S301 In the past year, how much rent did your family get by leasing out other family assets (such as equipment, transportation tools, trees, durable goods, and livestock) than the house or land? \_\_\_\_\_yuan (1...500,000)

[CAPI] Soft Check:  $\leq 2,000$ . “Was the rent more than 2,000 yuan?”

S4 In the past year, did your family rent any other land than the collectively distributed land from other people or the village collective?

1. Yes (continue to S401)

5. No (skip to S5)

F1: “Land” includes farming land, forestry land, pasture and pond.

S401 In the past year, how much land did your family rent from other people or the village collective?  
\_\_\_\_\_mu (1...50,000)

F1: “Land” includes farming land, forestry land, pasture and pond.

[CAPI] Soft Check:  $\leq 500$ . “Was the land rented by your family more than 500 mu?”



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- 16. Treasures and precious metal (such as gold)
  - 17. Antiques, valuable paintings, and calligraphic work, and other artistic work
  - 77. Other durable goods
  - 78. None of the above

F1: “Durable goods” refers to the commodity priced over 1,000 yuan with a common service life of 2 or more years.

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If S6=“78. None of the above”, skip to S7; otherwise, ask S601 about each choice.

S601 How much is “[CAPI] Load the choice of S6” worth? \_\_\_\_\_yuan (1...100,000,000)

[CAPI] Soft Check: <=100,000. “Is the total value more than 100,000 yuan?”

S7 Does your family own the following farm machineries? [Select all that apply] [Show card]

- 1. Tractor
- 2. Thresher
- 3. Tractor tool
- 4. Water pump
- 5. Processing equipment
- 77. Other [Please specify]\_\_\_\_\_
- 78. None of the above

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If S7=“78. None of the above”, skip to T1; if S7=1, continue to S701; if S7=77, skip to S702 and ask about each choice.

S701 How much in total are all the tractors worth now? \_\_\_yuan (1...50,000)

[CAPI] Soft Check: >=1,000 and <=100,000. “Is the total value less than 1,000 yuan?” “Is the total value more than 100,000 yuan?”

S702 How much in total are all the “[CAPI] Load the choice of S7” worth now? \_\_\_\_\_yuan (1...50,000)

[CAPI] Soft Check: >=1,000 and <=100,000. “Is the total value less than 1,000 yuan?” “Is the total value more than 100,000 yuan?”

## Part T Financial Assets and Debts

[Prompt] Interviewer’s Note:

- (1) Don’t allow a proxy (other than the financial respondent) to complete the entire section.
- (2) Make sure no one else other than the respondent is present.

[Prompt] Interviewer’s Note: Please read out the following paragraph, “The following questions pertain to your financial assets. The answers to these questions will be kept strictly confidential and will be used for academic research purpose only.”

### Financial Assets

T1 What is the total amount of cash (carried by in pocket and kept at home) and deposits (in the

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financial institutions) currently held by your family? \_\_\_yuan (1...10,000,000)

Interviewer's Note: Count in the cash and deposits held by all members in the family.

[CAPI]

#1 Soft Check:  $\leq 1,000,000$ . "Interviewer's Note: Is the cash and deposits more than 1 million yuan?"

#2 If T1="Refuse to answer" or "Don't know", continue to T1UB; otherwise, skip to T2.

T1UB Is the total amount of money in cash (taken along with you and kept at home) and deposited in financial organizations more than \*(10,000/25,000/50,000/100,000/250,000 yuan)?

[CAPI] Ask in an unfolding manner and start from 50,000.

T2 In the past year, how much interest did your family receive from the deposited money? \_\_\_\_\_yuan (1...1,000,000)

[CAPI] Soft Check:  $\leq 50,000$ . "Interviewer's Note: Was the interest more than 50,000 yuan?"

T3 Right now, does any member of your family hold government bonds?

1. Yes (continue to T301)

5. No (skip to T4)

F1: A government bond is a bond issued by government, usually by the Treasury or other agency in order to raise funds. It consists of two parts, treasure bond and state loan. The treasure bond is issued by the Treasury in order to adjust the balance of payment; state loan is usually raised for infrastructure construction. Sometimes, both of them are referred as public loan. Bond issued by the central government is called sovereign bond, issued by local government is called local bond.

T301 What is the total face value of the government bonds currently held by your family? \_\_\_yuan (1...10,000,000)

F1: A government bond is a bond issued by government, usually by the Treasury or other agency in order to raise funds. It consists of two parts, treasure bond and state loan. The treasure bond is issued by the Treasury in order to adjust the balance of payment; state loan is usually raised for infrastructure construction. Sometimes, both of them are referred as public loan. Bond issued by the central government is called sovereign bond, issued by local government is called local bond.

[CAPI]

#1 Soft Check:  $\leq 200,000$ . "Interviewer's Note: Is the total face value of the government bonds more than 200,000 yuan?"

#2 If the respondent answered T301, skip to T4; if T301="Refuse to answer" or "Don't know", continue to T301UB.

T301UB Is the total face value of the government bonds currently held by your family more than \*(25,000 /50,000/100,000/250,000/500,000) yuan?

[CAPI] Ask in an unfolding manner and start from 100,000.

T4 Right now, does any member of your family hold stock other than that of his/her work unit?

1. Yes (continue to T401)

5. No (skip to T5)

F1: "Stock" refers to a certificate issued by a joint-stock company which certifies that the

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stockholder enjoys the benefits and takes responsibility for the stock he/she holds. Stock is closely related to the shares. Shares are the content of the stock, and a stock is the manifestation of shares.

T401 What is the total current market value of all the stocks currently held by your family? \_\_\_\_\_yuan (1...10,000,000)

F1: "Stock" refers to a certificate issued by a joint-stock company which certifies that the stockholder enjoys the benefits and takes responsibility for the stock he/she holds. Stock is closely related to the shares. Shares are the content of the stock, and a stock is the manifestation of shares.

[CAPI]

#1 Soft Check: <=200,000. "Interviewer's Note: Is the total current market value of all the stocks more than 200,000 yuan?"

#2 If the respondent answered T401, skip to T5; if T401="Refuse to answer" or "Don't know", continue to T401UB.

T401UB Is the total current market value of all the stocks currently held by your family is more than \*\* (25,000 /50,000/100,000/250,000/500,000) yuan?

[CAPI] Ask in an unfolding manner and start from 100,000.

T5 Right now, does any member of your family hold funds?

1. Yes (continue to T501)

5. No (skip to T6)

F1: "Fund" refers to a financial organization established by its investors who set fixed earning targets according to their own conditions. It usually invests in stocks and securities in order to achieve high profits.

T501 What is the total current market value of the funds held by your family? \_\_\_\_\_yuan (1...1,000,000)

F1: "Fund" refers to a financial organization established by its investors who set fixed earning targets according to their own conditions. It usually invests in stocks and securities in order to achieve high profits.

[CAPI]

#1 Soft Check: <=200,000. "Interviewer's Note: Is the current market value of funds more than 200,000 yuan?"

#2 If the respondents answered T501, skip to T6; if T501="Refuse to answer" or "Don't know", continue to T501UB.

T501UB Is the total current market value of the funds held by your family more than \*\* (25,000 /50,000/100,000/250,000/500,000) yuan?

[CAPI] Ask in an unfolding manner and start from 100,000.

T6 Right now, does any member of your family hold financial derivatives (including warrants, index futures, commodity futures, interbank financial products, etc.)?

1. Yes (continue to T601)

5. No (skip to T7)

T601 What is the total current market value of such assets? \_\_\_\_\_yuan (1...10,000,000)



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T901 What was the total amount of the lending? \_\_yuan

[CAPI] Soft Check: <=200,000. “Interviewer’s Note: Was the total amount of the lending more than 200,000 yuan?”

## Part Z Interviewer Observations

[CAPI]

#1 Press “1” to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer’s note.

[Prompt] Interviewer’s Note: The following questions are to be answered by the interviewer based on his/her observations.

Z1 Who was the main respondent that completed the family questionnaire? \_\_\_\_\_

Interviewer’s Note: Record the main respondent.

[CAPI] Load all the family members listed in 2012\_T1.

Z101 Who else in the family answered this questionnaire? \_\_\_\_\_ [Limited to two respondents]

Interviewer’s Note: Record another one or two main respondents.

[CAPI] Load all the other family members listed in 2012\_T1 except the one chosen in Z1.

Z102 Who else besides the family members was present during the interview except? [Select all that apply]

1. Relatives /Friends
2. Interview supervisor
3. Neighbor
4. Cadres of the village/residential community committee
77. Other [please specify the identity]\_\_\_\_\_
78. No one else

[CAPI] “78. None of the above” and the other options are mutually exclusive.

Z103 What was the main language used in the interview? [Single choice]

1. Mandarin (skip to Z201)
5. Dialect (continue to Z104)

Z104 What was the dialect used in the interview \_\_\_\_\_

Z201 Respondent’s comprehension of the questions:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z202 Respondent’s health status:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z203 Neatness/cleanliness of respondent’s clothing:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z204 Respondent’s appearance:

- 
- Very Poor --1--2--3--4--5--6--7--> Very Good
- Z205 Respondent's Mandarin fluency:  
 Interviewer's Note: If the interviewer was not in Mandarin, record "79. Not applicable".  
 Very Poor --1--2--3--4--5--6--7--> Very Good
- Z206 Respondent's cooperation during the interview:  
 Very Poor --1--2--3--4--5--6--7--> Very Good
- Z207 Respondent's intelligence:  
 Very Low --1--2--3--4--5--6--7--> Very High
- Z208 Respondent's courteousness:  
 Very Poor --1--2--3--4--5--6--7--> Very Good
- Z209 Respondent's interest in the interview:  
 Very Low --1--2--3--4--5--6--7--> Very High
- Z210 Respondent's concern about the interview:  
 Very Low --1--2--3--4--5--6--7--> Very High
- Z211 Reliability of respondent's response:  
 Very Low --1--2--3--4--5--6--7--> Very High
- Z212 Respondent's ability to express themselves:  
 Very Weak --1--2--3--4--5--6--7--> Very Strong
- Z5 Respondent's impatience with the interview:  
 No--1--2--3--4--5--6--7--> Yes
- CZ1 The economic condition of the village/residential community:  
 Very Poor--1--2--3--4--5--6--7--> Very rich
- CZ2 Cleanliness of the roads in village/residential community:  
 Very dirty--1--2--3--4--5--6--7--> Very Clean
- CZ3 Outlook of the members in the village/residential community:  
 Very negative--1--2--3--4--5--6--7--> Very positive
- F1: "Very negative" means that the members are in low spirits and depressed
- CZ4 Socioeconomic homogeneity of the members in the village/residential community:  
 Very low --1--2--3--4--5--6--7--> Very high
- F1: "Homogeneity" refers to that the social-economic situation varies little among the residents of the community.
- CZ5 The architectural layout of the village/residential community:  
 Disorderly--1--2--3--4--5--6--7--> Very tidy
- CZ6 Spaciousness of the village/residential community:  
 Crowded --1--2--3--4--5--6--7--> Spacious
- CZ7 The type of the village/residential community:  
 Interviewer's Note: Distinguish between a town and a suburb.  
 1. City (continue to Z701)    2. Town (skip to Z702)    3. Village (skip to Z703)  
 4. Suburb (end this section)
- F1: (1) "City" usually refers to the densely populated area of a designated city, such as the downtown of a county- or prefect-level city.  
 (2) "Town" usually refers to the area where the government of a designated county or township is located.  
 (3) "Rural village" usually refers to an area populated by people who mainly participate in

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agricultural work and is structured in the form of a village.

(4) “Suburb” usually refers to the periphery of a city with high population density that is part of a designated city in terms of administration.

CZ701 Which best describes the type of the village/residential community?

1. Shantytown
2. Old urban neighborhood without renewal (neighborhood community)
3. Residential area of the work unit of an industrial/mining enterprise
4. Residential area of the work unit of a government/public institution
5. Economical housing community
6. Ordinary commercial housing community
7. Community of price- and size-controlled housing
8. High-quality and high-class commercial housing/ villa area
9. Urban residential area newly transformed from village
10. Migrant community
77. Other \_\_\_\_ [please specify]

F1: (1) “Old urban neighborhood without renewal (neighborhood community)” refers to a historical area that preserves the original residential structure.

(2) “Economical housing community” refers to a community where economical houses are sold.

(3) “Community of price- and size-controlled housing” refers to a community where price- and size-controlled houses are sold.

(4) “Urban residential area newly transformed from village” refers to a village that has been transformed into an urban community through urbanization.

(5) “Migrant community” refers to a community designated for immigrants to settle down in, such as a community for reservoir region immigration, poverty immigration or mountainous area immigration.

[CAPI] End this section after answering Z701.

CZ702 Which best describes the type of this village/residential community?

1. Downtown business district
2. Residential district
3. Old industrial district
4. Newly developed district on the periphery of the city/township
5. Agricultural area on the periphery of the city/township
77. Other \_\_\_\_ [please specify]

[CAPI] End this section after answering Z702.

CZ703 Which best describes the type of this village/residential community?

1. Hill
2. Mountainous area
3. Plateau
4. Plain
5. Prairie
6. Fishing village
77. Other \_\_\_\_ [Please specify]

F1: “Mountainous area” refers to mountainous region with an altitude of 600m or above.

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**China Family Panel Studies**  
**2012 Individual Confirmation**  
**Questionnaire**

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**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, “[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual.”



Institute of Social Science Survey, Peking University

April, 2012

[CAPI] If *Rtype=7* (the non-coresident members who should be followed up) , continue to Part A; otherwise, skip to Part B.

## **Part A. Confirm Sex and Age (Proxy Report)**

A101 What is the sex of “[CAPI] Load the targeted respondent’s name”?

1. Male                      5. Female

[CAPI]

#1 “Refuse to answer” or “Don’t know” is not allowed.

#2 If  $A101=CFPS2010\_gender$  or  $CFPS2011\_latest\_interv=0$  (has never been interviewed before) ,

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skip to the [CAPI] before A201; otherwise, continue to A102.

A102 Please make sure that the sex of “[CAPI] Load the targeted respondent’s name” is “[CAPI] Load the answer to A101”.

1. Male                      5. Female

[CAPI]

#1 “Refuse to answer” or “Don’t know” is not allowed.

#2 Soft Check: A101=A102. “Interviewer’s Note: Are the answers to the two questions above different from each other?”

[CAPI] Load *CFPS2010\_qaly\_Best\_check*.

#1 If *CFPS2010\_qaly\_best\_check*=1, continue to A201.

#2 If *CFPS2011\_latest\_interv*=0, skip to A202.

#3 If *CFPS2010\_qaly\_best\_check*=0, skip to the end of the interview.

A201 According to our records, the date of birth of “[CAPI] Load the targeted respondent’s name” is “Load *CFPS2010\_qaly\_Best*”. Is this correct?

1. Yes (skip to the end of the interview)                      5. No (continue to A202)

A202 The date of birth of “[CAPI] Load the targeted respondent’s name” is \_\_\_year\_\_\_month\_\_\_day; \_\_\_Chinese zodiac; \_\_\_age.

Interviewer’s Note:

- (1) Record the year in four digits.
- (2) Record the date of birth according to the one on the household registration book.
- (3) “CTRL+D” is allowed for the year, but in that case, Chinese zodiac and age must be recorded.

[CAPI]

#1 “Refuse to answer” or “Don’t know” is not allowed in QA202Y.

#2 Soft Check: QA202Y=*CFPS2010\_qaly\_best*. “You were born in ‘[CAPI] Load QA202Y’, weren’t you?”

#3 Soft Check: If *CFPS2011\_latest\_interv*=0, “Interviewer’s Note: confirm the targeted respondent was born in ‘[CAPI] Load QA202Y’.”

[CAPI] Decide the type of the questionnaire according to the VAR *CFPS2012\_age*; if *CFPS2012\_age*≥16, use the adult proxy questionnaire; otherwise, use the child proxy questionnaire.

## Part B. Confirm Sex and Age (Self-Report)

[Prompt] Interviewer’s Note: Record without asking.

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B101 Interviewer's Note: What is the sex of the respondent?

Interviewer's Note: Record without asking.

1. Male                      5. Female

[CAPI]

#1 "Refuse to answer" or "Don't know" is not allowed.

#2 If B101=CFPS2010\_gender or CFPS2011\_latest\_interv=0 (has never been interviewed before), skip to the [CAPI] before B201; otherwise, continue to B102.

B102 Interviewer's Note: Record the sex again.

1. Male                      5. Female

[CAPI]

#1 "Refuse to answer" or "Don't know" is not allowed.

#2 Soft Check: B102=B101. "Interviewer's Note: Confirm whether the respondent is '[CAPI] Load B102'?"

[CAPI] Load CFPS2010\_qaly\_Best\_check.

#1 If CFPS2010\_qaly\_best\_check=1, continue to B201.

#2 If CFPS2011\_latest\_interv=0, skip to B202.

#3 If CFPS2010\_qaly\_best\_check=0, skip to the end of the interview.

B201 According to our records, your date of birth is "CFPS2010\_qaly\_Best". Is this correct?

1. Yes (skip to the end of the interview)                      5.No (continue to B202)

B202 Your date of birth is \_\_\_year\_\_\_month\_\_\_day; \_\_\_Chinese zodiac; \_\_\_age.

Interviewer's Note:

(1) Record the year in four digits.

(2) Record the date of birth according to the one on the household registration book.

(3) "CTRL+D" is allowed for the year, but in that case, Chinese zodiac and age must be recorded.

[CAPI]

#1 "Refuse to answer" or "Don't know" is not allowed in QB202Y.

#2 Soft Check: QB202Y=CFPS2010\_qaly\_best. "You were born in '[CAPI] Load QB202Y', weren't you?"

#3 Soft Check: If CFPS2011\_latest\_interv=0, "Interviewer's Note: Confirm that the respondent was born in '[CAPI] Load QA202Y'."

[CAPI] Determine the type of the questionnaire according to the variable CFPS2012\_age: If CFPS2012\_age>=16, use the Adult Self-Report Questionnaire; otherwise, use the Child Self-Report Questionnaire.

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# **China Family Panel Studies**

## **2012 Adult Questionnaire**

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Institute of Social Science Survey, Peking University

April, 2012

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A0 Would you give consent to recording our conversation?

1. Yes            5. No

## Part A. Basic Information

[CAPI] If *CFPS2011\_lastest\_interv*=0, continue to A101; otherwise, skip to A301.

A101 Your birth weight: \_\_\_\_\_0.5kg (1.0...20.0) [Keep one decimal place]

A301 What is your current household registration status?

**Interviewer's Note:** If the respondent is not a Chinese citizen, record "79. Not applicable".

1. Agricultural (continue to A302)            3. Non-agricultural (continue to A302)  
5. Not registered (skip to the CAPI before A401)  
79. Not applicable (skip to the CAPI before A401)

F1: (1) "Not registered" refers to that the child has not been registered yet, but he/she does not have a foreign nationality either.

(2) "Not applicable" refers to a non-Chinese nationality. For example, the parents are Chinese citizen, but the child was born in a foreign country and hence entitled to a foreign citizenship.

A302 Where is your current place of household registration?

**Interviewer's Note:** Press the space key to Load the list of provinces, cities, and districts/counties.

1. This village/neighborhood community  
2. Other village/neighborhood community in this town/district  
3. Other town/district in this county/city  
4. Other county/city/district of this province (please specify): \_\_\_\_\_ County  
5. Other province (please specify): \_\_\_\_\_ Province/Autonomous Region/Municipality,  
\_\_\_\_\_ County

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

[CAPI] If A302=1, skip to the CAPI before A401; otherwise continue to A303.

A303 When did you leave your place of household registration? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12)

[CAPI] Hard Check: QA303Y>CFPS2012\_BirthY.

[CAPI]

#1 If *CFPS2011\_lastest\_interv*=0, continue to A401-A701.

#2 If *CFPS2012\_age*=16, continue to A401-A701.

#3 Otherwise, skip to the CAPI before A701.

A401 What was your family address when you were born?

**Interviewer's Note:** Press the space key to Load the list of provinces, cities, and districts/counties.

- 
1. This village/neighborhood community
  2. Other village/neighborhood community in this town/district
  3. Other town/district in this county/city
  4. Other county/city/district of this province (please specify): \_\_\_\_\_ County
  5. Other province (please specify): \_\_\_\_\_ Province/Autonomous Region/Municipality, \_\_\_\_\_ County
  6. Overseas (including Hong Kong, Macao, and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

A501 Was your place of residence at 3 years old the same as that when you were born?

1. Yes (skip to A503)
5. No (continue to A502)

A502 Where did you live when you were 3 years old?

Interviewer's Note: Press the space key to Load the list of provinces, cities, and districts/counties.

1. This village/neighborhood community
2. Other village/neighborhood community in this town/district
3. Other town/district in this county/city
4. Other county/city/district of this province (please specify): \_\_\_\_\_ County
5. Other province (please specify): \_\_\_\_\_ Province/Autonomous Region/Municipality, \_\_\_\_\_ County
6. Overseas (including Hong Kong, Macao, and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

A503 What was your household registration status when you were 3 years old?

Interviewer's Note: If the respondent was not a Chinese citizen when he/she was 3, record "79. Not applicable".

1. Agricultural
3. Non-agricultural
5. Not registered
79. Not applicable

F1: (1) "Not registered" refers to that the child has not been registered yet, but he/she does not have a foreign nationality either.

(2) "Not applicable" refers to a non-Chinese nationality. For example, the parents are Chinese citizen, but the child was born in a foreign country and hence entitled to a foreign citizenship.

A601 Was your place of residence at 12 years old the same as that when you were born?

1. Yes (skip to A603)
5. No (continue to A602)

A602 Where did you live when you were 12 years old?

1. This village/neighborhood community
2. Other village/neighborhood community in this town/district
3. Other town/district in this county/city
4. Other county/city/district of this province (please specify): \_\_\_\_\_ County
5. Other province (please specify): \_\_\_\_\_ Province/Autonomous Region/Municipality,

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\_\_\_\_\_ County

6. Overseas (including Hong Kong, Macao, and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

A603 What was your household registration status when you were 12 years old?

Interviewer's Note: If the respondent was not a Chinese citizen when he/she was 12, record "79. Not applicable".

1. Agricultural      3. Non-agricultural      5. Not registered      79. Not applicable

F1: (1) "Not registered" means the respondent has not registered in the household registration system and his/her nationality is Chinese.

(2) "Not applicable" means non-Chinese nationality, for example, the respondent was born in a foreign country and entitled to foreign citizenship, while his/her parents are Chinese citizen.

[CAPI] If *CFPS2011\_lastest\_interv*=0 or *CFPS\_minzu*=0, continue to A701; otherwise, skip to the CAPI before A9.

A701 Your ethnicity is: \_\_\_\_\_.

Interviewer's Note:

(1) Based on the information from the household registration.

(2) If the respondent is not Chinese, choose "79. Not applicable."

(3) Press the space key first to retrieve the list of ethnicities.

[CAPI] Load the list of ethnicities which can be searched by initial letters. Han ethnicity appears at the top of the list. Add an option "79. Not applicable".

[CAPI]

#1 If *CFPS2011\_lastest\_interv*=0 and *CFPS2012\_age*>=18, continue to A9.

#2 If *CFPS2011\_lastest\_interv*=1 and 18<=*CFPS2012\_age*<=40 and *CFPS\_GI*=5 (no military experience), continue to A9.

#3 Otherwise, skip to Part C.

A9 Are you a veteran?

1. Yes      2. No (skip to part C)

A901 When did you join the army? \_\_\_\_\_year (1900...2012)

[CAPI] Hard Check: *A901*>*CFPS2012\_birthY*. "Interviewer's Note: The year when the respondent joined the army must be later than the birth year."

A902 When did you end your service? \_\_\_\_\_year (1900...2012)

[CAPI] Hard Check: *A902*>*A901*. "Interviewer's Note: The year when the respondent ended the service must be later than the year joining the army."

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## Part C. Education

[CAPI] Enter the pubic module Part C0 [Schooling Confirmation].

[CAPI] If *CFPS2012\_age* ≤ 25 or *CFPS2011\_lastest\_interv* = 0, continue to C201; otherwise, skip to C301.

C201 What is the minimum level of education you think you should obtain? [Show card]

1. Illiterate/Semi-literate [Masked]
2. Primary school
3. Junior high school
4. Senior high school
5. 3-year college
6. 4-year college/Bachelor's degree
7. Master's degree
8. Doctoral degree
9. No need to go to school

[Prompt] Interviewer's Note, please read out these words, "Next we will ask you about your non-degree training/continuing education experiences in the past year. The non-degree training/continuing education refers to the educational experience that does not involve any formal degree and is not part of the national official education system. Furthermore, the purpose of receiving the training and the continuing education is to improve one's working and learning abilities."

C301 In the past year, how many times did you attended any training or continuing education?  
\_\_\_\_\_ times (0...20)

Interviewer's Note:

- (1) Training and continuing education should involve any formal degree and are not part of the national official education system
- (2) The training and continuing education should be related to improve personal working and learning abilities.
- (3) For example, tutoring class for the national judicial examination, foreign language tutoring class, and ideological and political training.

F1: The non-degree training/continuing education refers to the educational experience that does not involve any formal degree and is not part of the national official education system.

[CAPI]

#1 Soft Check:  $C301 \leq 5$ . "Interviewer's Note: Double check in case the respondent attended training and continuing education more than 5 times."

#2 If  $C301 = 0$ , skip to part D.

#3 If  $C301 = 1$ , read Prompt 1 and continue to C302-C305.

#3 If  $C301 \geq 2$ , circulate C302-C305 twice. Read Prompt 2 for the first time. Read Clue 3 for the second time.

[Prompt 1] Next we will ask you some questions about that non-degree training and continuing education.

[Prompt 2] Next we will ask you some questions about the longest non-degree training and continuing education in the past year. Please consider the entire time duration of the training. For

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example, if training program A lasts for 10 days with 4 hours a day, while training program B lasts 5 days with 10 hours a day, then training program B lasts longer than A.

[Prompt 3] Next we will ask you some questions about the second longest non-degree training and continuing education in the past year.

C302 How long did this non-degree training/continuing education last? \_\_\_\_\_ days (1...365)

Interviewer's Note:

- (1) Record the number of days spent in the actual training. Breaks during the training period are not counted. For example, one training day per week for 10 weeks amounts to 10 days in total.
- (2) If the training lasts less than a day, record one day.

C303 How many hours did this non-degree training/continuing education last? \_\_\_\_\_ hours (1...24)

Interviewer's Note: Round up to an integral number. If the training lasts less than an hour, record one hour.

C304 Which kind of non-degree training/continuing education did you attend? **Show card**

1. Training for new working skill (e.g. for new position, promotion, transfer training).
2. Training for management skill (e.g. for business administration and human resource management training)
3. Training for professional certificate or practical skills (e.g. for judiciary, engineering, foreign language, and computer training).
4. Ideological and political training or party member training.
5. Others [please specify] \_\_\_\_\_

C305 Was this non-degree training/continuing education organized by your work unit?

Interviewer's Note: If the respondent does not belong to any work unit, record "79. Not applicable".

1. Yes    5. No    79. Not applicable

F1: "Organized by corporation or employer" refers to the training which is required and paid by the work unit.

## Part D. Language Ability

D101 How would you rate your language ability in daily communication?

D1011 Let "1" be very bad while "5" be very good. Please rate your ability of speaking Mandarin Chinese.

Interviewer's Note: If the respondent can't speak Mandarin Chinese, record "79. Not applicable".

Very bad 1-----2-----3-----4-----5 Very good

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F1: “Mandarin Chinese” is the modern standard Chinese language promulgated by the government, for example, the pronunciations and tones used in national radio and television news.

D1012 Let “1” be very bad while “5” be very good. Please rate your ability of speaking local dialect.

Interviewer’s Note: If the respondent can’t speak local dialect, record “79. Not applicable”.

Very bad 1-----2-----3-----4-----5 Very good

F1: “Local dialect” is a language that prevails in the local village/residential community. It has distinct characteristics compared to Mandarin Chinese in terms of pronunciation, tones and use of words.

D1013 Let “1” be very bad while “5” be very good. Please rate your ability of speaking English.

Interviewer’s Note: If the respondent can’t speak English, record “79. Not applicable.”

Very bad 1-----2-----3-----4-----5 Very good

D1014 Let “1” be very bad while “5” be very good. Please rate your ability of speaking another foreign language than English?

Interviewer’s Note: If the respondent can’t speak another foreign language, record “79. Not applicable”.

Very bad 1-----2-----3-----4-----5 Very good

D1015 Let “1” be very bad while “5” be very good. Please rate your ability of speaking minority ethnic language.

Interviewer’s Note: If the respondent can’t speak minority ethnic language, record “79. Not applicable”.

Very bad 1-----2-----3-----4-----5 Very good

F1: “Ethnic minority language” is an ethnic language that differs from Mandarin Chinese and other Han dialects, and is used by ethnic minority groups in China.

D201 What is the primary language used in your daily communication with your family? [Single choice]

1. Mandarin Chinese
2. Local dialect
3. English
4. Other foreign language
5. Non-local dialect
6. Minority ethnic language

F1: (1) “Mandarin Chinese” is the modern standard Chinese language promulgated by the government, for example, the pronunciations and tones used in national radio and television news.

(2) “Local dialect” is a language that prevails in the local village/residential community. It has distinct characteristics compared to Mandarin Chinese in terms of pronunciation, tones and use of words.

(3) “Ethnic minority language” is an ethnic language that differs from Mandarin Chinese and other Han dialects, and is used by ethnic minority groups in China.

[CAPI] If  $R1 \geq 5$  (and  $R1 \neq 9$ ) or  $W1 \geq 5$  (and  $R1 \neq 9$ ), and  $D1013 \geq 3$  (and  $D103 \neq 79$ ), continue to D202; otherwise, skip to part E.

D202 Have you passed the College English Test Level-4 or -6?

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Interviewer's Note: Passing refers to a score of 425 or above in the new College English Test, or 60 or above in the old College English Test.

1. Neither      3. Only passed Level-4      5. Passed Level-6

## Part E. Marriage

### E1. Confirm Marital Status at Baseline Survey

[CAPI]

#1 If *CFPS2011\_latest\_interv*=1 and *CFPS2010\_E1\_best*≠0 (not missing), continue to E101.

#2 If *CFPS2011\_latest\_interv*=1 and *CFPS2010\_E1\_best*=0 (missing), skip to E103.

#3 If *CFPS2011\_latest\_interv*=0, skip to E104.

E101 “[CAPI] Load *CFPS2010\_time* (the year and month when the 2010 adult questionnaire was completed)” At the first time when you were interviewed, what was your marital status? [\[Show card\]](#)

1. Never married                      2. Married (having a spouse)                      3. Cohabitation  
4. Divorced                              5. Widowed

F1: (1) “Never married” refers to an individual who has never been married and is not currently cohabitating.

(2) “Married” refers to an individual who currently has a spouse, either having a marriage certificates or living together as a married couple without a marriage certificate, known as a factual marriage.

(3) “Cohabitation” refers to living with a partner without a marriage certificate but also in a different way from a factual marriage. Cohabitation includes living together either before the first marriage or after the first marriage is dissolved.

(4) “Divorced” refers to an individual who used to be married but is no longer married and has not remarried yet.

(5) “Widowed” refers to an individual who has remained single since his/her spouse passed away.

[CAPI]

#1 If E101=“Don't know” or “Refuse to answer”, skip to E104.

#2 If E101=*CFPS2010\_E1\_best*, skip to E104.

#3 If E101≠*CFPS2010\_E1\_best*, continue to E102

E102 We recorded that your marital status in “[CAPI] *CFPS2010\_time*” for the first interview was “[CAPI] Load *CFPS2010\_E1\_best*”, which differs from what you just reported. Could you tell us again what your marital status was at your first interview?

1. Never married                      2. Married (having a spouse)                      3. Cohabitation  
4. Divorced                              5. Widowed

F1: (1) “Never married” refers to an individual who has never been married and is not currently cohabitating.

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(2) “Married” refers to an individual who currently has a spouse, either having a marriage certificates or living together as a married couple without a marriage certificate, known as a factual marriage.

(3) “Cohabitation” refers to living with a partner without a marriage certificate but also in a different way from a factual marriage. Cohabitation includes living together either before the first marriage or after the first marriage is dissolved.

(4) “Divorced” refers to an individual who used to be married but is no longer married and has not remarried yet.

(5) “Widowed” refers to an individual who has remained single since his/her spouse passed away.  
[CAPI] Skip to E104 after answering E102.

E103 What was your marital status in “[CAPI] *CFPS2010\_time*” when you were interviewed for the first time? [Show card]

- |                  |                              |                 |
|------------------|------------------------------|-----------------|
| 1. Never married | 2. Married (having a spouse) | 3. Cohabitation |
| 4. Divorced      | 5. Widowed                   |                 |

F1: (1) “Never married” refers to an individual who has never been married and is not currently cohabitating.

(2) “Married” refers to an individual who currently has a spouse, either having a marriage certificates or living together as a married couple without a marriage certificate, known as a factual marriage.

(3) “Cohabitation” refers to living with a partner without a marriage certificate but also in a different way from a factual marriage. Cohabitation includes living together either before the first marriage or after the first marriage is dissolved.

(4) “Divorced” refers to an individual who used to be married but is no longer married and has not remarried yet.

(5) “Widowed” refers to an individual who has remained single since his/her spouse passed away.

E104 What is your current marital status? [Show card]

Interviewer’s Note: If a respondent cohabitated in 2010, before which he/she had a marital history, broke up with the cohabiting partner in 2012, and was not married between 2010-2012, please ask the marital status (divorced or widowed) before the cohabitation in 2010 as the marital status for 2012.

- |                  |                              |                 |
|------------------|------------------------------|-----------------|
| 1. Never married | 2. Married (having a spouse) | 3. Cohabitation |
| 4. Divorced      | 5. Widowed                   |                 |

F1: (1) “Never married” refers to an individual who has never been married and is not currently cohabitating.

(2) “Married” refers to an individual who currently has a spouse, either having a marriage certificates or living together as a married couple without a marriage certificate, known as a factual marriage.

(3) “Cohabitation” refers to living with a partner without a marriage certificate but also in a different way from a factual marriage. Cohabitation includes living together either before the first marriage or after the first marriage is dissolved.

(4) “Divorced” refers to an individual who used to be married but is no longer married and has not remarried yet.

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(5) “Widowed” refers to an individual who has remained single since his/her spouse passed away.

[CAPI]

#1 Hard Check: If *CFPS2010\_marriage*=2, 4, or 5, then E104≠1; otherwise, return to E103.

#2 *CFPS2010\_marriage*=3 and *CFPS2010\_E310*=1, continue to E105.

#3 Otherwise:

- 1) If E104=1 and *CFPS2010\_latest\_interv*=0, skip to Part F.
- 2) If E104=1 and *CFPS2010\_marriage*=1 or 0, skip to Part F.
- 3) If E104=1 and *CFPS2010\_marriage*=3, skip to the [Cohabitation] module.
- 4) If E104=2, continue to the [Married] module.
- 5) If E104=3, skip to the [Cohabitation] module.
- 6) If E104=4, skip to the [Divorced] module.
- 7) If E104=5, skip to the [Widowed] module.

#4 If E104=“Don’t know” or “Refuse to answer”, and *CFPS2010\_marriage*≠0, skip to the [Confirming Marital Status in 2010] module and ask EC701 after the prompt.

#5 If E104=“Don’t know” or “Refuse to answer”, and *CFPS2010\_marriage*=0, skip to Part F.

#6 If E104=“Don’t know” or “Refuse to answer”, and *CFPS\_latest\_interv*=0, skip to Part F.

E105 When “[CAPI] *CFPS2010\_time*” you were first interviewed, you reported that you were married before your cohabitation. Please reconfirm: were you ever married before the cohabitation?

1. Yes            2. No            5. I did not cohabit at that time [Do not read out]

[CAPI]

#1 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=1, E104=1, return to E104 and choose again.

#2 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=5, E104=1, skip to the [Cohabitation] module.

#3 If E105=5, return to E103 and choose again.

## E2. Married

[CAPI]

#1 If *CFPS2010\_marriage*=1, skip to E208.

#2 If *CFPS2010\_marriage*=2, continue to E201.

#3 If *CFPS2010\_marriage*=3, skip to E206.

#4 If *CFPS2010\_marriage*=4, skip to E208.

#5 If *CFPS2010\_marriage*=5, skip to E208.

#6 If *CFPS2010\_marriage*=0 or *CFPS2011\_latest\_interv*=0, skip to E208.

E201 Is your current spouse the same one as that at your first interview in “[CAPI] Load *CFPS2010\_time*”?

1. Yes (skip to the [Confirming Marital Status in 2010] module and ask EC101 after introduction)

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5. No (continue to E202)

[CAPI] If E201="Don't know" or "Refuse to answer", skip to E208.

E202 Why were you separate from your spouse who was with you at your first interview in 2010?

1. Divorced (continue to E203)                      5. Widowed (skip to E204)

[CAPI] If E202="Don't know" or "Refuse to answer", skip to E208.

E203 When did you divorce your ex-spouse? (Ex-spouse refers to the person who was married to you at your first interview) \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

[CAPI] Skip to E208 after answering E203.

E204 When did your spouse who was with you at your first interview die? \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E205 What was the cause of death of your spouse who was with you at your first interview?

[CAPI]

#1. Load the list of causes of death.

#2. Skip to E208 after answering E205.

E206 We recorded that your marital status was "cohabitation" when you were first interviewed in "[CAPI] Load *CFPS2010\_time*". Is your current spouse the same person who cohabited with you at your first interview?

1. Yes (skip to E208)                      5. No (continue to E207)

[CAPI] If E206="Don't know" or "Refuse to answer", skip to E208.

E207 When were you separate from your ex-partner? \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E208 When did you get married with your current spouse? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12).

[CAPI]

#1 Soft Check: *CFPS2012\_marriage*=1, *E208Y*>=2010. "Interviewer's Note: The respondent was never married at the first interview in 2010, so the year when he/she married the current spouse should not be earlier than 2010."

#2 Soft Check: *CFPS2012\_marriage*=2 and *E201*≠"Don't know" or "Refuse to answer", *E208Y*>=2010. "Interviewer's Note: The respondent started this marriage after the first interview in 2010, so the year when he/she married the current spouse should not be earlier than 2010."

#3 Soft Check: *CFPS2012\_marriage*=3, *E208Y*>=2010. "Interviewer's Note: The respondent was cohabiting at the first interview in 2010, so the year when he/she married the current spouse should not be earlier than 2010."

#4 Soft Check: *CFPS2012\_marriage*=4, *E208Y*>=2010. "Interviewer's Note: The respondent was divorced at the first interview in 2010, so the year when he/she married the current spouse

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should not be earlier than 2010.”

#5 Soft Check:  $CFPS2012\_marriage=5$ ,  $E208Y \geq 2010$ . “Interviewer’s Note: The respondent was widowed at the first interview in 2010, so the year when he/she married the current spouse should not be earlier than 2010.”

E209A What is the highest educational degree your current spouse has obtained?

- |                             |                    |                                     |
|-----------------------------|--------------------|-------------------------------------|
| 1. Illiterate/Semi-literate | 2. Primary school  | 3. Junior high school               |
| 4. Senior high school       | 5. 3-year college  | 6. 4-year college/Bachelor’s degree |
| 7. Master’s degree          | 8. Doctoral degree | 9. No need to go to school [Masked] |

Interviewer’s Note: If the spouse has not completed primary schooling, record “1. Illiterate/Semi-literate”

E209B What is the occupation of your current spouse? : \_\_\_\_\_

Interviewer’s Note:

- (1) If the respondent’s spouse has many jobs, record the one that takes up the most of the time.
- (2) Please record details of the spouse’s job. Recording format: Detailed job description + position/type of work (+ other description of the occupation). For example:
  - a) A teacher who teaches English at a junior high school level in a training institution;
  - b) A government official who is responsible for the public traffic construction in the entire province.
  - c) Drivers to transport cargos.
  - d) Owners of a fruit store by selling fruits at the same time
  - e) Security guard to keep the cargo safe day and night
- (3) If the interviewer doesn’t have a spouse, record “-8” (No job).

[CAPI] If  $CFPS2010\_marriage=3$  and  $E206=1$ , skip to the [Confirming Marital Status in 2010] module, and ask EC801 after the prompt; otherwise, continue to E209.

E209 The date of birth of your current spouse is \_\_\_\_\_year (1900...1998)\_\_\_\_\_month (1...12)

[CAPI]

#1 Hard Check:  $E208Y > E209Y$ . “Interviewer’s Note: The date of birth must be earlier than the date of marriage.”

#2 Soft Check:  $E208Y - E209Y \geq 18$ . “Interviewer’s Note: The respondent’s spouse got married before 18 years old.”

E210 Did you cohabit with your current spouse before getting married?

1. Yes (continue to E211)      5. No (skip to E212)

[CAPI] If E210=“Don’t know” or “Refuse to answer”, skip to E212.

E211 How long did you cohabit before you got married? \_\_\_\_\_months (1...120)

Interviewer’s Note: Round up to an integer and count it one month if the duration was actually

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less than one month.

[CAPI] Soft Check: <=36. “Interviewer’s Note: Double check in case the cohabitation lasted more than 3 years.”

E212 How did you get to know your current spouse? [Single choice][Show card]

Interviewer’s Note:

- (1) If the respondent met the spouse through certain organizations or activities for mating (e.g., Internet dating websites, marriage-brokers, newspaper ads, dating show on TV etc.), record “7. Through dating agency”.
- (2) “Knew each other at the place of residence” means that the respondent communicated or met the spouse by himself/herself in his/her neighborhood.

1. Knew each other at school by ourselves
2. Knew each other at workplace by ourselves
3. Knew each other at the place of residence by ourselves
4. Met each other at other places by ourselves
5. Introduced by relatives
6. Through friends
7. Through dating agencies.
8. Arranged marriage by parents
9. Through Internet      77. Other [please specify]\_\_\_\_\_

[CAPI]

#1 If *CFPS2010\_marriage*=1, continue to E213.

#2 If *CFPS2010\_marriage*=2, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#3 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=5 and E206=5 or “Don’t know” or “Refuse to answer”, continue to E213.

#4 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=1 and E206=5 or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#5 If *CFPS2010\_marriage*=4, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#6 If *CFPS2010\_marriage*=5, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#7 If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, continue to E213.

E213 Is this your first marriage?

1. Yes (skip to Part F)
5. No (skip to the [First Marriage] module)

[CAPI] If E213=“Don’t know” or “Refuse to answer”, skip to Part F.

### **E3. Cohabitation**

[CAPI]

#1 If *CFPS2010\_marriage*=1, skip to E309.

- 
- #2 If *CFPS2010\_marriage*=2, skip to E304.
  - #3 If *CFPS2010\_marriage*=3 and *E104*=1, continue to E301.
  - #4 If *CFPS2010\_marriage*=3 and *E104*=3, skip to E302.
  - #5 If *CFPS2010\_marriage*=4, skip to E309.
  - #6 If *CFPS2010\_marriage*=5, skip to E309.
  - #7 If *CFPS2010\_marriage*=0 or *CFPS2011\_latest\_interv*=0, skip to E309.

E301 We recorded that your marital status was “cohabitation” when you were first interviewed in “[CAPI] Load *CFPS2010\_time*”. Are you now separate from that partner?

- 1. Yes (skip to E303)
- 5. No (return to E104 and ask about marital status again)

[CAPI]

#1 Hard Check: *CFPS2010\_marriage*=3 and *E104*=1, *E301*≠5. “Interviewer’s Note: The respondent is not separated from the cohabitation partner, and the current marital status should be changed to ‘cohabitation’.”

#2 If *E301* = “Don’t know” or “Refuse to answer”, skip to Part F.

E302 Is your current partner the same person who cohabited with you when you were first interviewed in “[CAPI] Load *CFPS2010\_time*”?

- 1. Yes (skip to the [Confirming Marital Status in 2010] module and ask EC201 after the prompt.)

- 5. No (continue to E303)

[CAPI] If *E302* = “Don’t know” or “Refuse to answer”, skip to E309.

E303 When were you separated from your last partner? \_\_\_\_year (2010...2012) \_\_\_\_month (1...12).

[CAPI]

#1 *CFPS2010\_marriage*=3 and *E104*=1, skip to Part F after answering E303.

#2 *CFPS2010\_marriage*=3 and *E104*=3, skip to E309 after answering E303.

E304 We recorded that your marital status was “married” when you were first interviewed in “[CAPI] Load *CFPS2010\_time*”. Are you now separated from that spouse?

- 1. Yes (skip to E305)
- 5. No (continue to E3041 and show the prompt)

[CAPI] If *E304* = “Don’t know” or “Refuse to answer”, skip to E309.

[Prompt] “Interviewer’s Note: It is impossible that the respondent was married in 2010 and is currently cohabiting with someone else without breaking up with the last spouse. If this happens, please ask the respondent to reconfirm his/her current marital status.”

E3041 What do you mean by “not separated”?

- 1. The marriage has not ended
- 5. We still live together after divorce

[CAPI]

#1 If *E3041*=1, return to E104, recode *E104*=2 (Married).

#2 If *E3041*=5, return to E104, recode *E104*=4 (Divorced).

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#3 If E3041="Don't know" or "Refuse to answer", skip to E309.

E305 Why are you separated from your ex-spouse who was married to you at your first interview in 2010?

1. Divorced (continue to E306)                      5. Widowed (skip to E307)

E306 When did you divorce your ex-spouse? (Ex-spouse refers to the person who was married to you at your first interview) \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

[CAPI] Skip to E309 after answering E306.

E307 When did your ex-spouse die? \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E308 What is the cause of death of your spouse who was married to you at your first interview in 2010 die?

[CAPI] Load the list of causes of death.

E309 When did you and your partner start cohabitation? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12)

[CAPI]

#1 Soft Check: *CFPS2012\_marriage*=1, *E309Y*>=2010. "Interviewer's Note: The respondent was never married at the first interview in 2010, so the starting date of cohabitation with the current partner should not be earlier than 2010."

#2 Soft Check: *CFPS2012\_marriage*=2, *E309Y*>=2010. "Interviewer's Note: The respondent was married at the first interview in 2010, so the starting date of cohabitation with the current partner should not be earlier than 2010."

#3 Soft Check: *CFPS2012\_marriage*=3, *E309Y*>=2010. "Interviewer's Note: The respondent was cohabiting with another partner at the first interview in 2010, so the starting date of cohabitation with the current partner should not be earlier than 2010."

#4 Soft Check: *CFPS2012\_marriage*=4, *E309Y*>=2010. "Interviewer's Note: The respondent was divorced at the first interview in 2010, so the starting date of cohabitation with the current partner should not be earlier than 2010."

#5 Soft Check: *CFPS2012\_marriage*=5, *E309Y*>=2010. "Interviewer's Note: The respondent was widowed at the first interview in 2010, so the starting date of cohabitation with the current partner should not be earlier than 2010."

E310 The date of birth of your current partner was \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month (1...12)

[CAPI]

#1 Hard Check: *E309Y* > *E310Y*. "Interviewer's Note: The date of birth must be earlier than the starting date of cohabitation."

#2 Soft Check: *E309Y*-*E310Y*>16. "Interviewer's Note: The respondent's partner started cohabitation before 16 years old."

E311 How did you get to know your current partner? [Single choice][Show card]

Interviewer's Note:

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(1) If the respondent met the spouse through certain organizations or activities for mating (e.g., Internet dating websites, marriage-brokers, newspaper ads, dating show on TV etc.), record “7. Through dating agency”.

(2) “Knew each other at the place of residence” means that the respondent communicated or met the spouse by himself/herself in his/her neighborhood.

1. Knew each other at school by ourselves
2. Knew each other at workplace by ourselves
3. Knew each other at the place of residence by ourselves
4. Met each other at other places by ourselves
5. Introduced by relatives
6. Through friends
7. Through dating agencies.
8. Arranged marriage by parents
9. Through Internet
77. Other [please specify] \_\_\_\_\_

[CAPI]

#1 If *CFPS2010\_marriage*=1, continue to E312.

#2 If *CFPS2010\_marriage*=2, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#3 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=1 and E302=5 or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#4 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=5 and E302=5 or “Don’t know” or “Refuse to answer”, skip to E315.

#5 If *CFPS2010\_marriage*=4, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#6 If *CFPS2010\_marriage*=5, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#7 If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, continue to E312.

E312 Is your current partner the first cohabitation partner?

1. Yes
5. No

[CAPI]

#1 If E312=1 or “Don’t know” or “Refuse to answer”, apply the following skip pattern:

1) If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, skip to E314.

2) If *CFPS2010\_marriage*=1, skip to E315.

#2 If E312=5, continue to E313.

E313 Besides your current partner, how many other partners have you cohabited with before?  
\_\_\_\_\_ persons (1...12)

[CAPI]

#1 If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, continue to E314.

#2 If *CFPS2010\_marriage*=1, skip to E315.

E314 Have you ever been married before?

- 
1. Yes (skip to the [First Marriage] module)      5. No (skip to Part F)  
[CAPI] If E314="Don't know" or "Refuse to answer", return to the main questionnaire.

E315 We recorded that your marital status was "never married" when you were first interviewed in "[CAPI] Load *CFPS2010\_time*". Have you ever been married since the first interview in 2010?

1. Yes (skip to the [First Marriage] module)      5. No (skip to Part F)  
[CAPI] If E315="Don't know" or "Refuse to answer", skip to Part F.

## E4. Divorced

[CAPI]

- #1 If *CFPS2010\_marriage*=1, skip to E412.  
#2 If *CFPS2010\_marriage*=2, skip to E402.  
#3 If *CFPS2010\_marriage*=3, skip to E407.  
#4 If *CFPS2010\_marriage*=4, continue to E401.  
#5 If *CFPS2010\_marriage*=5, skip to E412.  
#6 If *CFPS2010\_marriage*=0 or *CFPS2011\_latest\_interv*=0, skip to E412.

E401 From "[CAPI] Load *CFPS2010\_time*" to present, have you ever been remarried?

1. Yes (skip to E412)  
5. No (skip to the [Confirming Marital Status in 2010] module, and ask EC301 after the prompt)  
[CAPI] If E401="Don't know" or "Refuse to answer", skip to E412.

E402 We recorded that your marital status was "married" when you were first interviewed in "[CAPI] Load *CFPS2010\_time*". Is the spouse who divorced you the same person you married at the first interview?

1. Yes (skip to E412)      5. No (continue to E403)  
[CAPI] If E402="Don't know" or "Refuse to answer", skip to E412.

E403 Why did you break up with your ex-spouse who was married to you at your first interview?

1. Divorced (continue to E404)      5. Widowed (skip to E405)  
[CAPI] If E403="Don't know" or "Refuse to answer", skip to E412.

E404 When did you divorce your ex-spouse? (Ex-spouse refers to the person who was married to you at your first interview) \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

[CAPI] Skip to E412 after answering E404.

E405 When did your spouse die? \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E406 What is the cause of the death of your spouse?

[CAPI]

- #1 Load the list of causes of death.  
#2 Skip to E412 after answering E406.

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E407 We recorded that your marital status was “married” when you were first interviewed in “[CAPI] Load *CFPS2010\_time*”. Is the ex-spouse who divorced you the same person who married you at the first interview?

1. Yes (skip to E412)                      5. No (continue to E408)

[CAPI] If E407=“Don’t know” or “Refuse to answer”, skip to E412.

E408 When were you separated from your 2010 partner ? \_\_\_\_year (2010...2012) \_\_\_\_month (1...12).

E409 From “[CAPI] Load *CFPS2010\_time*” to present, have you ever been married?

1. Yes    5. No

[CAPI]

#1 If E409=1, skip to E412.

#2 If E409=5 and *CFPS2010\_E310\_updated*=1, skip to the [Confirming Marital Status in 2010] module, and ask EC301 after the prompt.

#3 If E409=5 and *CFPS2010\_E310\_updated*=5, continue to E410.

#4 If E409=“Don’t know” or “Refuse to answer”, skip to E412.

E410 We recorded that you were “never married” at your first interview in 2010. But you just told us that you have not got married since then. Please reconfirm: Is your current marital status “divorced”?

1. Yes    5. No (return to E104)

E411 Is the ex-spouse who divorced you the same person who cohabited with you at your first interview in 2010?

1. Yes    5. No

[CAPI] Skip to E412 after answering E411.

E412 When did you divorce your last spouse? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12).

[CAPI]

#1 Soft Check: *CFPS2012\_marriage*=1, *E412Y*>=2010. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of divorce from the last spouse should not be earlier than 2010.”

#2 Soft Check: *CFPS2012\_marriage*=2, *E412Y*>=2010. “Interviewer’s Note: The respondent was married at the first interview in 2010, so the date of divorce from the last spouse should not be earlier than 2010.”

#3 Soft Check: *CFPS2012\_marriage*=3, *E412Y*>=2010. “Interviewer’s Note: The respondent’s spouse at the first interview in 2010 was not the same person as the last spouse, so the date of divorce from the last spouse should not be earlier than 2010.”

#4 Soft Check: *CFPS2012\_marriage*=4, *E412Y*>=2010. “Interviewer’s Note: The respondent’s last marriage started after the first interview in 2010, so the date of divorce from the last spouse should not be earlier than 2010.”

#5 Soft Check: *CFPS2012\_marriage*=5, *E412Y*>=2010. “Interviewer’s Note: The respondent was

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widowed at the first interview in 2010, so the date of divorce from the last spouse should not be earlier than 2010.”

#6 If *CFPS2010\_marriage*=2 and *E402*=1, skip to the [Confirming Marital Status in 2010] module, and ask *EC501* after the prompt.

E413 When did you marry your last spouse? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12).

[CAPI]

#1 Hard Check:  $E413Y \leq E412Y$ . “Interviewer’s Note: Make sure that the date of marriage was earlier than the date of divorce.”

#2 Hard Check: If  $E413Y = E412Y$ , then  $E413M \leq E412M$ . “Interviewer’s Note: Make sure that the date of marriage was earlier than the date of divorce.”

#3 Soft Check: *CFPS2012\_marriage*=1,  $E413Y \geq 2010$ . “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of marriage with the last spouse should not be earlier than 2010.”

#4 Soft Check: *CFPS2012\_marriage*=2,  $E413Y \geq 2010$ . “Interviewer’s Note: The respondent was married at the first interview in 2010, so the date of marriage with the last spouse should not be earlier than 2010.”

#5 Soft Check: *CFPS2012\_marriage*=3,  $E413Y \geq 2010$ . “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of marriage with the last spouse should not be earlier than 2010.”

#6 Soft Check: *CFPS2012\_marriage*=4,  $E413Y \geq 2010$ . “Interviewer’s Note: The respondent’s last marriage started after the first interview in 2010, so the date of marriage with the last spouse should not be earlier than 2010.”

#7 Soft Check: *CFPS2012\_marriage*=5,  $E413Y \geq 2010$ . “Interviewer’s Note: The respondent was widowed at the first interview in 2010, so the date of marriage with the last spouse should not be earlier than 2010.”

#8 If *CFPS2010\_marriage*=3 and *E407*=1, skip to the [Confirming Marital Status in 2010] module, and ask *EC503* after the prompt.

E414 The date of birth of your last spouse was \_\_\_\_\_year (1900...1998) \_\_\_\_\_month (1...12).

[CAPI] Soft Check:  $E413Y - E414Y \geq 18$ . “Was he/she less than 18 years old when you got married?”

E415 Did you cohabit with your last spouse before getting married?

1. Yes (continue to E416)                      5. No (skip to E417)

[CAPI] If *E415* = “Don’t know” or “Refuse to answer”, skip to *E417*.

E416 How long did you cohabit with your last spouse before you got married? \_\_\_months (1...120).

Interviewer’s Note: Round up to an integer and count it one month if the duration was actually less than one month.

[CAPI] Soft Check:  $\leq 36$ . “Interviewer’s Note: Double check in case the cohabitation lasted more than 3 years.”

E417 How did you get to know your last spouse? [Single choice][Show card]

Interviewer’s Note:

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(1) If the respondent met the spouse through certain organizations or activities for mating (e.g., Internet dating websites, marriage-brokers, newspaper ads, dating show on TV etc.), record “7. Through dating agency”.

(2) “Knew each other at the place of residence” means that the respondent communicated or met the spouse by himself/herself in his/her neighborhood.

1. Knew each other at school by ourselves
2. Knew each other at workplace by ourselves
3. Knew each other at the place of residence by ourselves
4. Met each other at other places by ourselves
5. Introduced by relatives
6. Through friends
7. Through dating agencies.
8. Arranged marriage by parents
9. Through Internet      77. Other [please specify] \_\_\_\_\_

[CAPI]

#1 If *CFPS2010\_marriage*=1, continue to E418.

#2 If *CFPS2010\_marriage*=2 and *E402*=5, or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#3 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=5 and *E407*=5, or “Don’t know” or “Refuse to answer”, continue to E418.

#4 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=1 and *E407*=5, or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#5 If *CFPS2010\_marriage*=4, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#6 If *CFPS2010\_marriage*=5, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.

#7 If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, continue to E418.

E418 Is this ex-spouse the one in your first marriage?

1. Yes (skip to Part F)
5. No (skip to the [First Marriage] module)

[CAPI] If E418=“Don’t know” or “Refuse to answer”, skip to Part F

## E5. Widowed

[CAPI]

#1 If *CFPS2010\_marriage*=1, skip to E512.

#2 If *CFPS2010\_marriage*=2, skip to E502.

#3 If *CFPS2010\_marriage*=3, skip to E507.

#4 If *CFPS2010\_marriage*=4, skip to E512.

#5 If *CFPS2010\_marriage*=5, continue to E501.

#6 If *CFPS2010\_marriage*=0 or *CFPS2011\_latest\_interv*=0, skip to E512.

E501 From “[CAPI] Load *CFPS2010\_time*” to present, have you ever been remarried?

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1. Yes (skip to E512)

5. No (skip to the [Confirming Marital Status in 2010] module, and ask EC401 after the prompt)  
[CAPI] If E501="Don't know" or "Refuse to answer", skip to E512.

E502 We recorded that your marital status was "married" when you were first interviewed in "[CAPI] Load *CFPS2010\_time*". Was the recently deceased spouse the same person you married at the first interview in 2010?

1. Yes (skip to E512)                      5. No (continue to E503)

[CAPI] If E502="Don't know" or "Refuse to answer", skip to E512.

E503 Why were you separated from your ex-spouse who was married to you at your first interview in 2010?

1. Divorced (continue to E504)                      5. Widowed (skip to E505)

[CAPI] If E503="Don't know" or "Refuse to answer", skip to E512.

E504 When did you divorce your ex-spouse who was married to you at your first interview in 2010?

\_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12)

[CAPI] Skip to E512 after answering E504.

E505 When did your ex-spouse who was married to you at your first interview in 2010 die?

\_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E506 What is the cause of the death of your ex-spouse who was married to you at your first interview in 2010?

[CAPI]

#1 Load the list of causes of death.

#2 Skip to E512 after answering E506.

E507 We recorded that your marital status was "cohabitation" when you were first interviewed in "[CAPI] Load *CFPS2010\_time*". Was your recently deceased spouse the same person who cohabited with you at that time?

1. Yes (skip to E512)                      5. No (continue to E508)

[CAPI] If E507="Don't know" or "Refuse to answer", skip to E512.

E508 When were you separated from your 2010 partner? \_\_\_\_\_ year (2010...2012) \_\_\_\_\_ month (1...12).

E509 From "[CAPI] Load *CFPS2010\_time*" to present, have you ever been married?

1. Yes                                      5. No

[CAPI]

#1 If E509=1, skip to E512.

#2 If *CFPS2010\_E310\_updated*=1 and E509=5, or "Don't know" or "Refuse to answer", skip to the [Confirming Marital Status in 2010] module, and ask EC401 after the prompt.

# 03 If *CFPS2010\_E310\_updated*=5 and E509=5, or "Don't know" or "Refuse to answer", continue to E510.

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E510 We recorded that you were “never married” before the first interview in 2010, but you just told us that you never got married after that either. Please reconfirm that your current marital status is “widowed”.

1. Yes (continue to E511)                      5. No (return to E104)

E511 Is your recently deceased spouse the same person who cohabited with you at the first interview in 2010?

1. Yes    5. No

[CAPI] Skip to E512 after answering E511.

E512 When did your recently deceased spouse die? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12)

#1 Soft Check: *CFPS2012\_marriage=1, E512Y>=2010*. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of death should not be earlier than 2010.”

#2 Soft Check: *CFPS2012\_marriage=2, E512Y>=2010*. “Interviewer’s Note: The respondent was married at the first interview in 2010, so the date of death should not be earlier than 2010.”

#3 Soft Check: *CFPS2012\_marriage=3, E512Y>=2010*. “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of death should not be earlier than 2010.”

#4 Soft Check: *CFPS2012\_marriage=4, E512Y>=2010*. “Interviewer’s Note: The respondent was divorced at the first interview in 2010, so the date of death should not be earlier than 2010.”

#5 Soft Check: *CFPS2012\_marriage=5, E512Y>=2010*. “Interviewer’s Note: The respondent started the last marriage after the first interview in 2010, so the date of death should not be earlier than 2010.”

E513 What is the cause of death of your recently deceased spouse?

[CAPI]

#1 Load the list of causes of death.

#2 If *CFPS2010\_marriage=2* and *E502=1*, skip to the [Confirming Marital Status in 2010] module, and ask EC601 after the prompt.

E514 When did you marry your recently deceased spouse? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12).

[CAPI]

#1 Hard Check: *E512Y>=E514Y*. “Interviewer’s Note: Make sure that the date of marriage was earlier than the date of death.”

#2 Hard Check: If *E512Y=E514Y*, then *E512M>=E514M*. “Interviewer’s Note: confirm that the marriage date was earlier than decease date.”

#3 Soft Check: *CFPS2012\_marriage=1, E514Y>=2010*. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of death should not be earlier than 2010.”

#4 Soft Check: *CFPS2012\_marriage=2, E514Y>=2010*. “Interviewer’s Note: The respondent was married at the first interview in 2010, so the date of death should not be earlier than 2010.”

#5 Soft Check: *CFPS2012\_marriage=3, E514Y>=2010*. “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of death should not be earlier than 2010.”

#6 Soft Check: *CFPS2012\_marriage=4, E514Y>=2010*. “Interviewer’s Note: The respondent was

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divorced at the first interview in 2010, so the date of death should not be earlier than 2010.”

#7 Soft Check: *CFPS2012\_marriage=5, E514Y>=2010*. “Interviewer’s Note: The respondent started last marriage after the first interview in 2010, so the date of death should not be earlier than 2010.”

#8 If *CFPS2010\_marriage=3* and *E507=1*, skip to the [Confirming Marital Status in 2010] module, and ask EC603 after the prompt.

E515 The date of birth of your recently deceased spouse was \_\_\_\_\_year (1900...1998) \_\_\_\_\_month (1...12).

[CAPI]

#1 Hard Check: *E512Y>E515Y*. “Interviewer’s Note: Make sure the date of birth must be earlier than the date of death.”

#2 Hard Check: *E514Y>E515Y*. “Interviewer’s Note: Make sure the date of birth must be earlier than the date of marriage.”

E516 Did you cohabit with your recently deceased spouse before your marriage?

1. Yes (continue to E517)                      5. No (skip to E518)

[CAPI] If E516=“Don’t know” or “Refuse to answer”, skip to E518.

E517 How long did you cohabit with your recently deceased before marriage? \_\_\_\_\_ months (1...120)

Interviewer’s Note: Round up to an integer and count it one month if the duration was actually less than one month.

[CAPI] Soft Check: *<=36*. “Interviewer’s Note: Double check in case the cohabitation lasted more than 3 years.”

E518 How did you get to know your recently deceased spouse? [Single choice][Show card]

Interviewer’s Note:

(1) If the respondent met the spouse through certain organizations or activities for mating (e.g., Internet dating websites, marriage-brokers, newspaper ads, dating show on TV etc.), record “7. Through dating agency”.

(2) “Knew each other at the place of residence” means that the respondent communicated or met the spouse by himself/herself in his/her neighborhood.

1. Knew each other at school by ourselves
2. Knew each other at workplace by ourselves
3. Knew each other at the place of residence by ourselves
4. Met each other at other places by ourselves
5. Introduced by relatives
6. Through friends
7. Through dating agencies.
8. Arranged marriage by parents
9. Through Internet            77. Other [please specify]\_\_\_\_\_

[CAPI]

- 
- #1 If *CFPS2010\_marriage*=1, continue to E519.
  - #2 If *CFPS2010\_marriage*=2 and *E502*=5, or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.
  - #3 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=5 and *E507*=5, or “Don’t know” or “Refuse to answer”, continue to E519.
  - #4 If *CFPS2010\_marriage*=3 and *CFPS2010\_E310\_updated*=1 and *E507*=5, or “Don’t know” or “Refuse to answer”, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.
  - #5 If *CFPS2010\_marriage*=4, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.
  - #6 If *CFPS2010\_marriage*=5, skip to the [Confirming Marital Status in 2010] module, and ask EC701 after the prompt.
  - #7 If *CFPS2010\_marriage*=0 or *CFPS\_latest\_interv*=0, continue to E519.

E519 Was the recently deceased spouse your first spouse?

- 1. Yes (skip to Part F)
- 5. No (skip to the [First Marriage] module)

[CAPI] If E519=“Don’t know” or “Refuse to answer”, skip to Part F.

## E6. First Marriage

E601 Why were you separated from your first spouse?

- 1. Divorced (continue to E602)
- 5. Widowed (skip to E603)

[CAPI] If E601=“Don’t know” or “Refuse to answer”, skip to Part F.

E602 When did you divorce your first spouse? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12)

[CAPI]

#1 Soft Check: *CFPS2012\_marriage*=1, *E602Y*>=2010. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of divorce should be later than 2010.”

#2 Soft Check: *CFPS2012\_marriage*=3, *E602Y*>=2010. “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of divorce should be later than 2010.”

#3 Skip to E604 after answering E602.

E603 When did your first spouse die? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12).

[CAPI]

#1 Soft Check: *CFPS2012\_marriage*=1, *E603Y*>=2010. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of death should be later than 2010.”

#2 Soft Check: *CFPS2012\_marriage*=3, *E603Y*>=2010. “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of death should be later than 2010.”

E604 When did you and your first spouse get married? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12).

[CAPI]

#1 Hard Check: If E601=5, then *E603Y*>=*E604Y*. “Interviewer’s Note: The date of marriage should be earlier than the date of death.”

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#2 Hard Check: If E601=5, and E603Y=E604Y, then E603M>=E604M. “Interviewer’s Note: The date of marriage should be earlier than the date of death.”

#3 Hard Check: If E601=1, then E602Y>=E604Y. “Interviewer’s Note: The date of marriage should be earlier than the date of divorce.”

#4 Hard Check: If E601=1, and E602Y=E604Y, then E602M>=E604M. “Interviewer’s Note: The date of marriage should be earlier than the date of divorce.”

#5 Soft Check: CFPS2012\_marriage=1, E604Y>=2010. “Interviewer’s Note: The respondent was never married at the first interview in 2010, so the date of marriage should be later than 2010.”

#6 Soft Check: CFPS2012\_marriage=3, E604Y>=2010. “Interviewer’s Note: The respondent was cohabiting at the first interview in 2010, so the date of marriage should be later than 2010.”

E605 The date of birth of your first spouse was \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month (1...12).

#1 Hard Check: E604Y>=E605Y. “Interviewer’s Note: The date of birth should be earlier than the date of marriage.”

#2 Soft Check: E604Y-E605Y>18. “Interviewer’s Note: Double check in case the respondent’s spouse was less than 18 years old when he/she got married.”

E606 Did you cohabit with your first spouse before your marriage?

1. Yes (continue to E607)                      5. No (skip to E608)

[CAPI] If E606=“Don’t know” or “Refuse to answer”, skip to E608.

E607 How long did you cohabit? \_\_\_\_\_ months (1...120)

[CAPI] Soft Check: <=36. “Interviewer’s Note: The cohabitation lasted more than than 3 years.”

E608 How did you get to know your first marriage spouse? [Single choice][Show card]

Interviewer’s Note:

- (1) If the respondent met the spouse through certain organizations or activities for mating (e.g., Internet dating websites, marriage-brokers, newspaper ads, dating show on TV etc.), record “7. Through dating agency”.
- (2) “Knew each other at the place of residence” means that the respondent communicated or met the spouse by himself/herself in his/her neighborhood.

1. Knew each other at school by ourselves
2. Knew each other at workplace by ourselves
3. Knew each other at the place of residence by ourselves
4. Met each other at other places by ourselves
5. Introduced by relatives
6. Through friends
7. Through dating agencies.
8. Arranged marriage by parents
9. Through Internet      77. Other [please specify] \_\_\_\_\_

[CAPI] Skip to Part F after answering E608.

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## EC. Confirming Marital Status in 2010

[Prompt] Interviewer's Note: Please read the following words, "Now, we will confirm some marriage information with you."

### EC1. Confirming Current Spouse

[CAPI] If *CFPS2010\_Name\_S*= "-8" (spouse's name is missing), skip to EC102; otherwise, continue to EC101.

EC101 Is your current spouse's name "[CAPI] Load *CFPS2010\_Name\_S* (the spouse listed in the 2010 family relationship table T2)"?

1. Correct (skip to EC104)
5. Wrong (continue to EC102)

EC102 Is your current spouse listed below?

[CAPI]

#1 Load *CFPS2010\_T1\_1\_all\_updated* and *CFPS2010\_T3* for the respondent to choose, and add an option "78. None of the above".

#2 Select the name from the list, and then skip to EC104.

#3 Skip to EC103 if "78. None of the above".

EC103 Is your current spouse the same person who married you when you were interviewed in "[CAPI] Load *CFPS2010\_time*"?

1. Yes (continue to EC104)
5. No (return to the [Married] module and recode E201=5)

[CAPI] If *CFPS2010\_E210y*=0 (missing value), skip to EC105; otherwise, continue to EC104.

EC104 Did you and your current spouse get married in "[CAPI] Load *CFPS2010\_E210y*"?

1. Correct (skip to EC106)
5. Wrong (continue to EC105)

EC105 You and your current spouse got married in \_\_\_\_\_year (1900...2010) \_\_\_\_\_month (1...12).

[CAPI] If *CFPS2010\_E211y*=0 (missing value), skip to EC107; otherwise, continue to EC106.

EC106 Is your current spouse's date of birth "[CAPI] Load *CFPS2010\_E211y*"?

1. Correct
5. Wrong

[CAPI]

#1 Hard Check: If EC104=1, and EC106=1, then  $CFPS2010\_E211y < CFPS2010\_E210y$ .  
"Interviewer's Note: The date of marriage should be later than the date of birth."

#2 Hard Check: If EC104=5, and EC106=1, then  $CFPS2010\_E211y < EC105y$ . "Interviewer's Note: The date of marriage should be later than the date of birth."

#3 Soft Check: If EC104=1, and EC106=1, then  $CFPS2010\_E210y - CFPS2010\_E211y \geq 18$ .  
"Interviewer's Note: Double check in case the respondent's current spouse got married under age 18."

#4 Soft Check: If EC104=5, and EC106=1, then  $EC105y - CFPS2010\_E211y \geq 18$ . "Interviewer's

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Note: Double check in case the respondent's current spouse got married under age 18."

[CAPI]

#1 If EC106=1 and CFPS2010\_E2=1 (first marriage) or 0 (missing value), skip to Part F.

#2 If EC106=1 and CFPS2010\_E2=5 (not first marriage), continue to EC701.

#3 If EC106=5, continue to EC107.

EC107 The your current spouse's date of birth is \_\_\_\_\_year (1900...1998) \_\_\_\_\_month. (1...12)

[CAPI]

#1 Hard Check: If EC104=1, and EC106=5, then EC107y < CFPS2010\_E210y. "Interviewer's Note: The date of marriage should be later than the date of birth."

#2 Hard Check: If EC104=5, and EC106=5, then EC107y < EC105y. "Interviewer's Note: The date of marriage should be later than the date of birth."

#3 Soft Check: If EC104=1, and EC106=5, then CFPS2010\_E210y - EC107y >= 18. "Interviewer's Note: Double check in case the respondent's current spouse got married under age 18."

#4 Soft Check: If EC104=5, and EC106=5, then EC105y - EC107Y >= 18. "Interviewer's Note: Double check in case the respondent's current spouse got married under age 18."

[CAPI]

#1 If CFPS2010\_E2=1 or 0, skip to Part F.

#2 If CFPS2010\_E2=5, skip to EC701.

## EC2. Confirming Cohabitation Partner

[CAPI] If CFPS2010\_E301y=0 (missing value), skip to EC202; otherwise, continue to EC201.

EC201 Is it correct that the cohabitation of you and your current partner began in "[CAPI] Load CFPS2010\_E301y"?

1. Correct (skip to EC203)

5. Wrong (continue to EC202)

EC202 When did you begin to cohabit with your current partner? \_\_\_\_\_year (1950...2010) \_\_\_\_\_month (1...12)

[CAPI]

#1 Soft Check: EC201=1, CFPS2010\_E301Y >= 2000. "Interviewer's Note: The cohabitation started before 2000."

#2 Soft Check: EC201=5, EC202Y >= 2000. "Interviewer's Note: The cohabitation started before 2000."

[CAPI] If CFPS2010\_E302y=0 (missing value), skip to EC204; otherwise, continue to EC203.

EC203 Is your current partner's date of birth "[CAPI] Load CFPS2010\_E302y"?

1. Correct

5. Wrong

[CFPS]

#1 Hard Check: If EC201=1 and EC203=1, then CFPS2010\_E302y < CFPS2010\_E301y. "Interviewer's Note: The date of cohabitation should be later than the date of birth."

- #2 Hard Check: If EC201=5 and EC203=1, then  $CFPS2010\_E302y < EC202y$ . “Interviewer’s Note: The date of cohabitation should be later than the date of birth.”
- #3 Soft Check: If EC201=1 and EC203=1, then  $CFPS2010\_E301y - CFPS2010\_E302y \geq 16$ . “Interviewer’s Note: Double check in case the respondent’s spouse cohabited under age 16.”
- #4 Soft Check: If EC201=5 and EC203=1, then  $EC202Y - CFPS2010\_E302y \geq 16$ . “Interviewer’s Note: Double check in case the respondent’s spouse cohabited under age 16.”

[CAPI]

- #1 If EC203=1 and  $CFPS2010\_E310\_updated = 5$  (never married before 2010), skip to Part F.
- #2 If EC203=1 and  $CFPS2010\_E310\_updated = 1$  (ever married before 2010), skip to EC701.
- #3 If EC203=5, continue to EC204.

EC204 Your current partner’s date of birth is \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month (1...12)

[CFPS]

- #1 Hard Check: If EC201=1 and EC203=5, then  $EC204y < CFPS2010\_E301y$ . “Interviewer’s Note: The date of cohabitation should be later than the date of birth.”
- #2 Hard Check: If EC201=5 and EC203=5, then  $EC204y < EC202y$ . “Interviewer’s Note: The date of cohabitation should be later than the date of birth.”
- #3 Soft Check: If EC201=1 and EC203=5, then  $CFPS2010\_E301y - CFPS2010\_E204y \geq 16$ . “Interviewer’s Note: Double check in case the respondent’s spouse cohabited under age 16.”
- #4 Soft Check: If EC201=5 and EC203=5, then  $EC202Y - EC204Y \geq 16$ . “Interviewer’s Note: Double check in case the respondent’s spouse cohabited under age 16.”

[CAPI]

- #1 If  $CFPS2010\_E310\_updated = 5$ , skip to Part F.
- #2 If  $CFPS2010\_E310\_updated = 1$ , skip to EC701.

### EC3. Confirming Divorced Spouse

[CAPI] If  $CFPS2010\_E402y = 0$  (missing value), skip to EC302; otherwise, continue to EC301.

EC301 Did you and your last spouse get divorced in “[CAPI] Load  $CFPS2010\_E402y$ ”?

1. Correct (skip to EC303)                      5. Wrong (continue to EC302)

EC302 When did you divorce your last spouse? \_\_\_\_\_ year (1920...2012) \_\_\_\_\_ month (1...12)

[CAPI] If  $CFPS2010\_E405y = 0$  (missing value), skip to EC304; otherwise, continue to EC303.

EC303 Did you and your last spouse get married in “[CAPI] Load  $CFPS2010\_E405y$ ”?

1. Correct (skip to EC305)                      5. Wrong (continue to EC304)

[CFPS]

- #1 Hard Check: If EC301=1 and EC303=1, then  $CFPS2010\_E405y \leq CFPS2010\_E402y$ . “Interviewer’s Note: The date of divorce should be later than the date of marriage.”
- #2 Hard Check: If EC301=5 and EC303=1, then  $CFPS2010\_E405y \leq EC302y$ . “Interviewer’s Note: The date of divorce should be later than the date of marriage.”

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EC304 When did you and your last spouse get married? \_\_\_\_\_ year (1920...2012) \_\_\_\_\_ month (1...12).

[CFPS]

#1 Hard Check: If EC301=1 and EC303=5, then EC304y<=CFPS2010\_E402y. “Interviewer’s Note: The date of divorce should be later than the date of marriage.”

#2 Hard Check: If EC301=5 and EC303=5, then EC304y<=EC302y. “Interviewer’s Note: The date of divorce should be later than the date of marriage.”

[CAPI] If CFPS2010\_E406y=0 (missing value), skip to EC306; otherwise, continue to EC305.

EC305 Is your last spouse’s date of birth “[CAPI] Load CFPS2010\_E406y”?

1. Correct (skip to EC307)                      5. Wrong (continue to EC306)

[CFPS]

#1 Hard Check: If EC303=1 and EC305=1, then CFPS2010\_E406y<CFPS2010\_E405y. “Interviewer’s Note: The date of marriage should be later than the date of birth.”

#2 Hard Check: If EC303=5 and EC305=1, then CFPS2010\_E406y<EC304y. “Interviewer’s Note: The date of marriage should be later than the date of birth.”

EC306 Your last spouse’s date of birth is \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month (1...12)

[CFPS]

#1 Hard Check: If EC303=1 and EC305=1, then EC306y<CFPS2010\_E405y. “Interviewer’s Note: The date of marriage should be later than the date of birth.”

#2 Hard Check: If EC303=5 and EC305=5, then EC306y<EC304y. “Interviewer’s Note: The date of marriage should be later than the date of birth.”

EC307 What is the highest level of education your last spouse has obtained?

1. Illiterate/Semi-literate      2. Primary school      3. Junior high school  
4. Senior high school      5. 3-year college      6. 4-year college/Bachelor’s degree  
7. Master’s degree      8. Doctoral degree      9. No need to go to school [Masked]

[CAPI]

#1 If CFPS2010\_E4=1/0 or CFPS2010\_E313=1/0 (married only once or missing value), skip to Part F.

#2 If CFPS2010\_E4=5 or CFPS2010\_E313=5 (married twice or more), skip to EC701.

#### EC4. Confirming Deceased Spouse

[CAPI]

#1 If CFPS2010\_E403y=0, skip to EC402; otherwise, continue to EC401.

#2 If CFPS2010\_marriage=5, skip to EC402.

EC401 Did your recently deceased spouse die in “[CAPI] Load CFPS2010\_E403y”?

1. Correct (skip to EC403)                      5. Wrong (continue to EC402)

EC402 When did your recently deceased spouse die? \_\_\_\_\_ year (1920...2012) \_\_\_\_\_ month (1...12)

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[CAPI] If *CFPS2010\_E405y*=0, skip to EC404; otherwise, continue to EC403.

EC403 Did you and your recently deceased spouse get married in “[CAPI] Load *CFPS2010\_E405y*”?

1. Correct (skip to EC405)                      5. Wrong (continue to EC404)

[CFPS]

#1 Hard Check: If *EC401*=1 and *EC403*=1, then *CFPS2010\_E405y*<=*CFPS2010\_E403y*.

“Interviewer’s Note: The date of death should be later than the date of marriage.”

#2 Hard Check: If *EC401*=5 and *EC403*=1, then *CFPS2010\_E405y*<=*EC402y*. “Interviewer’s Note:

The date of death should be later than the date of marriage.”

EC404 When did you and your recently deceased spouse get married? \_\_\_\_\_ year (1920...2012)  
\_\_\_\_\_ month (1...12)

[CFPS]

#1 Hard Check: If *EC401*=1 and *EC403*=5, then *EC404y*<=*CFPS2010\_E403y*. “Interviewer’s Note:  
The date of death should be later than the date of marriage.”

#2 Hard Check: If *EC401*=5 and *EC403*=5, then *EC404y*<=*EC402y*. “Interviewer’s Note: The date  
of death should be later than the date of marriage.”

[CAPI] If *CFPS2010\_E406y*=0, skip to EC406; otherwise, continue to EC405.

EC405 Was your dead spouse’s date of birth “[CAPI] Load *CFPS2010\_E406y*”?

1. Correct (skip to EC407)                      5. Wrong (continue to EC406)

[CAPI]

#1 Hard Check: If *EC403*=1 and *EC405*=1, then *CFPS2010\_E406y*<*CFPS2010\_E405y*.

“Interviewer’s Note: The date of marriage should be later than the date of birth.”

#2 Hard Check: If *EC403*=5 and *EC405*=1, then *CFPS2010\_E406y*<*EC404y*. “Interviewer’s Note:

The date of marriage should be later than the date of birth.”

EC406 Your recently deceased spouse’s date of birth was \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month  
(1...12)

[CFPS]

#1 Hard Check: If *EC403*=1 and *EC405*=5, then *EC406y*<*CFPS2010\_E405y*. “Interviewer’s Note:  
The date of marriage should be later than the date of birth.”

#2 Hard Check: If *EC403*=5 and *EC405*=5, *EC406y*<*EC404y*. “Interviewer’s Note: The date of  
marriage should be later than the date of birth.”

EC407 What is the highest level of education your recently deceased spouse had obtained?

1. Illiterate/Semi-literate      2. Primary school      3. Junior high school  
4. Senior high school      5. 3-year college      6. 4-year college/Bachelor’s degree  
7. Master’s degree      8. Doctoral degree      9. No need to go to school [Masked]

[CAPI]

#1 If *CFPS2010\_E5*=1/0 or *CFPS2010\_E313*=1/0 (married only once or missing value), skip to  
Part F.

#2 If *CFPS2010\_E5*=5 or *CFPS2010\_E313*=5 (married twice or more), skip to EC701.

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## EC5. Confirming the Last Spouse (Partner or Spouse in 2010)

[CAPI] If *CFPS2010\_E210y*=0 (missing value), skip to EC502; otherwise, continue to EC501.

EC501 According to our record, you and your last spouse got married in “[CAPI] Load *CFPS2010\_E210y*”. Is it correct?

1. Correct (skip to EC503)                      5. Wrong (continue to EC502)

EC502 When did you and your last spouse get married? \_\_\_\_\_year (1920...2012) \_\_\_\_\_month (1...12)

[CAPI]

#1 If *CFPS2010\_marriage*=2, load *CFPS2010\_E211y*: If *CFPS2010\_E211y*=0 (missing value), skip to EC504; otherwise, continue to EC503.

#2 If *CFPS2010\_marriage*=3, load *CFPS2010\_E302y*: If *CFPS2010\_E302y*=0 (missing value), skip to EC504; otherwise, continue to EC503.

EC503 According to our record, your last spouse’s date of birth is either “[CAPI] Load *CFPS2010\_E211y*” or “[CAPI] Load *CFPS2010\_E302y*”. Is it correct?

1. Correct                      5. Wrong

[CAPI]

#1 Hard Check: If *EC501*=1, *EC503*=1, and *CFPS2010\_marriage*=2, then *CFPS2010\_E211y*<*CFPS2010\_E210y*. “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#2 Hard Check: If *EC501*=5, *EC503*=1, and *CFPS2010\_marriage*=2, then *CFPS2010\_E211y*<*EC502y*. “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#3 Hard Check: If *EC503*=1 and *CFPS2010\_marriage*=3, then *CFPS2010\_E302y*<E413Y. “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#4 Soft Check: If *EC501*=5, *EC503*=1, and *CFPS2010\_marriage*=2, then *CFPS2010\_E210y*-*CFPS2010\_E211y*>=18. “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#5 Soft Check: If *EC501*=5, *EC503*=1, and *CFPS2010\_marriage*=2, then *EC502y*-*CFPS2010\_E211y*>=18. “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#6 Soft Check: If *EC503*=1 and *CFPS2010\_marriage*=3, then E413Y-*CFPS2010\_E302y*>=18. “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

[CAPI]

#1 If *EC503*=1 and *CFPS2010\_E2*=1 or 0, skip to Part F.

#2 If *EC503*=1 and *CFPS2010\_E2*=5, skip to EC701.

#3 If *EC503*=1 and *CFPS2010\_E310\_updated*=5, skip to Part F.

#4 If *EC503*=1 and *CFPS2010\_E310\_updated*=1, skip to EC701.

#5 If *EC503*=5, continue to EC504.

EC504 Your last spouse’s date of birth is \_\_\_\_\_year (1900...1998) \_\_\_\_\_month (1...12)

[CAPI]

- #1 Hard Check: If  $EC501=1$  and  $CFPS2010\_marriage=2$ , then  $EC504y < CFPS2010\_E210y$ .  
 “Interviewer’s Note: The date of marriage date should be later than the date of birth.”
- #2 Hard Check: If  $EC501=5$  and  $CFPS2010\_marriage=2$ , then  $EC504y < EC502y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”
- #3 Hard Check: If  $CFPS2010\_marriage=3$ , then  $EC504y < E413Y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”
- #4 Soft Check: If  $EC501=1$  and  $CFPS2010\_marriage=2$ , then  $CFPS2010\_E210y - EC504y \geq 18$ .  
 “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”
- #5 Soft Check: if  $EC501=5$  and  $CFPS2010\_marriage=2$ , then  $EC502y - EC504y \geq 18$ .  
 “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”
- #6 Soft Check: If  $CFPS2010\_marriage=3$ , then  $E413y - EC504y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

[CAPI]

- #1 If  $CFPS2010\_E2=1$  or 0, skip to Part F.
- #2 If  $CFPS2010\_E2=5$ , skip to EC701.
- #3 If  $CFPS2010\_E310\_updated=5$ , skip to Part F.
- #4 If  $CFPS2010\_E310\_updated=1$ , skip to EC701.

## EC6. Confirming Deceased Spouse (Partner or Spouse in 2010)

[CAPI] If  $CFPS2010\_E210y=0$  (missing value), skip to EC602; otherwise, skip to EC601.

EC601 According to our record, you and your recently deceased spouse got married in “[CAPI] Load  $CFPS2010\_E210y$ ”. Is it correct?

1. Correct (skip to EC603)                      5. Wrong (continue to EC602)

EC602 When did you and your recently deceased spouse get married? \_\_\_\_\_ year (1920...2012) \_\_\_\_\_ month (1...12)

[CAPI]

- #1 If  $CFPS2010\_marriage=2$ , load  $CFPS2010\_E211y$ : If  $CFPS2010\_E211y=0$  (missing value), skip to EC604; otherwise, continue to EC603.
- #2 If  $CFPS2010\_marriage=3$ , load  $CFPS2010\_E302y$ : If  $CFPS2010\_E302y=0$  (missing value), skip to EC604; otherwise, continue to EC603.

EC603 According to our record, your recently deceased spouse’s date of birth was either “[CAPI] Load  $CFPS2010\_E211y$ ” or “[CAPI] Load  $CFPS2010\_E302y$ ”. Is it correct?

1. Correct                      5. Wrong

[CAPI]

- #1 Hard Check: If  $EC601=1$ ,  $EC603=1$ , and  $CFPS2010\_marriage=2$ , then  $CFPS2010\_E211y < CFPS2010\_E210y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”
- #2 Hard Check: If  $EC601=5$ ,  $EC603=1$ , and  $CFPS2010\_marriage=2$ , then  $CFPS2010\_E211y < EC602y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”
- #3 Hard Check: If  $EC603=1$  and  $CFPS2010\_marriage=3$ , then  $CFPS2010\_E302y < E514y$ .

---

“Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#4 Soft Check: If  $EC601=1$ ,  $EC603=1$ , and  $CFPS2010\_marriage=2$ , then  $CFPS2010\_E210y-CFPS2010\_E211y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#5 Soft Check: If  $EC601=5$ ,  $EC603=1$ , and  $CFPS2010\_marriage=2$ , then  $EC602y-CFPS2010\_E211y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#6 Soft Check: If  $EC603=1$  and  $CFPS2010\_marriage=3$ , then  $E514y-CFPS2010\_E302y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

[CAPI]

#1 If  $EC603=1$  and  $CFPS2010\_E2=1$  or 0, skip to Part F.

#2 If  $EC603=1$  and  $CFPS2010\_E2=5$ , skip to EC701.

#3 If  $EC603=1$  and  $CFPS2010\_E310\_updated=5$ , skip to Part F.

#4 If  $EC603=1$  and  $CFPS2010\_E310\_updated=1$ , skip to EC701.

#5 If  $EC603=5$ , continue to EC604.

EC604 Your recently deceased spouse’s date of birth was \_\_\_\_\_ year (1900...1998) \_\_\_\_\_ month (1...12)

[CAPI]

#1 Hard Check: If  $EC601=1$  and  $CFPS2010\_marriage=2$ , then  $EC604y < CFPS2010\_E210y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#2 Hard Check: If  $EC601=5$  and  $CFPS2010\_marriage=2$ , then  $EC604y < EC602y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#3 Hard Check: If  $CFPS2010\_marriage=3$ , then  $EC604y < E514y$ . “Interviewer’s Note: The date of marriage date should be later than the date of birth.”

#4 Soft Check: If  $EC601=1$  and  $CFPS2010\_marriage=2$ , then  $CFPS2010\_E210y-EC604y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#5 Soft Check: If  $EC601=5$  and  $CFPS2010\_marriage=2$ , then  $EC602y-EC604y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

#6 Soft Check: If  $CFPS2010\_marriage=3$ , then  $E514y-EC604y \geq 18$ . “Interviewer’s Note: Double check in case the respondent’s spouse got married under age 18.”

[CAPI]

#1 If  $CFPS2010\_E2=1$  or 0, skip to Part F.

#2 If  $CFPS2010\_E2=5$ , skip to EC701.

#3 If  $CFPS2010\_E310\_updated=5$ , skip to Part F.

#4 If  $CFPS2010\_E310\_updated=1$ , skip to EC701.

## EC7. Confirming the First Spouse for Those Who Married More than Once

[CAPI] If  $CFPS2010\_E605y\_best=0$  (missing value), skip to EC702; otherwise, continue to EC701.

EC701 Did your first marriage start in “[CAPI] Load  $CFPS2010\_E605y\_best$ ”?

1. Correct (skip to EC703)

5. Wrong (continue to EC702)

---

EC702 When did you and your first spouse get married? \_\_\_\_\_year (1900...2012) \_\_\_\_\_month (1...12)

[CAPI] If *CFPS2010\_E606y\_best*=0 (missing value), skip to EC704; otherwise, skip to EC703.

EC703 Is your first spouse's date of birth "[CAPI] Load *CFPS2010\_E606y\_best*"?

1. Correct (skip to Part F)                      5. Wrong (continue to EC704)

[CAPI]

#1 Soft Check: If *EC701*=1 and *EC703*=1, then *CFPS2010\_E605y\_best* - *CFPS2010\_E606y\_best*>=18. "Interviewer's Note: Double check in case the respondent's spouse got married under age 18."

#2 Soft Check: If *EC701*=5 and *EC703*=1, then *EC702Y-CFPS2010\_E606y\_best*>=18. "Interviewer's Note: Double check in case the respondent's spouse got married under age 18."

EC704 Your first spouse's date of birth was \_\_\_\_\_year (1900...1998) \_\_\_\_\_month (1...12)

[CAPI]

#1 Soft Check: If *EC701*=1 and *EC703*=5, then *CFPS2010\_E605y\_best-EC704Y*>=18. "Interviewer's Note: Double check in case the respondent's spouse got married under age 18."

#1 Soft Check: If *EC701*=5 and *EC703*=5, then *EC702Y-EC704Y*>=18. "Interviewer's Note: Double check in case the respondent's spouse got married under age 18."

EC705 What is the highest level of education your first spouse had obtained?

1. Illiterate/Semi-literate                      2. Primary school                      3. Junior high school  
4. Senior high school                      5. 3-year college                      6. 4-year college/Bachelor's degree  
7. Master's degree                      8. Doctoral degree                      9. No need to go to school [Masked]

[CAPI] Skip to Part F after answering EC705.

## EC8. Confirming the Cohabitation Partner Who Became the Spouse

[CAPI] If *CFPS2010\_E302y*=0 (missing value), skip to EC802; otherwise, continue to EC801.

EC801 According to our record, your current spouse's date of birth is "[CAPI] Load *CFPS2010\_E302y*". Is it correct?

1. Correct (skip to Part F)                      5. Wrong (continue to EC802)

EC802 Your current spouse's date of birth was \_\_\_\_\_year (1900...1998) \_\_\_\_\_month (1...12)

[CAPI]

#1 If *CFPS2010\_E310\_updated*=5, skip to Part F.

#2 If *CFPS2010\_E310\_updated*=1, skip to EC701.

## Part F. Relationship with Children

[CAPI] If *CFPS2012\_age*>60 and *CFPS2010\_Num\_child*≠0, continue to F1; otherwise, skip to Part G.

F1 In the past 6 months, how was the relationship between you and your child "[CAPI] Load

---

*CFPS2010\_Name\_child*”?

1. Not close at all      2. Not very close      3. Fair      4. Close      5. Very close  
6. Not my child [Don't read out]      7. The child is deceased [Don't read out]

F2 In the past 6 months, have you done any of the following things for your children? [Select all that apply]

1. Economic support      2. Housework      3. Take care of their children  
4. Financial management      78. None of the above

[CAPI]

#1 F2=78 and the other options are mutually exclusive.

#2 If F2=78, skip to F3.

#3 If *CFPS2010\_Num\_child*=1, skip to F3.

#4 Otherwise, continue to F201.

F201 In the past 6 months, for which child did you help with “[CAPI] Load the choices in F2”?

[CAPI] Load *CFPS2012\_Name\_child* for the respondent to choose.

F3 In the past 6 months, have your children done any of the following things for you? [Select all that apply]

1. Economic support      2. Housework      3. Take care of you  
4. Financial management      78. None of the above

[CAPI]

#1 F3=78 and the other options are mutually exclusive.

#2 If F3=78, skip to Part G.

#3 If *CFPS2010\_Num\_child*=1, skip to Part G.

#4 Otherwise, continue to F301.

F301 In the past 6 months, which child helped you with “[CAPI] Load the choices in F3”?

[CAPI] Load *CFPS2012\_Name\_child* for the respondent to choose.

## Part G. Work

[CAPI]

#1 If C01=5, skip to the [Work] Module; after that, skip to Part I [Retirement and Pension].

#2 If C01=1 and RA1=1 (full-time), skip to Part I [Retirement and Pension].

#3 If C01=1 and RA1=5 (employed), skip to the [Work] module; after that, skip to Part I [Retirement and Pension].

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## Part I Retirement and Pension

[CAPI]

#1 If *CFPS2012\_age*<35 and *G110*=3, continue to Part II.

#2 If *CFPS2012\_age*<35 and *G110*≠3, skip to Part I6.

#3 If *CFPS2012\_age*≥35, continue to Part I.

### I1. Retirement and Resignation

I702 Have you had a buyout?

1. Yes (continue to I703)                      5. No (skip to I101)

I703 When did you have the buyout? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] Hard Check: *QI703Y*>*CFPS2012\_BirthY*. "Interviewer's Note: The date of buyout must be later than the date of birth."

I704 How much money did you get from the buyout? \_\_\_\_\_yuan (1,000...5,000,000)

[CAPI]

#1 Soft Check: ≤200,000. "Interviewer's Note: The amount of money is more than 200,000 yuan."

#2 Skip to I101 after answering I704.

I101 Have you officially retired or resigned (including early retirement and internal retirement from the work unit or enterprise)?

1. Resigned                      5. Retired                      78. None of the above

F1: "Resignation" refers to that a person has lost his/her working ability due to disease or disability, but his/her age, working age, and contributions to the pension plan don't meet the retirement conditions; therefore, he/she can receive certain amount of resignation compensation to cover the living expense, and will formally retire when the required conditions are met.

[CAPI]

#1 If *I101*=1, continue to I102.

#2 If *I101*=5, skip to I201.

#3 If *I101*=78 or "Don't know" or "Refuse to answer", and *CFPS2012\_age*≥45, skip to Part I5.

#4 If *I101*=78 or "Don't know" or "Refuse to answer", and *CFPS2012\_age*<45, skip to Part I6.

I102 When did you resign? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI]

#1 Hard Check: *QI102Y*>*CFPS2012\_BirthY*. "Interviewer's Note: The date of resignation must be later than the date of birth."

---

#2 Soft Check:  $QI102Y \geq CFPS2012\_BirthY + 35$ . “Did you resign before 35 years old?”

I103 Are you receiving pension (or pension benefits) now? Only include the pension (or pension benefits) from the Basic Pension Insurance.

F1: “Basic Pension Insurance” is a mandatory social security system established and implemented according to the related national laws. In this system, both employers and employees should contribute to pension premiums according to the law. When employees reach the retirement age or withdraw from the labor force for other reasons, social security institutions should pay pensions to the employees to cover their basic living expense. The Basic Pension Insurance, the Unemployment Insurance, the Basic Medical Insurance, the Work-Related Injury Insurance, and the Maternity Insurance together make up the modern social insurance system, and the Basic Pension Insurance is among the most important ones in this system.

[CAPI]

#1 If I103=1, skip to I401.

#2 If I103=5 and  $CFPS2012\_age \geq 45$ , skip to Part I5.

#4 If I103=5 and  $CFPS2012\_age < 45$ , skip to Part I6.

## I2. Retirement

I201 What is the type of your retirement, a normal retirement, an early retirement, or an internal retirement followed by a formal retirement?

1. Normal retirement (continue to I202)
2. Early retirement (continue to I202)
3. Internal retirement first, followed by a formal retirement (skip to I301)
4. Internal retirement, but no formal retirement yet (skip to I301)

I202 When did you process the paperwork of “[CAPI] Load the response in I201”? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 Hard Check:  $QI202Y > CFPS2012\_BirthY$ . “Interviewer’s Note: The date of retirement must be later than the date of birth.”

#2 Soft Check:  $QI202Y \geq CFPS2012\_BirthY + 35$ . “Did you retire before 35 years old?”

#3 Skip to I401 after answering I202.

## I3. Internal Retirement

I301 When did you take internal retirement? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 Hard Check:  $QI301Y > CFPS2012\_BirthY$ . “Interviewer’s Note: retirement date must be later than the date of birth.”

---

#2 Soft Check:  $QI301Y \geq CFPS2012\_BirthY + 30$ . “Did you internally retire before 30 years old?”

#3 If  $I201=3$ , skip to I302.

#4 If  $I201=4$  and  $CFPS2012\_age \geq 45$ , skip to Part I5.

#5 If  $I201=4$  and  $CFPS2012\_age < 45$ , skip to Part I6.

I302 When did you take normal retirement? \_\_\_\_\_ year (1949...2012) \_\_\_\_\_ month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 Hard Check:  $QI302Y > CFPS2012\_BirthY$ . “Interviewer’s Note: retirement date must be later than the date of birth.”

#2 Soft Check:  $QI302Y \geq CFPS2012\_BirthY + 35$ . “Did you retire before 35 years old?”

## I4. Pension

I401 Including all the subsidies, how much pension do you get paid per month after tax? Only include the pension (or pension benefits) from the Basic Pension Insurance? \_\_\_\_\_ yuan/month (200...100,000)

F1: “Basic Pension Insurance” is a mandatory social security system established and implemented according to the related national laws. In this system, both employers and employees should contribute to pension premiums according to the law. When employees reach the retirement age or withdraw from the labor force for other reasons, social security institutions should pay pensions to the employees to cover their basic living expense. The Basic Pension Insurance, the Unemployment Insurance, the Basic Medical Insurance, the Work-Related Injury Insurance, and the Maternity Insurance together make up the modern social insurance system, and the Basic Pension Insurance is among the most important ones in this system.

[CAPI]

#1 Soft Check:  $I401 \geq 200$  and  $\leq 5,000$ . “Interviewer’s Note: The pension is less than 200 yuan per month.” “Interviewer’s Note: The pension is more than 5,000 yuan per month.”

#2 If  $I401$  = “Refuse to answer” or “Don’t know”, continue to I402.

#3 If  $CFPS2012\_age \geq 45$ , skip to Part I5; otherwise, skip to Part I6.

I402 Is your pension more than (500 /1,000/2,500/5,000/10,000) yuan per month?

[CAPI]

#1 Ask in an unfolding manner and start from 2,500 yuan.

#2 If  $CFPS2012\_age \geq 45$ , skip to Part I5; otherwise, skip to Part I6.

## I5. Pension Insurance

[Prompt] Interviewer’s Note: Read out the following sentences, “We will ask you some questions about your pension insurance. These questions are very important for evaluating current pension policies and for future policy making.”

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I5011 Are you currently paid from any supplemental pension insurance of the firm?

1. Yes                      5. No

F1: “Supplemental pension insurance of the firm” is also called enterprise annuity. It is a very important supplementary form of pension insurance which is established on top of the national basic pension insurance according to the national policies and the economic condition of the firm. This insurance helps to improve the living standard for the employees after retirement.

I5012 Are you currently paid from any commercial pension insurance?

1. Yes                      5. No

F1: “Commercial pension insurance” refers to a long-term life insurance provided by commercial insurance companies for policyholders to receive pension after retirement.

I5013 Are you currently paid from the Rural Pension Insurance (the old one)?

1. Yes                      5. No

F1: “Rural Pension Insurance” refers to an old program that relies on farmers’ own contributions to pay the premium and lacks subsidies from the central or local government.

I5014 Are you currently paid from the New Rural Social Pension Insurance (the new one)?

1. Yes                      5. No

F1: “New Rural Social Pension Insurance” refers to a recently established new program that combines social pooling and personal account since 2008. It is financed by a combination of personal contribution, collective subsidy, and government subsidy.

I5015 Are you currently paid from the Urban Resident Pension Insurance?

1. Yes                      5. No

F1: “Urban Resident Pension Insurance” refers to the pension program that covers unemployed urban residents who do not meet the requirement of the Basic Pension Insurance. This insurance program is financed by both personal contribution and government subsidy.

I5016 Are you currently paid from the Urban-Rural Resident Social Pension Insurance?

1. Yes                      5. No

F1: “Urban-Rural Resident Social Pension Insurance” refers to a new pension program that integrates the Urban Resident Pension Insurance and the Rural Social Pension Insurance together.

I5017 Are you currently receiving the old-age pension subsidy?

1. Yes                      5. No

[CAPI] Soft Check: If I5017=1, then CFPS2012\_Age >=60. “Interviewer’s Note: It is impossible to receive the old-age pension subsidy if the respondent under age 60.”

I502 Are you currently receiving any other pension?

1. Yes                      5. No (skip to the CAPI before I503)

I5021 Name of the other pension you are currently receiving is \_\_\_\_\_

Interviewer’s Note: Record the most important one when there are multiple pensions.

---

[CAPI]

#1 Ask the following questions about each type of the selected pension (the skip pattern depends on each specific set of the questions):

- (1) If I5011=1, skip to I505, and ask I505, I506, I507, I516, I517, and I518; and use the wording “supplemental pension insurance of the firm” in the questions.
- (2) If I5012=1, skip to I505, and ask I505, I508, I509, I510, I516, I517, and I518; and use the wording “commercial insurance” in the questions.
- (3) If I5013=1, skip to I504, and ask I504, I505, I511, I512, I513, I516, I517, and I518; and use the wording “rural pension” in the questions.
- (4) If I5014=1, skip to I503, and ask I503, I514, I5141, I5142, I516, I517, and I518; and use the wording “New Rural Social Pension Insurance” in the questions.
- (5) If I5015=1, skip to I504, and ask I504, I505, I511, I512, I513, I516, I517, and I518; and use the wording “Urban Resident Pension Insurance” in the questions.
- (6) If I5016=1, skip to the CAPI before I504, and ask I504, I505, I511, I512, I513, I516, I517, and I518; and use the wording “Urban-Rural Resident Social Pension Insurance” in the questions.
- (7) If I5017=1, skip to I516, and ask I516, I517, and I518; and use the wording “old-age pension subsidy” in the questions.
- (8) If I502=1, skip to I505, and ask I505, I515, I516, I517, and I518; and use the wording “the response in I5021” in the questions.

#2 If “1” is not reported in I5011-I502, skip to Part I6.

I503 When did you enroll in the New Rural Social Pension Insurance? \_\_\_\_\_year  
(2008...2012) \_\_\_\_\_month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 Hard Check:  $QI503Y > CFPS2012\_BirthY$ . “Interviewer’s Note: The date when the respondent enrolled in the pension insurance should be later than the date of birth.”

#2 Skip to I514 after answering I503.

[CAPI] If I5016=1, add a question “Was your Urban-Rural Resident Social Pension Insurance transferred from other insurance?” after I504.

I504 Have you ever contributed to “[CAPI] Load the type of pension insurance”?

1. Yes (continue to I505)      5. No (skip to I516)

I505 When did you contribute to “[CAPI] Load the type of pension insurance”? \_\_\_\_\_year  
(1949...2011) \_\_\_\_\_month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 If I5012=1, skip to I508.

#2 If I5013=1, or I5015=1, or I5016=1, skip to I511.

#3 If I502=1, skip to I515.

---

#4 Otherwise, continue to I506.

I506 What is the combined monthly contribution from you and your employer? \_\_\_\_\_yuan  
(100...50,000)

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: Monthly contribution is more than 10,000 yuan.”

I507 Out of this combined contribution, how much do you pay? \_\_\_\_\_% (0...100)

Interviewer’s Note: Round up to an integer.

[CAPI] Skip to I516 after answering I507.

I508 Did you pay off the pension insurance once for all?

1. Yes (skip to I510)                      5. No (continue to I509)

I509 How much do you contribute to the pension insurance each year? \_\_\_\_\_yuan/year  
(100...1,000,000)

[CAPI]

#1 Soft Check: <=100,000. “Interviewer’s Note: Annual contribution to the pension insurance is more than 100,000 yuan.”

#2 Skip to I516 after answering I509.

I510 How much do you contribute to the pension insurance in total? \_\_\_\_\_yuan  
(100...1,000,000)

[CAPI]

#1 Soft Check: <=500,000. “Interviewer’s Note: Total contribution to the pension insurance is more than 500,000 yuan.”

#2 Skip to I516 after answering I510.

I511 How much do you contribute to “[CAPI] Load the type of pension insurance” each year?  
\_\_\_\_\_yuan/year (1...1,000,000)

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: Annual contribution is more than 10,000 yuan.”

I512 How much is the collective subsidy for “[CAPI] Load the type of pension insurance” each year?  
\_\_\_\_\_yuan/year (1...1,000,000)

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: Annual collective subsidy is more than 10,000 yuan.”

I513 How much is the government subsidy for “[CAPI] Load the type of pension insurance” each year?  
\_\_\_\_\_yuan/year (1...100,000)

[CAPI]

#1 Soft Check: <=10,000. “Interviewer’s Note: Annual government subsidy is more than 10,000 yuan.”

#2 Skip to I516 after answering I513.

---

I514 How do you pay the pension insurance premium?

1. Annual payment (continue to I5141)
3. One-time payment (skip to I5142)
5. I am over 60 years old and don't have to pay. My children who meet the requirements have enrolled in the New Rural Social Pension Insurance (skip to I516)

I5141 How much do you contribute to “[CAPI] Load the type of pension insurance” each year? \_\_\_yuan/year (1...100,000)

[CAPI]

#1 Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Annual contribution to the insurance is more than 10,000 yuan.”

#2 Skip to I516 after answering I5141.

I5142 How much do you contribute to “[CAPI] Load the type of pension insurance” once for all? \_\_\_yuan (1...1,000,000)

[CAPI]

#1 Soft Check:  $\leq 10,000$ . “Interviewer’s Note: One-time contribution to the insurance is more than 10,000 yuan.”

#2 Skip to I516 after answering I5142.

I515 How much do you pay insurance premium by yourself each year? \_\_\_\_\_yuan/year (1...1,000,000)

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Annual premium for the insurance is more than 10,000 yuan.”

I516 When did you begin to receive “[CAPI] Load the type of pension insurance”? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12)

Interviewer’s Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.

[CAPI]

#1 Hard Check:  $QI516Y > CFPS2012\_BirthY$ . “Interviewer’s Note: The date when the respondent began to receive the pension should be later than the date of birth.”

#2 Hard Check: If  $I5011=5$ , or  $I5012=1$ , or  $I502=1$ , then  $QI516Y \geq QI505Y$ . “Interviewer’s Note: The date when the respondent began to receive the pension should be later than the date the when the respondent began to contribute to the pension.”

#3 Hard Check: If  $(I5013=1, \text{ or } I5015=1, \text{ or } I516=1)$  and  $I504=1$ , then  $QI516Y \geq QI505Y$ . “Interviewer’s Note: The date when the respondent began to receive the pension should be later than the date the when the respondent began to contribute to the pension.”

#4 Hard Check: If  $I5014=1$ , then  $QI516Y \geq QI503Y$ . “Interviewer’s Note: The date when the respondent began to receive the pension should be later than the date the when the respondent began to contribute to the pension.”

I517 How much do you receive from “[CAPI] Load the type of pension insurance” each month? \_\_\_\_\_yuan/month (1...100,000)

---

[CAPI]

#1 If I517="Refuse to answer" or "Don't know", ask I518; otherwise, skip to the next type of pension.

#2 Soft Check:  $\leq 10,000$ . "Interviewer's Note: Receiving more than 10,000 yuan each month."

I518 Is the amount of money you receive from "[CAPI] Load the type of pension insurance" more than (500 /1,000/2,500/5,000/10,000) yuan?

[CAPI] Ask in an unfolding manner and start from 2,500 yuan.

## I6. Pension Enrollment

[CAPI]

#1 If I101=1 and I103=1, or I101=5, skip to the CAPI before I604.

#2 Otherwise, continue to I601.

I601 Are you enrolled in any government or public pension program, or basic pension insurance provided by the enterprise?

1. Yes, I am enrolled in a government/public program (continue to I602)
5. Yes, I am enrolled in a basic pension insurance provided by the enterprise (skip to I602)
78. None of the above (skip to I604)

I602 How many working years that are eligible for pension calculation have you accumulated so far? Include all the working years regardless of who the employer is as long as it counts in pension calculation. \_\_\_\_\_ years (1...80)

Interviewer's Note: Round up to an integer. Count it one year if it is less. Count it two years if it is more than one year but less than two years.

[CAPI] Hard Check:  $I602 < CFPS2012\_age$ . "Interviewer's Note: The number of working years must be less than the respondent's life time."

I603 How many working years in total that are eligible for pension calculation will you accumulate by the time you retire? Include all the working years regardless of who the employer is as long as it counts in pension calculation. \_\_\_\_\_ years (1...80)

Interviewer's Note: Round up to an integer. Count it one year if it is less. Count it two years if it is more than one year but less than two years.

[CAPI]

#1 Hard Check:  $I603 \geq I602$ . "Interviewer's Note: The number of years to be accumulated by the time of retirement must be greater than the number of years accumulated so far."

#2 If "1" is not reported in I5011-I5017, and I502, skip to I605; otherwise, continue to I604.

I604 Which of the following pension programs have you contributed to but not begun to receive yet? [Select all that apply]

1. Supplemental pension insurance from the enterprise
2. Commercial pension insurance
3. Rural Pension Insurance (the old one)
4. New Rural Social Pension Insurance (the new one)

- 
5. Urban Resident Pension Insurance
  6. Urban-Rural Resident Social Pension Insurance
  77. Other
  78. None of the above

F1: (1) “Supplemental pension insurance of the firm” is also called enterprise annuity. It is a very important supplementary form of pension insurance which is established on top of the national basic pension insurance according to the national policies and the economic condition of the firm. This insurance helps to improve the living standard for the employees after retirement.

(2) “Commercial pension insurance” refers to a long-term life insurance provided by commercial insurance companies for policyholders to receive pension after retirement.

(3) “Rural Pension Insurance” refers to an old program that relies on farmers’ own contributions to pay the premium and lacks subsidies from the central or local government.

(4) “New Rural Social Pension Insurance” refers to a recently established new program that combines social pooling and personal account since 2008. It is financed by a combination of personal contribution, collective subsidy, and government subsidy.

(5) “Urban Resident Pension Insurance” refers to the pension program that covers unemployed urban residents who do not meet the requirement of the Basic Pension Insurance. This insurance program is financed by both personal contribution and government subsidy.

(6) “Urban-Rural Resident Social Pension Insurance” refers to a new pension program that integrates the Urban Resident Pension Insurance and the Rural Social Pension Insurance together.

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If I604=“78. None of the above”, end the [Retirement and Pension] module; otherwise, skip to the CAPI before I7.

#3 Hard Check:

1) If I5011=1, option “1” is not allowed in I604. “You have been receiving supplementary pension from the enterprise.”

2) If I5012=1, option “2” is not allowed in I604. “You have been receiving commercial pension.”

3) If I5013=1, option “3” is not allowed in I604. “You have been receiving the Rural Pension.”

4) If I5014=1, option “4” is not allowed in I604. “You have been receiving the New Rural Social Pension.”

5) If I5015=1, option “5” is not allowed in I604. “You have been receiving the Urban Resident Pension.”

6) If I5016=1, option “6” is not allowed in I604. “You have been receiving the Urban-Rural Resident Social Pension Insurance pensions.”

#4 Skip to Part I7 after answering I604.

I605 Have you enrolled in one or more of the following pension programs? [Select all that apply]

1. Supplemental pension insurance from the enterprise
2. Commercial pension insurance
3. Rural Pension Insurance (the old one)
4. New Rural Social Pension Insurance (the new one)

- 
- 5. Urban Resident Pension Insurance
  - 6. Urban-Rural Resident Social Pension Insurance
  - 77. Other
  - 78. None of the above

F1: (1) “Supplemental pension insurance of the firm” is also called enterprise annuity. It is a very important supplementary form of pension insurance which is established on top of the national basic pension insurance according to the national policies and the economic condition of the firm. This insurance helps to improve the living standard for the employees after retirement.

(2) “Commercial pension insurance” refers to a long-term life insurance provided by commercial insurance companies for policyholders to receive pension after retirement.

(3) “Rural Pension Insurance” refers to an old program that relies on farmers’ own contributions to pay the premium and lacks subsidies from the central or local government.

(4) “New Rural Social Pension Insurance” refers to a recently established new program that combines social pooling and personal account since 2008. It is financed by a combination of personal contribution, collective subsidy, and government subsidy.

(5) “Urban Resident Pension Insurance” refers to the pension program that covers unemployed urban residents who do not meet the requirement of the Basic Pension Insurance. This insurance program is financed by both personal contribution and government subsidy.

(6) “Urban-Rural Resident Social Pension Insurance” refers to a new pension program that integrates the Urban Resident Pension Insurance and the Rural Social Pension Insurance together.

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If I605=“78. None of the above”, end the [Retirement and Pension] module; otherwise, skip to the CAPI before I701.

## Supplemental Pension Insurance of the Firm

[CAPI] If “1” is selected in I604 or I605, continue to Part I7; otherwise, skip to Part I8.

I701 How many years have you been enrolled in the supplemental pension insurance of the firm? (Counting all the years of enrollment in the same insurance plan, regardless of having different employers.) \_\_\_year (1...60)

Interviewer’s Note: Round up to an integer. Count it one year if it is less. Count it two years if it is more than one year but less than two years.

[CAPI] Hard Check:  $I701 < CFPS2012\_age$ . “Interviewer’s Note: The duration of enrollment should be shorter than the respondent’s life time.”

I705 What is the combined monthly contribution from you and your employer? \_\_\_\_\_yuan (100...100,000)

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The combined monthly contribution is more than 10,000 yuan.”

I7051 How much do you pay out of this combined contribution? \_\_\_\_\_% (0...100)

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Interviewer's Note: Round up to an integer.

## Commercial Pension Insurance

[CAPI] If "2" is selected in I604 or I605, continue to Part I8; otherwise, skip to Part I9.

I801 When did you buy this commercial pension insurance? \_\_\_\_year (1949...2012)\_\_\_\_month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] Hard Check: QI801Y>CFPS2012\_BirthY. "Interviewer's Note: The date of enrollment should be later than the date of birth."

I802 Did you pay off the insurance at once?

1. Yes (skip to I804)      5. No (continue to I803)

I803 How much do you contribute to the insurance program each year? \_\_\_\_\_yuan/year (100...1,000,000)

[CAPI]

#1 Soft Check: <=10,000. "Interviewer's Note: The annual contribution is more than 10,000 yuan."

#2 Skip to Part I9.

I804 How much do you have to contribute to insurance program in total? \_\_\_\_\_yuan (1,000...5,000,000)

[CAPI] Soft Check: <=100,000. "Interviewer's Note: The total contribution is more than 10,000 yuan."

## Rural Pension Insurance (the Old One), Urban Resident Pension Insurance, and Urban-Rural Resident Social Pension Insurance

[CAPI] If "3", "5", or "6" is selected in I604 or I605, continue to Part I9; otherwise, skip to Part I10.

I901 When did you start to pay for "[CAPI] Load the response in I604"? \_\_\_\_year (1949...2012) \_\_\_\_month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] Hard Check: QI901Y>CFPS2012\_BirthY. "Interviewer's Note: The date of enrollment should be later than the date of birth."

I902 For "[CAPI] Load the response in I604", how much do you pay by yourself each year? \_\_\_\_yuan (1...100,000)

[CAPI] Soft Check: <=10,000. "Interviewer's Note: The annual contribution is more than 10,000 yuan."

---

I903 How much was/is the collective subsidy each year? \_\_\_\_\_yuan (1...100,000)

[CAPI] Soft Check: <=10,000. "Interviewer's Note: The annual collective subsidy is more than 10,000 yuan."

I904 How much was/is the government subsidy each year? \_\_\_\_\_yuan (1...100,000)

[CAPI] Soft Check: <=10,000. "Interviewer's Note: The annual government subsidy is more than 10,000 yuan."

## New Rural Pension Insurance

[CAPI] If "4" is selected in I604 or I605, continue to Part I10; otherwise, skip to I11.

I1001 When did you enroll the New Rural Social Pension Insurance (the new one)? \_\_\_\_year (2008...2012) \_\_\_\_month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] Hard Check: QI1001Y>CFPS2012\_BirthY. "Interviewer's Note: The date of enrollment should be later than the date of birth."

I1002 How do you pay the premium?

1. Annual payment (continue to I1003)
3. One-time payment (skip to I1004)
5. I am over 60 years old and don't have to pay. My children who meet the requirements have enrolled in the New Rural Social Pension Insurance (skip to I11)

[CAPI] Hard Check: CFPS2012\_Age<60, I1002≠5. "Interviewer's Note: The respondent is under than 60 years old."

I1003 How much do you contribute each year? \_\_\_\_yuan/year (1...100,000)

[CAPI]

#1 Soft Check: <=10,000. "Interviewer's Note: The annual contribution is more than 10,000 yuan."

#2 Skip to I11 part after answering I1003.

I1004 How much do you pay once for all? \_\_\_\_yuan (1...1,000,000)

[CAPI] Soft Check: <=100,000. "Interviewer's Note: The one-time payment is more than 100,000 yuan."

## Other Pension Insurance

[CAPI] If "77" is selected in I604 or I605, continue Part I11; otherwise, return to the main questionnaire.

---

I1101 You just told me that you enrolled other pension insurance programs. The name of the program is \_\_\_\_\_

Interviewer's Note: Record the main one if there are multiple programs.

I1103 When did you start to pay for the program? \_\_\_\_\_ year (1949...2012) \_\_\_\_\_ month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] Hard Check: QI1103Y>CFPS2012\_BirthY. "Interviewer's Note: The date of enrollment should be later than the date of birth."

I1104 How much do you contribute each year? \_\_\_\_\_ yuan. (100...50,000)

[CAPI] Soft Check: <=10,000. "Interviewer's Note: The annual contribution is more than 10,000 yuan."

## Part N Political Attitude

M601 What religion do you have?

1. Buddhism
2. Taoism
3. Islamism (Muslim)
4. Christian (Protestant)
5. Catholicism
6. No religion
77. Other [please specify] \_\_\_\_\_

[CAPI] If M601≠6, continue to M602; otherwise, skip to M603.

M602 How often do you attend the religious activities/events?

1. Never
2. Once a year
3. Several times a year
4. Once a month
5. Two or three times a month
6. Once a week
7. Several times a week

M603 Do you think religion is important to you?

1. Very important
2. Somewhat important
3. Not important

N101 In the past year, did you have any of the following experiences? [Show card]

1. Yes
3. No, but I heard about it
5. Neither heard nor experienced
79. The respondent did not understand the question [Don't read out]

N1011 Unfair treatment due to inequality between the rich and the poor.

N1012 Unfair treatment due to household registration status.

N1013 Unfair treatment due to gender discrimination.

N1014 Unfair treatment by government officials.

N1015 Conflict with government officials.

N1016 Unreasonable delay and stalling at a government agency.

F1: "Stalling" refers to government officials shirking responsibilities, which is also known as "kicking balls".

N1017 Unreasonable charges paid to a government agency.

---

N401 Are you a member of the following organizations? [Show card] [Select all that apply]

Interviewer's Note: School clubs do not count.

1. Communist Party of China
2. Democratic parties
3. People's Congress at county/district or higher level (delegate)
4. Chinese People's Political Consultative Conference at county/district or higher level (member)
5. Labor union
6. Communist Youth League of China
7. All-China Women's Federation
8. Federation of Industry and Commerce
9. Informal networking organizations (community, network salon, etc.)
10. Religious group
11. Association of private enterprises/entrepreneurs
12. Association of individual workers
77. Other [please specify] \_\_\_\_\_
78. None of the above

F1 (In general term): "Organizations" refers to formally registered government agencies, parties, leagues, religious groups, associations, etc.

F1 (In technical term): (1) "Organization" is a cooperative system that involves more than two individuals who coordinate with each other. The basic elements of an organization include common goals, willingness to cooperate, and communication of information. Families, enterprises, governments, and social groups are basic forms of organization, and serve as living spaces upon which individuals depend for life and self-fulfillment.

(2) "Democratic parties" are the eight legally recognized political parties in the People's Republic of China, and constitute the Chinese People's Political Consultative Conference, including: Revolutionary Committee of the Chinese Kuomintang, China Democratic League, China Democratic National Construction Association, China Association for Promoting Democracy, Chinese Peasants' and Workers' Democratic Party, Zhi Gong Party, Jiusan Academic Society, and Taiwan Democratic Self-Government League.

(3) "Labor union" is an organization of workers in enterprises.

(4) "Private enterprises" are privately owned corporations and firms, including privately owned companies, private corporations, and private limited liability companies.

(5) "Individual workers" are the owners and employees in individual businesses, freelancers, and other individuals who belong to this group in accordance with laws and regulations.

(6) "Delegates in National People's Congress" are those who are elected through a multi-tiered representative electoral system as the delegates of the people to exercise their rights of state administration.

(7) "Networking organizations" are organizations for social networking.

(8) "Salon" is a cozy place where the host and other guest participants discuss certain topics, express opinions, communicate thoughts, and gain knowledge.

(9) According to the regulation issued by the State Council in 1989, "social groups" are non-profit autonomous organizations which are established for certain common goals on a voluntary base.

[CAPI]

#1 "78. None of the above" and the other options are mutually exclusive.

#2 Hard Check: N401=1 and N401≠2. "Interviewer's Note: A member of the Communist Party of China cannot be a member of one of the democratic parties at the same time."

---

#3 Hard Check: N401=2 and N401≠1. “Interviewer’s Note: A member of one of the democratic parties cannot be a member of the Communist Party of China at the same time.”

#4 If N401=1, and CFPS2011\_lastest\_interv=0 or CFPS\_party=0 (no data in A701), continue N402; otherwise, skip to N501.

N402 When did you join the Communist Party of China? \_\_\_\_\_year (1921...2012)

[CAPI]

#1 Hard check: N402>CFPS2012\_BirthY. “Interviewer’s Note: The year of joining the Communist Party of China cannot be earlier than the year of birth.”

#2 Soft Check: N402>=CFPS2012\_BirthY+18. “Did you join the Communist Party of China before reaching the age of 18?”

N601 How would you rate the severity of the following problems in our country? Let “0” be “not severe”, while “10” be “extremely severe”. Please choose a number that reflects your attitude.

[Show card]

Interviewer’s Note: If the respondent does not think such a problem exists, record “0”.

Not severe 0-1—2—3—4—5—6—7—8—9—10 Extremely severe

N6011 How would you rate the severity of the government corruption in China?

N6012 How would you rate the severity of the environmental problem in China?

N6013 How would you rate the severity of the inequality between the rich and the poor in China?

N6014 How would you rate the severity of the employment problem in China?

N6015 How would you rate the severity of the educational problem in China?

N6016 How would you rate the severity of the medical service problem in China?

N6017 How would you rate the severity of the housing problem in China?

N6018 How would you rate the severity of the social security problem in China?

F1: “Social security” refers to a system in which the country and the society will distribute and redistribute the national income through legislation, and provide financial support to social members, especially those who have difficulties in sustaining a basic living condition. Generally speaking, it consists of social insurance, social relief, social welfare, and social compensation and arrangement and so on.

N801 For the following questions, please rate according to your own situation. Let “1” be the lowest, while “5” be the highest.

N8011 What is your relative income level in your local area?

Interviewer’s Note: For a student who does not have any income, record “79 (Not applicable)”.

Very low-----1--2--3--4--5--> Very high 79. Not applicable [Don’t read out]

N8012 What is your social status in your local area?

Very low-----1--2--3--4--5--> Very high

[CAPI]

#1 If CFPS2012\_gender=1, use the wording “Mr.” in the vignette questions; otherwise, use the wording “Ms.”

#2 The order of the following two vignette questions is randomly determined. Please record the random number.

---

N802 After graduating from primary school, Mr./Ms. Chen makes his/her living as a street vendor, earning 1,000 yuan each month. In your opinion, what is the relative social status of Mr./Ms. Chen in your local area?

Very low-----1--2--3--4--5--> Very high

N803 After graduating from a medical school, Mr./Ms. Zhou has become a doctor, earning 5,000 yuan each month. In your opinion, what is the relative social status of Mr./Ms. Zhou in your local area?

Very low-----1--2--3--4--5--> Very high

N1101 How would you rate the performance of the county/district government last year?

1. Good achievement
2. Some achievement
3. Not much achievement
4. No achievement
5. Worse than before

## Part P. Health

P101 Your current height is \_\_\_\_\_ centimeters. (50...240)

Interviewer's Note: Round up to an integer.

[CAPI]

#1 Soft Check:  $\geq 100$ . "Interviewer's Note: The respondent's height is less than 1 meter."

#2 Soft Check:  $\leq 200$ . "Interviewer's Note: The respondent's height is more than 2 meter."

P102 Your current weight is \_\_\_\_\_ catty (1 catty = 1/2 kilogram) (50.0...300.0)

Interviewer's Note: Record to one decimal place.

[CAPI]

#1 Soft Check:  $\geq 80$ . "Interviewer's Note: The respondent's weight is less than 80 catties."

#2 Soft Check:  $\leq 200$ . "Interviewer's Note: The respondent's weight is more than 200 catties."

P103 What is your uncorrected visual acuity? Left eyesight is \_\_\_\_\_ (0.1..2.0 & 4.0..5.3), right eyesight is \_\_\_\_\_ (0.1..2.0 & 4.0..5.3).

Interviewer's Note: There are two ways to record eyesight, range is 0.1-2.0 or 4.0-5.3. Please use the respondent's familiar way to record and pay attention to the same standard for two eyes.

F1: International standard visual chart is composed of 12 lines of the letter E that differ in size and orientation. The score ranges from 0.1 to 2.0 (or from 4.0 to 5.3). The eye sight of the person taking the test should be lined up with the line of 1.0 on the chart horizontally and stand 5 meters away from the chart.

P201 How would you rate your health status?

1. Excellent
2. Very good
3. Good
4. Fair [Don't read out]
5. Poor

P202 How would you rate your current health status compared to a year ago?

- 
1. Better                      3. No change                      5. Worse

[Prompt] Interviewer's Note: Please read the following paragraph, "Now I will describe several people who have different health problems. I want to know how you rate their health status just as how you rated your health right now. Please imagine that these people have the same age and background as you."

[CAPI] If *CFPS2012\_gender=1*, use the wording "he" in the questions; otherwise, use the wording "she".

P2031 Sun Jun/Li Mei has no problem with walking, running, or moving the limbs. He/she jogs 5 km twice a week. He/she does not remember the when was the last time he/she felt ache because he/she has never felt so in the past year. He/she never feels ache after physical labor or exercises. How would you rate his/her health status?

1. Excellent              2. Very good              3. Good              4. Fair [Don't read out]              5. Poor

P2032 Zhao Gang/Wang Li has no problem with walking 200 meters. But he/she feels tired after walking 1 km or climbing stairs up to several floors. He/she has no problem with daily activities such as bringing the vegetables bought in a market home. He/she feels headache once every month, but gets better after taking some medicine. When feeling headache, he/she can still do the daily work. How would you rate his/her health status?

1. Excellent              2. Very good              3. Good              4. Fair [Don't read out]              5. Poor

P301 During the past two weeks, have you felt any physical discomfort?

1. Yes (continue to P302)                      5. No (skip to P401)

P302 During the past two weeks, what type of discomfort have you felt?

1. Fever                      2. Pain                      3. Diarrhea                      4. Cough  
5. Difficulty in breathing              6. Cannot focus attention                      7. Difficulty in walking  
8. Palpitation                      77. Other [please specify] \_\_\_\_\_                      78. None of the above

P303 How serious do you think the symptom is?

1. Not serious              3. Fair                      5. Serious

P304 Have you seen a doctor?

1. Yes (continue to P305)                      5.No (skip to P307)

P305 What was the doctor's diagnosis of your illness or injury?

Interviewer's Note:

- (1) Record the two most serious ones if there are multiple diseases diagnosed.  
(2) If there is only one disease, record "-8" for the second one.

1. Name of the first disease \_\_\_\_\_  
2. Name of the second disease \_\_\_\_\_

[CAPI]

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#1 Ask about P306 and P307 for every disease reported in P305.

#2 If there is only one disease, record “-8” (Not applicable) for the second one, and don’t ask about P306 or P307 for it.

P306 The interviewer should select from the Disease Codebook for the type of the disease.

1. First disease type \_\_\_\_\_
2. Second disease type \_\_\_\_\_

Interviewer’s Note: Press the space key to retrieve the Disease Codebook.

[CAPI] Use the Disease Codebook for the China Family Panel Studies.

P307 Since when did you suffer from this disease/illness?

1. Within the past two weeks
3. Acute disease that occurred two weeks ago and persisted within the past two weeks
5. Chronic disease that persisted within the past two weeks

P401 During the past six months, have you had any doctor-diagnosed chronic disease?

Interviewer’s Note: If there is more than one disease, record the two most serious ones in a descending order of severity.

1. Yes (continue to P402)
5. No (skip to P501)

P402 What was your doctor’s diagnosis of the disease you suffered from?

Interviewer’s Note:

- (1) Record the two most serious ones if there are multiple diseases diagnosed.
- (2) If there is only one disease, record “-8” for the second one.

1. Name of the first disease \_\_\_\_\_
2. Name of the second disease \_\_\_\_\_

[CAPI]

#1 Ask about P403, P404, and P405 for every disease reported in P402.

#2 If there is only one disease, record “-8” (Not applicable) for the second one, and don’t ask about P403, P404, or P405 for it.

P403 The interviewer should select from the Disease Codebook for the type of the chronic disease.

1. First chronic disease type \_\_\_\_\_
2. Second chronic disease type \_\_\_\_\_

Interviewer’s Note: Press the space key to retrieve the Disease Codebook.

[CAPI] Use the Disease Codebook for the China Family Panel Studies.

P404 When was this disease/illness diagnosed by a doctor?

1. Six months or more than six months ago
3. Within the past six months

P405 Have you received any medical treatment during the past six months?

1. Yes
5. No

P501 Were you hospitalized last year due to illness/injury?

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1. Yes (continue to P502)      5. No (skip to P511)

F1: "Hospitalized" refers to that the respondent stayed overnight in a hospital ward for at least one night due to disease or accidental injury.

P502 How many times were you hospitalized due to illness/injury in the past year?  
\_\_\_\_\_ times (1...12)

[CAPI] Ask the following questions (P503-P508) about each of the hospitalization.

P503 In which month was the first [or (N+1)th] time when you were hospitalized in the past year?  
\_\_\_\_\_ (1...12)

P504 How many days did you stay in hospital for the first [or (N+1)th] hospitalization in the past year? \_\_\_\_\_ (1...365)

P505 What was the cause of hospitalization for the first [or (N+1)th] hospitalization in the past year?  
\_\_\_\_\_

P506 What was the total cost of hospitalization for the first [or (N+1)th] hospitalization in the past year? \_\_\_\_\_ yuan (1...5,000,000)

Interviewer's Note: Any cost counts regardless of being paid by oneself directly or not.

F1: "Total cost" includes all medical costs for medicine, treatment, inpatient service as well as costs of living, food, and nursing care. "Red envelope" bribe is also included.

[CAPI] Soft Check:  $\leq 100,000$ . "Interviewer's Note: The total cost is more than 100,000 yuan."

P507 How much was spent on lodging, food, nursing care and other similar things for the first [or (N+1)th] hospitalization in the past year? \_\_\_\_\_ yuan (0...500,000)

F1: "Nursing care" refers to care provided by a professional worker who specializes in patient care.

[CAPI]

#1 Hard Check:  $P507 \leq P506$ . "Interviewer's Note: The cost of lodging, food, nursing care and other similar things is more than total cost."

#2 Soft Check:  $\leq 10,000$ . "Interviewer's Note: The cost is more than 10,000 yuan."

P508 How much was spent on medical treatment for the first [or (N+1)th] hospitalization in the past year? \_\_\_\_\_ yuan (0...5,000,000)

F1: "Medical treatment" refers to only the items that are related to medicine, treatment (including surgery), and medical examination.

[CAPI]

#1 Hard Check:  $P508 \leq P506$ . "Interviewer's Note: The cost of medical treatment is more than the total cost."

#2 Hard Check:  $P507 + P508 \leq P506$ . "Interviewer's Note: The cost of lodging, food, nursing care and other similar things, and medical treatment is more than the total cost."

#3 Soft Check:  $\geq 1,000$ . "Interviewer's Note: The cost of medical treatment is less than 1,000 yuan."

#4 Soft Check:  $\leq 100,000$ . "Interviewer's Note: The cost of medical treatment is more than 100,000 yuan."

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P509 Your total hospitalization cost amount to “[CAPI] Load the sum of the responses above” yuan in the past year. How much of this was paid directly by your family? \_\_\_\_\_ yuan (0...5,000,000)

Interviewer’s Note:

- (1) If none, record“0”.
- (2) If you borrowed money from relatives or friends, or your relatives or friends made a direct payment, it should be considered as being paid by yourself.
- (3) If the cost was reimbursed or will be reimbursed, it should not be counted.

[CAPI]

#1 Soft Check:  $\geq 1,000$ . “Interviewer’s Note: The total hospitalization cost paid directly by the family is less than 1,000 yuan.”

#2 Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The total hospitalization cost paid directly by the family is more than 100,000 yuan.”

P510 How much was the cost of disease/injury, besides hospitalization, in the past year? \_\_\_\_\_yuan (0...5,000,000)

Interviewer’s Note:

- (1) Count all the costs regardless of being paid by oneself directly or not.
- (2) If none, record“0”.

[CAPI]

#1 Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The cost of disease/injury, besides hospitalization is more than 100,000 yuan.”

#2 If P510=0, skip to P601; otherwise, skip to P512.

P511 How much was the total cost of disease/injury in the past year? \_\_\_\_\_yuan (0...5,000,000)

Interviewer’s Note:

- (1) Count all the costs regardless of being paid by oneself directly or not.
- (2) If none, record“0”.

[CAPI]

#1 Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The total cost of disease/injury in the past year is more than 100,000 yuan.”

#2 If P511=0, skip to P601; otherwise, continue toP512.

P512 How much did your family pay for the cost of medical treatment? \_\_\_\_\_yuan (0...5,000,000)

Interviewer’s Note:

- (1) If none, record“0”.
- (2) If you borrowed money from relatives or friends, or your relatives or friends made a direct payment, it should be considered as being paid by yourself.
- (3) If the cost was reimbursed or will be reimbursed, it should not be counted.

[CAPI]

#1 If answered P510, Hard Check:  $P512 \leq P510$ . “Interviewer’s Note: The cost paid by the family cannot be more than the total cost.”

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#2 If answered P511, Hard Check:  $P512 \leq P511$ . “Interviewer’s Note: The cost paid by the family cannot be more than the total cost.”

#3 Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The cost of medical treatment paid by the family in the past year is more than 100,000 yuan.”

P601 Where would you usually go to see a doctor?

1. General hospital
2. Specialty hospital
3. Community healthcare center/Township hospital
4. Community healthcare post/village clinic
5. Clinic

F1 (In general term): (1) “General hospital” refers to the so-called “big hospital” where various kinds of diseases can be diagnosed and treated.

(2) “Specialty hospital” refers to a hospital that focuses on specific types of diseases, such as a hospital for obstetrics and gynecology.

(3) “Community healthcare center/Township hospital” refers to a medical facility established at the urban community or township level to treat common diseases.

(4) “Community healthcare post/Village clinic” refers to a medical facility established at the urban community or village level to treat common diseases.

(5) “Clinic” refers to a private clinic, as well as a poorly equipped community/village clinic

P602 Are you satisfied with the overall medical service of “[CAPI] Load the response in P601”?

1. Very satisfied
2. Satisfied
3. Fair
4. Unsatisfied
5. Very unsatisfied

F1: “Medical service” refers to the conditions of doctors, medicine, hospitalizations, etc. It also includes travel distance and transportation convenience.

P603 What do you think of the level of medical expertise there?

1. Very good
2. Good
3. Fair
4. Bad
5. Very bad

P604 Would you choose to see a traditional Chinese medicine doctor if there is one?

1. Yes
3. No
5. Doesn’t matter

P605 Do you have any of the following medical insurances? [Select all that apply]

1. Public medical insurance
2. Urban Employee Basic Medical Insurance
3. Urban Resident Basic Medical Insurance
4. Supplementary medical insurance
5. New Rural Cooperative Medical Insurance
78. None of the above

[CAPI] “78. None of the above” and the other options are mutually exclusive.

F1: (1) Public medical insurance was established based on the instruction on public medical insurance for government officials issued by the State Council in June 1952. The insurance covers government officials, party members, people’s organizations, and employees from the work units that are related to health, education, academics and athletics. Disabled soldiers and college students are also covered. This insurance is financed by the local and central governments and is administered by the department of health or the ministry of finance at different levels. This insurance covers

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medical costs incurred in receiving outpatient and inpatient care, but not the costs of food, living and transportation. If one has financial difficulty, he/she should be compensated by his/her work unit through the administrative budget.

(2) Urban Employee Basic Medical Insurance is the material support provided by the state or society to individuals who are injured or sick, i.e. a social security system that provides medical service or economic compensation. According to the decision made by the State Council in December 1998, it is required to establish a new nationwide basic medical insurance system for urban employees. Based on this decision, those who are eligible to be covered should be the employees from the work units that are specified in the basic medical insurance and that pay the insurance premium. The medical insurance plan covers all of the work units in urban areas, including enterprises (state-owned enterprises, collective-owned enterprises, foreign commercial investment companies, private enterprise, etc.), government branches, institutions, social groups, and non-enterprise work units. It is up to the local government to decide whether rural enterprises and their employees should enter the basic medical insurance system. The insurance premium should be contributed by both the employers and employees. The document specifies that the amount contributed by the employer should be around 6% of the total income of the employee, while the amount contributed by the employee should be around 2% of his/her total income. Retired individuals are covered by the medical insurance system but do not need to pay the premium themselves.

(3) Urban Resident Basic Medical Insurance provides institutional support for basic medical care for unemployed urban residents. It covers the urban residents who have local household registration but are not covered by other types of medical insurance (e.g., Urban Employee Basic Medical Insurance, New Rural Cooperative Medical Insurance, etc.).

(4) Supplementary medical insurance is a concept related to the basic medical insurance. As the national basic medical insurance system can only meet the basic medical needs of the participants, extra medical care may be supported by other types of medical insurance such as the supplementary medical insurance. Unlike basic medical insurance, supplementary medical insurance is not required by law and is not mandatory. Instead, it is a voluntary plan for employers and employees. There are two main ways to implement the supplementary medical insurance plan. One is to establish and manage the insurance system according to the insurance principles in an industry. Another is to let a commercial insurance company run the system. The current basic medical insurance for urban employees in China can only meet very basic medical needs with shallow coverage, and does not cover the rural population at all. Thus, the development of supplementary medical insurance will be good for the better implementation of basic medical insurance, improvement in the medical care for urban employees, the meeting of all the citizens' medical needs, and the prosperity of a harmonious society.

(5) Urban basic pension insurance is also known as the national basic pension insurance, which is a mandatory system established by the government to cover the basic living needs of retirees. Before the 1990s, there was only one type of pension insurance for retirees in China. Since 1991, a multi-level pension insurance system has been established by incorporating basic pension insurance, enterprise supplementary pension insurance, and individual savings pension insurance. Combining social pooling and personal savings accounts, the basic pension insurance is a novel mode created by China. This system is financed the same way as the traditional basic pension insurance, that is, the cost is shared by government, work units and individuals. The basic pension insurance emphasizes social support, and is distributed in a structural way. It emphasizes the differences

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between the stimulus for personal saving and work contribution. Thus, this system bears the features of traditional social insurance, such as social support, risk diversification, and security, and meanwhile underlines the individual's sense of self-protection and the stimulus mechanism.

P701 In the most recent month when you were not on vacation, how often did you participate in physical exercise?

1. Almost daily
2. 2-3 times a week
3. 2-3 times a month
4. Once a month
5. Never

P801 Among the following foods, what did you have in the past week? [Select all that apply][Show card]

1. Meat
2. Fish or other aquatic products
3. Fresh fruits and vegetables
4. Dairy products
5. Bean products
6. Eggs
7. Pickled foods [such as pickled vegetables or soy]
8. Fried foods [such as potato chips or dough sticks]
9. Miscellaneous grains other than rice and wheat

## Part Q. Behaviour, Mental Status, and Cognition Test

Q101 Which of the following activities can you not perform independently? [Select all that apply][Show card]

1. Going outside for outdoor activities (e.g., walking for about 300 meters, such as walking to a bus stop, shopping center, or parking lot)
2. Eating (e.g., making a cup of tea, breaking off a piece of bread)
3. Kitchen activities (e.g. preparing lunch for 1-2 people, including peeling potatoes, cutting dishes, cooking meat, laying the table, cleaning the table after lunch, and washing dishes)
4. Taking public transportation (e.g., taking a bus or train, including getting to and back from the bus stop or train station, buying tickets, and finding a seat)
5. Shopping (going shopping at a local store or mall, including activities related to shopping, such as entering and exiting the store/mall, picking up items, paying, and bringing items back home)
6. Cleaning (e.g., making the bed, daily tidying, cleaning the bathroom floor, vacuuming, changing sheets, cleaning windows, and dumping trash)
7. Doing laundry (including the whole process of doing laundry: using the washing machine, separating and putting clothes in the machine, operating the machine, taking out, drying, and folding clothes)
78. None of the above

[CAPI]

#1 "78. None of the above" and the other options are mutually exclusive.

#2 If Q101="78. None of the above", skip to Q201; otherwise, continue Q102.

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Q102 How much help do you need from others to do “[CAPI] Load Q101’s answer”?

1. Full help
2. Lots of help
3. Some help
4. A little bit help
5. Only need someone to stand by and watch
6. Sometimes do not need help

Q201 Did you smoke cigarettes in the past month?

1. Yes
5. No

[CAPI]

#1 If Q201=1, apply the following skip pattern:

- 1) If *CFPS2011\_latest\_interv*=0 or *CFPS2012\_age*=16, continue to Q2011;
- 2) If *CFPS2011\_latest\_interv*=1, skip to Q202.

#2 If Q201=5, apply the following skip pattern:

- 1) If *CFPS2011\_latest\_interv*=0 or *CFPS2012\_age*=16, skip to Q204;
- 2) If *CFPS2011\_latest\_interv*=1, skip to Q301.

Q2011 How old were you when you started to smoke? \_\_\_\_\_ (3...100)

[CAPI] Hard Check: *CFPS2012\_age* >= Q2011. “Interviewer’s Note: The age when the respondent began to smoke cannot be above the current age.”

Q202 How many cigarettes do you smoke per day? \_\_\_\_\_ cigarettes (1...100)

[CAPI] Soft Check: <=30. “Interviewer’s Note: The respondent smokes more than 30 cigarettes per day.”

Q203 How much did the cigarettes you smoked yesterday cost? \_\_\_\_\_ yuan (1...1,000)

[CAPI]

#1 Soft Check: <=100. “Interviewer’s Note: The respondent smoked more than 100 yuan’s worth of cigarettes yesterday.”

#2 Skip to Q301 after answering Q203.

Q204 Have you ever smoked?

1. Yes (continue to Q205)
5. No (skip to Q301)

Q205 How old were you when you stopped smoking? \_\_\_\_\_ (6...100)

[CAPI] Hard Check: *CFPS2012\_age* >= Q205. “Interviewer’s Note: The age when the respondent stopped smoking cannot be above the current age.”

Q301 Did you drink alcohol at least 3 times a week in the past month?

1. Yes
5. No

[CAPI]

#1 If Q301=1, apply the following skip pattern:

- 1) If *CFPS2011\_latest\_interv*=0 or *CFPS2012\_age*=16, continue to Q3011;

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2) If *CFPS2011\_latest\_interv*=1, skip to Q302.

#2 If Q301=5, apply the following skip pattern:

1) If *CFPS2011\_latest\_interv*=0 or *CFPS2012\_age*=16, skip to Q305;

2) If *CFPS2011\_latest\_interv*=1, skip to Q401.

Q3011 How old were you when you started to drink? \_\_\_\_\_ (3...100)

[CAPI] Hard Check: *CFPS2012\_age*>=Q205. "Interviewer's Note: The age when the respondent started to drink cannot be above the current age."

Q302 Which types of alcohol did you often drink in the past month? [Select all that apply]

1. Hard Liquor (*Baijiu*/whiskey/vodka)      3. Wine/Rice Wine      5. Beer

[CAPI]

#1 If Q302=1 or 3, continue to Q303.

#2 If Q302=5, skip to Q304.

Q303 How much "[CAPI] Load the response in Q302" did you drink in the past week? \_\_\_\_\_ liang (1...200)

F1: One liang = 50 mL.

[CAPI]

#1 Soft Check: <=50. "Interviewer's Note: The respondent drank more than 5 catties last week."

#2 If "5" is not selected in Q302, skip to Q401 after answering Q303; otherwise, continue to Q304.

Q304 How much "[CAPI] Load the response in Q302" did you drink in the past week? \_\_\_\_\_ bottles (1...200)

F1: One bottle = 2.5 cups.

[CAPI]

#1 Soft Check: <=10. "Interviewer's Note: Double check in case the respondent drank more than 10 bottles last week."

#2 Skip to Q401 after asking Q304.

Q305 Did you drink alcohol very often in the past?

1. Yes      5. No (skip to Q401)

F1: "Drink very often" refers to drinking at least 3 times a week and at least one cup of alcohol each time.

Q306 How old were you when you stopped drinking? \_\_\_\_\_ (3...100)

[CAPI] Hard Check: *CFPS2012\_age*>=Q205. "Interviewer's Note: The age when the respondent stopped drinking cannot be above the current age."

Q401 Do you usually take an afternoon nap?

1. Yes (continue to Q402)      5. No (skip to Q403)

Q402 How long a nap do you usually take? \_\_\_\_\_ minutes (1...240)

Interviewer's Note: Record in minutes; 1 hour = 60 minutes.

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[CAPI] Soft Check: <=120. “Interviewer’s Note: Is the nap longer than 2 hours?”

Q403 What time do you usually go to bed at night? \_\_ (hour)(0...24): \_\_ (minute)(0...59)

Interviewer’s Note:

- (1) Use the 24-hour system.
- (2) If there is more than one sleeping episode, record the longest one.

Q501 Are you able to remember the important things that happen to you within a week?

- 1. Remember all of them
- 2. Remember most of them
- 3. Remember half of them
- 4. Remember a few of them
- 5. Remember only a little bit

Q701 Who usually takes care of you when you are sick or not feeling well?

Interviewer’s Note: If there is more than one caregiver, select the most important one.

- 1. Spouse
- 2. Children and/or their spouses
- 3. Grandchildren and/or their spouses
- 4. Other family members
- 5. Friends
- 6. Social service
- 7. Housekeeper
- 8. Parents
- 77. Other [please specify]\_\_\_\_\_

[CAPI] Skip to Part Y1 of the shared module [Cognitive Test] after answering Q701.

N1201 For the following questions, please rate according to your own situation. Let “1” be the lowest, while “5” be the highest.

N12011 What is your social status in your local area?

Very low-----1--2--3--4--5--> Very high

N12012 Are you satisfied with your life?

Very unsatisfied--1--2--3--4--5--> Very satisfied

N12013 Are you satisfied with your family?

Very unsatisfied--1--2--3--4--5--> Very satisfied

N12014 How confident are you about your future?

Not confident at all--1--2--3--4--5--> Very confident

N1001 In general, do you think that most people are trustworthy, or do you think we must be careful when getting along with others?

N1002 To what extent do you trust the following people? Let “0” be very untrustworthy, while “10” be very trustworthy. Please choose a number that reflects your attitude.

Interviewer’s Note: If the respondent has never contacted a certain kind of people, please them to answer with own imaginations.

Very untrustworthy 0-1—2—3—4—5—6—7—8—9—10 Very trustworthy

N10021 Do you trust your parents?

N10022 Do you trust your neighbors?

N10023 Do you trust Americans?

N10024 Do you trust strangers?

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N10025 Do you trust cadres?

F1: “Cadres” refer to local government officials.

N10026 Do you trust doctors?

Q601 Here are some feelings or activities you may have experienced before. Please tell us how often you experienced them in the past week.

- |                                     |                               |
|-------------------------------------|-------------------------------|
| 1. Almost never (less than one day) | 2. Sometimes (1-2 days)       |
| 3. Often (3-4 days)                 | 4. Most of the time (5-7days) |

Q6011 I am annoyed by some trifles.

Q6012 I don't want to eat and have a poor appetite.

Q6013 I feel depressed even though I receive help from my family and friends.

Q6014 I feel that I'm better than someone else.

Q6015 I find it hard to focus on what I am doing.

Q6016 I feel depressed.

Q6017 I find it difficult to do anything.

Q6018 I am hopeful about the future.

Q6019 I feel that I have been a loser all the time.

Q60110 I feel scared.

Q60111 I have a poor sleep.

Q60112 I am happy.

Q60113 I talk less than usual.

Q60114 I feel lonely.

Q60115 I find people are unfriendly to me.

Q60116 I have a happy life.

Q60117 I have cried or want to cry.

Q60118 I feel sad.

Q60119 I find others dislike me.

Q60120 I feel that I am unable to keep on with my life.

[CAPI] Skip to the CAPI before Part Y1 of the shared module [Cognitive Test] after answering Q60120.

## Part V Parental Information

V101 Next, we would like to ask for some basic information about your parents. Your father was born in \_\_\_\_\_ year (1890...1982); Chinese Zodiac \_\_\_\_\_; age \_\_\_\_\_ (32...120).

Interviewer's Note:

- (1) Record birth year in four digits.
- (2) Record the date of birth according to the information on the household registration. If unregistered, record the date of birth in lunar calendar as a priority.
- (3) “CTRL+D” can be entered in the space of “year”, but in that case, Chinese Zodiac and age must also be recorded.
- (4) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

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[CAPI]

#1 Soft Check: QV101A<=1972. "Was your father born after 1972?"

#2 Soft Check: QV101A>=40. "Is your father under 40 years old?"

#3 The respondent should answer at least one of the questions: "birth year" (or "age") or "Chinese Zodiac". "Don't know" or "Refuse to answer" is not allowed.

V102 What was the highest educational level your father achieved when you were 14?

Interviewer's Note:

(1) If the father didn't complete primary school, record "1. Illiterate/Semi-literate".

(2) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

- |                             |                    |                                     |
|-----------------------------|--------------------|-------------------------------------|
| 1. Illiterate/Semi-literate | 2. Primary school  | 3. Junior high school               |
| 4. Senior high school       | 5. 3- year college | 6. 4-year college/Bachelor's degree |
| 7. Master's degree          | 8. Doctoral degree |                                     |

V103 What was your father's occupation when you were 14? \_\_\_\_\_

Interviewer's Note:

(1) If the respondent's father had many jobs, ask about the one that took up the most of the time.

(2) Please record details of the father's job. Recording format: Detailed job description + position/type of work (+ other description of the occupation). For example:

a) A teacher who teaches English at a junior high school level in a training institution;

b) A government official who is responsible for the public traffic construction in the entire province.

c) Drivers to transport cargos.

d) Owners of a fruit store by selling fruits at the same time

e) Security guard to keep the cargo safe day and night

(3) If the respondent's father didn't have a job, record "-8" (No job).

(4) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

V104 What was your father's political status when you were 14? \_\_\_\_\_

Interviewer's Note: If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

1. A member of the Communist Party of China
2. A member of one of the eight democratic parties
3. A member of the Communist Youth League of China
4. An ordinary person (one of the masses)

V201 Your mother was born in \_\_\_\_\_ year (1890...1982); Chinese Zodiac \_\_\_\_\_; age (32...120).

Interviewer's Note:

(1) Record birth year in four digits.

- 
- (2) Record the date of birth according to the information on the household registration. If unregistered, record the date of birth in lunar calendar as a priority.
  - (3) “CTRL+D” can be entered in the space of “year”, but in that case, Chinese Zodiac and age must also be recorded.
  - (4) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

[CAPI]

#1 Soft Check: QV101A<=1972. “Was your mother born after 1972?”

#2 Soft Check: QV101A>=40. “Is your mother under 40 years old?”

#3 The respondent should answer at least one of the questions: “birth year” (or “age”) or “Chinese Zodiac”. “Don’t know” or “Refuse to answer” is not allowed.

V202 What was the highest educational level your mother achieved when you were 14?

Interviewer’s Note:

- (1) If the mother didn’t complete primary school, record “1. Illiterate/Semi-literate”.
- (2) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

- |                             |                    |                                     |
|-----------------------------|--------------------|-------------------------------------|
| 1. Illiterate/Semi-literate | 2. Primary school  | 3. Junior high school               |
| 4. Senior high school       | 5. 3- year college | 6. 4-year college/Bachelor’s degree |
| 7. Master’s degree          | 8. Doctoral degree |                                     |

V203 What was your mother’s occupation when you were 14? \_\_\_\_\_

Interviewer’s Note:

- (1) If the respondent’s mother had many jobs, ask about the one that took up the most of the time.
- (2) Please record details of the mother’s job. Recording format: Detailed job description + position/type of work (+ other description of the occupation). For example:
  - a) A teacher who teaches English at a junior high school level in a training institution;
  - b) A government official who is responsible for the public traffic construction in the entire province.
  - c) Drivers to transport cargos.
  - d) Owners of a fruit store by selling fruits at the same time
  - e) Security guard to keep the cargo safe day and night
- (3) If the respondent’s mother didn’t have a job, record “-8” (No job).
- (4) If the respondent is a step child, ask for the information of the father who lived with him/her when he/she was 14 years old.

V204 What was your mother’s political status when you were 14? \_\_\_\_\_

Interviewer’s Note: If the respondent is a step child, ask for the information of his mother who lived with him when he was 14 years old.

1. A member of the Communist Party of China
2. A member of one of the eight democratic parties
3. A member of the Communist Youth League of China

---

4. An ordinary person (one of the masses)

[CAPI] Add a sentence at the “thank you” note on the screen, “To ensure the quality of this, our survey center may make a simple callback to you in two weeks. Please extend your cooperation till then. Thank you!”

## Part Z Interviewer Observations

[CAPI]

#1 Press “1” to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer’s note.

[Prompt] Interviewer’s Note: The following questions are to be answered by the interviewer based on his/her observations.

Z1 Who was the main respondent that completed this questionnaire? \_\_\_\_\_

[CAPI] Load the names in the 2012\_T1members.

Z101 Who else participated in answering this questionnaire in your family? \_\_\_\_\_

[Limited to two respondents]

Interviewer’s Note: Record another one or two main respondents.

[CAPI] Load all the other family members listed in 2012\_T1 member except the main respondent selected in Z1.

Z102 Who else besides the family members was present during the interview except? [Select all that apply]

1. Relatives /Friends      2. Interview supervisor      3. Neighbor  
4. Cadres of the village/residential community committee  
77. Other [please specify the identity]\_\_\_\_\_      78. No one else

[CAPI] “78. No one else” and the other options are mutually exclusive.

Z103 What was the main language used during interview? [Single choice]

1. Mandarin (skip to Z201)      5. Dialect (skip to Z104)

Z104 What was the dialect? \_\_\_\_\_

Z201 Respondent’s comprehension of the questions:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z202 Respondent’s health status:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z203 Neatness/cleanliness of respondent’s clothing:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z204 Respondent’s appearance:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z205 Respondent’s Mandarin fluency:

---

Interviewer's Note: If Mandarin was not used in the interview, record "79. Not applicable".

Very Poor --1--2--3--4--5--6--7--> Very Good

Z206 Respondent's cooperation during the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z207 Respondent's intelligence:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z208 Respondent's courteousness:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z209 Respondent's interest in the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z210 Respondent's concern about the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z211 Reliability of respondent's response:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z212 Respondent's ability to express him/herself:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z5 Respondent's impatience with the interview:

No --1--2--3--4--5--6--7--> Yes

## China Family Panel Studies

### 2012 Child Questionnaire

**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, "[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual."



Institute of Social Science Survey, Peking University

April, 2012

Special remind:

This questionnaire consists of two parts:

- (1) The first part is answered by an adult family member (proxy report) ;
- (2) The second part is answered by the child himself/herself.

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## **Adult Proxy Report & Child's Self Report (Short-Form)**

**The following questions should be answered by the adult family member who is the child's primary caregiver, or at least, by the adult family member who lives with the child.**

---

[CAPI] If *CFPS2012\_age* >= 10 and *CFPS2012\_age* < 16, show prompt 1; otherwise, show prompt 2.

[Prompt 1]: We will first collect some basic information about “[CAPI] Load the name of targeted child” from you. Then we will ask the child directly about his/her schooling and social interaction.

[Prompt 2]: We will collect some basic information about “[CAPI] Load the name of targeted child” from you.

A0 Would you give consent to recording our conversation?

1. Yes                      5. No

## Part A. Basic Information

A103 Your child’s current weight: \_\_\_\_\_ 0.5 kilogram (1.0...200.0)

Interviewer’s Note: Please record to one decimal place

A104 Your child’s current height: \_\_\_\_\_ centimeters (50...200)

[CAPI] If *CFPS2011\_latest\_interv* = 0 (never been interviewed before), continue to A107M; otherwise, skip to the CAPI before A6.

A107M The family address when the child was born is

Interviewer’s Note: Press the space key first to retrieve the list of provinces, cities, and districts/counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ (A107M\_2) County.
5. Another province in mainland China (please specify): \_\_\_\_ (A107M\_3) Province/  
Municipality \_\_\_\_ (A107M\_5) County.
6. Outside of Mainland China (including Hong Kong, Macau and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

[CAPI] If *CFPS2012\_age* > 3, continue to A2M; otherwise, skip to A101.

A2M the residence of the child when 3 years old is

Interviewer’s Note: Press the space key first to retrieve the list of provinces, cities, and districts/counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ (A2M\_2) County.
5. Another province in mainland China (please specify): \_\_\_\_ (A2M\_3) Province/  
Municipality \_\_\_\_ (A2M\_5) County.

---

6. Outside of Mainland China (including Hong Kong, Macau and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

A101 What was the child's gestational age? \_\_\_ month (1.0...12.0)

Interviewer's Note: Please record to one decimal place and calculate by month. Four weeks should be recorded as one month. For example, 42 weeks should be recorded as 10.5 month.

F1: "Gestational age" refers to the time duration when the child was in the mother's womb.

[CAPI] Soft Check: <=10.0. "Interviewer's Note: Double check in case the gestational age is longer than 10 months."

A102 Your child's weight at birth: \_\_\_\_\_ 0.5 kilograms (1.0...20.0)

Interviewer's Note: Please record to one decimal place.

[CAPI] Soft Check: <=10.0. "Interviewer's Note: Double check in case the born weight was more than 5 kilograms."

A106 Where was the child born?

1. Hospital          5. Home                                  77. Others [please specify]\_\_\_\_\_

[CAPI] If *CFPS2011\_latest\_interv*=0 or the value of *CFPS\_minzu* is missing, continue to A6; otherwise, skip to A4.

A6 What is the child's ethnicity? \_\_\_\_\_ (search)

Interviewer's Note:

- (1) Record according to the household registration.
- (2) If the interviewer is not Chinese, select "79. Not applicable"
- (3) Press the space key first to retrieve the list of ethnicities.

[CAPI] Load the list of ethnicities which can be searched by initial letters. Han ethnicity appears at the top of the list. Add an option "79. Not applicable".

A4 Your child's current household registration type is:

Interviewer's Note: If the child is not a Chinese citizen, record "79. Not applicable".

1. Agricultural (continue to A501M)
3. Non-Agricultural (continue to A501M)
5. Not registered (skip to the CAPI before A105A)
79. Not applicable (skip to the CAPI before A105A)

F1: (1) "Not registered" refers to that the child has not been registered yet, but he/she does not have a foreign nationality either.

(2) "Not applicable" refers to a non-Chinese nationality. For example, the parents are Chinese citizen, but the child was born in a foreign country and hence entitled to a foreign citizenship.

A501M Your child's current place of household registration is

Interviewer's Note: Press the space key first to retrieve the list of provinces, cities, and districts/counties.

- 
1. This village/residential community
  2. Another village/residential community in this township
  3. Another township in this county/city/district.
  4. Another county/city/district in this province (please specify): \_\_\_\_\_ (WA501M\_2) County.
  5. Another province in mainland China (please specify): \_\_\_\_ (WA501M\_3) Province/  
Municipality\_\_\_\_ (WA501M\_5) County.
  6. Outside of Mainland China (including Hong Kong, Macau and Taiwan)

[CAPI] Load the list of provinces, cities, districts/counties, which can be searched by initial letters.

[CAPI] If *CFPS2011\_latest\_interv*=0 and *CFPS2012\_age*>3, continue to A3; otherwise, skip to the CAPI before A105A.

A3 Your child's current household registration type when 3 years old was:

1. Agricultural
3. Non-Agricultural
5. Not registered
79. Not applicable

F1: (1) "Not registered" refers to that the child has not been registered yet, but he/she does not have a foreign nationality either.

(2) "Not applicable" refers to a non-Chinese nationality. For example, the parents are Chinese citizen, but the child was born in a foreign country and hence entitled to a foreign citizenship.

[CAPI]

#1 If *CFPS2012\_age*≥6, *CFPS2011\_latest\_interv*=0, or *CFPS2010\_A105*=0 (the value is missing or not applicable), skip to A105B.

#2 If *CFPS2012\_age*<6, continue to A105A.

#3 Otherwise, skip to B1M.

A105A Is the child still being breastfed now?

1. Yes (skip to B1M)
5. No (continue to A105B)

A105B How long was your child breastfed since birth? \_\_\_\_\_ month (0.0...47.0)

Interviewer's Note: Please record to one decimal place and calculate by month. Four weeks should be recorded as one month. For example, 42 weeks should be recorded as 10.5 month.

## Part B. Daily life

B1M In the most recent month when the parents were not on vacation, where did your child mainly live?

1. Home
2. School dormitory
3. Friend's/Relative's home
4. Kindergarten/Daycare
77. Other [please specify] \_\_\_\_\_

F1 (In general terms): "Mainly live" refers to a daily living place rather than a temporary shelter.

---

[CAPI] If *CFPS2012\_age* >= 12, show the option “7” in B202 and B203; otherwise keep it masked.

B202 In the most recent month when the parents were not on vacation, who mainly took care of the child at daytime?

F1: Chose the one who mainly took care of the child.

1. Nursery/Kindergarten
2. Child’s paternal grandparents
3. Child’s maternal grandparents
4. Child’s Father
5. Child’s mother
6. Babysitter
7. Self-care [Selectively Masked]
77. Other [please specify] \_\_\_\_\_

B203 In the most recent month when the parents were not on vacation, who mainly took care of the child at night?

1. Nursery/ Kindergarten
2. Child’s paternal grandparents
3. Child’s maternal grandparents
4. Child’s Father
5. Child’s mother
6. Babysitter
7. Self-care [Selectively Masked]
77. Other [please specify] \_\_\_\_\_

[CAPI] If the option “4” or “5” was selected in B202 or B203, skip to B401; otherwise continue to B201.

B201 In the most recent month when the parents were not on vacation, how many times could the child meet his/her parent(s) per week on average? \_\_\_\_\_ (0...7)

Interviewer’s Note: Either the mother or the father, or both. Multiple meetings in one day should be counted as only one meeting.

F1: “Meet” refers to a meeting that lasts for more than one hour.

B401 In the past year, how long did the child live with his/her father?

1. Almost the entire year
2. 11 months
3. 8-10 months
4. 5-7 months
5. 2-4 months
6. 1 month
7. Almost never

B402 In the past year, how long did child live with his/her mother?

1. Almost the entire year
2. 11 months
3. 8-10 months
4. 5-7 months
5. 2-4 months
6. 1 month
7. Almost never

[CAPI] If *CFPS2012\_age* < 4 or *CFPS\_latest\_interv* = 0, continue to B5; otherwise, continue to C0.

[CAPI]

#1 If *CFPS2011\_child\_walk* = 1, skip to B6; otherwise, continue to B5.

#2 If *CFPS2011\_latest\_interv* = 0 and *CFPS2012\_age* >= 4, continue continue to B501.

B5 Can the child walk by him/herself?

1. Yes
5. Not yet (skip to B6)

F1: “Walk” refers to walking on one’s own without the assistance of any other object or external force. Even walking 3-5 steps counts.

---

B501 How old (in months) was the child when he/she became able to walk by him/herself? \_\_\_ month (1...47)

Interviewer's Note: Four weeks should be recorded as one month. Please round up to an integer.

F1: "Walk" refers to walking on one's own without the assistance of any other object or external force. Even walking 3-5 steps counts.

[CAPI] Soft Check: B501<=24. "Interviewer's Note: Double check in case the child was able to walk after 24 months old."

[CAPI]

#1 If *CFPS2011\_child\_speak*=1, skip to B7; otherwise, continue to B6.

#2 If *CFPS2011\_latest\_interv*=0 and *CFPS2012\_age*>=4, skip to B601; otherwise, continue to B6.

B6 Can the child speak complete sentences, such as "I want to eat"?

1. Yes (continue to B601)      5. Not yet (skip to B7)

B601 How old (in months) was the child when he/she became able to speak complete sentences, such as "I want to eat"? \_\_\_ month (1...47)

Interviewer's Note: Four weeks should be recorded as one month. Please round up to an integer.

[CAPI] Soft Check: B601>12 and B601<=24. "Interviewer's Note: Double check in case the child was able to speak before 12 months old." "Interviewer's Note: Double check in case the child was able to speak after 24 months old."

[CAPI]

#1 If *CFPS2011\_child\_count*=1, skip to B8; otherwise, continue to B7.

#2 If *CFPS2011\_latest\_interv*=0 and *CFPS2012\_age*>=4, skip to B701; otherwise, continue to B7.

B7 Can the child count from 1 to 10?

1. Yes (continue to B701)      5. Not yet (skip to the CAPI before B8)

B701 How old (in months) was the child when he/she became able to count from 1 to 10? \_\_\_ month (1...47)

Interviewer's Note: Four weeks should be recorded as one month. Please round up to an integer.

[CAPI] Soft Check: B701>12 and B701<=24. "Interviewer's Note: Double check in case the child was able to count from 1 to 10 before 12 months old." "Interviewer's Note: Double check in case the child was able to count from 1 to 10 after 24 months old."

[CAPI]

#1 If *CFPS2011\_child\_pee* =1, then continue to C0; otherwise, continue to B8.

#2 If *CFPS2011\_latest\_interv*=0 and *CFPS2012\_age*>=4, then continue to B801; otherwise, continue to B8.

B8 When the child urinates, is he/she able to take off the trousers on his/her own?

1. Yes (continue to B801)      5. No (skip to C0)

B801 How old (in months) was the child when he/she became able to urinate by himself/herself? \_\_\_ month (1...47)

---

Interviewer's Note: Four weeks should be recorded as one month. Please round up to an integer.  
F1: "Urinate by himself/herself" refers to the child can take off his/her trousers on his/her own when urinates.

[CAPI] Soft Check: B801>12 and B801<36. "Interviewer's Note: Double check in case the child was able to urinate on his/her own before 12 months old." "Interviewer's Note: Double check in case the child was able to urinate on his/her own after 36 months old."

## Part C. Health

C0 In the past month, was the child ever sick?

1. Yes (continue to C1)      5. No (skip to the CAPI before C2)

F1: "Was sick" refers to feeling physically uncomfortable and receiving treatment by taking medicine or other methods.

C1 In the past month, the child was sick \_\_\_\_\_ times (1...15)

C101 In the past month, the child went to hospital/ medical center due to illness \_\_\_\_\_ times (0...15)

Interviewer's Note: Vaccination, routine physical examination, or other things alike, do not count.

F1: (1) "Hospital/Medical center" refers to a place equipped with medical staff and facilities to provide general medical care and services, such as a general hospital, town hospital, community hospital, village clinic, or private clinic. Specialized hospitals such as dental clinics or supplementary clinics in drugstores do not count.

(2) "Times went to hospital due to illness" refers to the number of times child went to hospital for treating a disease. One continuous course of disease, however, refers to one time. If the child caught a cold and received injections for 3 continuous days in a hospital, and then changed to another hospital to get another injection, record 1 time. If the child caught a cold and came down with pneumonia due to the cold and the child went to hospital for several continuous days, record 1 time. If the child caught a cold, went to hospital and recovered, but after a few days, the child developed a fever and went to hospital again, record 2 times.

[CAPI] Hard Check: C101<=C1. "Interviewer's Note: The number of times going to hospital should be less than that of being sick."

[CAPI] If *CFPS2011\_latest\_interv*=0 and *CFPS2012\_age*>=1, continue to C2; otherwise skip to C3.

C2 How many times was the child sick before the age of 1 year old? \_\_\_\_\_

F1: "Be sick" refers to feeling physically uncomfortable and receiving treatment by taking medicine or other methods.

C201 How many times did the child continue to hospital before the age of 1 year old? \_\_\_\_\_

Interviewer's Note: Vaccination, routine physical examination, or other things alike, do not count.

---

F1: (1) “Hospital/Medical center” refers to a place equipped with medical staff and facilities to provide general medical care and services, such as a general hospital, town hospital, community hospital, village clinic, or private clinic. Specialized hospitals such as dental clinics or supplementary clinics in drugstores do not count.

(2) “Times went to hospital due to illness” refers to the number of times child went to hospital for treating a disease. One continuous course of disease, however, refers to one time. If the child caught a cold and received injections for 3 continuous days in a hospital, and then changed to another hospital to get another injection, record 1 time. If the child caught a cold and came down with pneumonia due to the cold and the child went to hospital for several continuous days, record 1 time. If the child caught a cold, went to hospital and recovered, but after a few days, the child developed a fever and went to hospital again, record 2 times.

C3 Generally speaking, if the child has a minor illness (such as fever or diarrhea), what would you do?

**Interviewer’s Note: Choose the most common coping strategy.**

1. See a doctor right away
2. Find/buy medicine myself
3. Try folk medical treatment (such as skin scraping therapy)
4. Ask for religious/Buddha’s help or hold a religious ritual
5. Take no actions and wait for natural recovery
77. Other [please specify] \_\_\_\_\_

F1: (1) “Minor illness” refers to a disease which is not serious and the patient can recover by taking medicines without medical assistance and at a limited cost.

(2) “Skin scraping therapy” is a kind of traditional naturopathy based on the theory of Chinese medicine. The doctor uses tools (e.g., ox horn, jade, cupping jar) to scrap a certain part of the patient’s body in order to dredge the channels in the body, promote blood circulation and remove blood stasis.

(3) “Religious ritual” refers to religious activities or rites such as prayer.

C4\_1 In the past year, did the child continue to hospital/medical center due to illness?

1. Yes (continue to C4)
5. No (skip to C5)

C4 In the past year, how many times did the child continue to hospital/ medical center due to illness? \_\_\_\_\_ times (1...15)

**Interviewer’s Note: Vaccination, routine physical examination, or other things alike, do not count.**

F1: (1) “Hospital/Medical center” refers to a place equipped with medical staff and facilities to provide general medical care and services, such as a general hospital, town hospital, community hospital, village clinic, or private clinic. Specialized hospitals such as dental clinics or supplementary clinics in drugstores do not count.

(2) “Times went to hospital due to illness” refers to the number of times child went to hospital for treatment of a disease. One continuous course of disease, however, refers to one time. If the child caught a cold and received injections for 3 continuous days, then changed hospitals to get another injection, record 1 time. If the child caught a cold and came down with pneumonia due to the cold and the child went to hospital for several continuous days, record 1 time. If the child caught a cold,



---

C601A Disability type \_\_\_\_\_;

Interviewer's Note: Press the space sky to retrieve the Disability Code List.

[CAPI] Load the Disability Code List [National Standard]

F1: The disability assessment is for five types: visual disability, audition and language disability, intelligence disability, disability in limbs and trunk, and mental disability. The standard of assessment of disability is based on the *Practical Standard of Disability Assessment in China* approved by the State Council.

C601B Disability Level \_\_\_\_\_

Interviewer's Note: The assessment of disability levels experienced a change and has two different standards, one with 4 levels and the other with 9 levels. Please specify whether the record follows a 4-level or a 9-level standard. If it is Level 2 in the 4-level standard, record 2/4. If it is Level 2 in the 9-level standard, record 2/9.

C7 In the past year, how much money (including the amount reimbursed or to be reimbursed) has been spent on the child's medical care (including medicine, medical treatment, hospitalization, etc.)? \_\_\_\_\_yuan (0...5,000,000)

F1: (1) "Hospitalized" refers to that the child stayed overnight in a hospital ward for at least one night due to disease or accidental injury.

(2) "How much money" refers to all the expenses used for treatment and hospitalization.

[CAPI]

#1 If C7=0, skip to C801M.

#2 Soft Check: <=50,000. "Interviewer's Note: Double check in case the money was more than 50,000 yuan."

C701 How much of the cost has been paid by the family directly in the past year (excluding the amount reimbursed or to be reimbursed)? \_\_\_\_\_yuan (0...5,000,000)

Interviewer's Note: Money borrowed from relatives and friends should be counted.

[CAPI]

#1 Hard Check: C701<=C7. "Interviewer's Note: The money paid by the family must be less than the total cost."

#2 Soft Check: <=100,000. "In the past year, the cost paid by your family was less than 10,000yuan, wasn't it?"

C801M Does the child have social medical insurance?

1. Yes

5. No

F1: When a person who has social medical insurance gets sick, the social medical insurance institute will provide reimbursement or subsidy in order to help the person recover and regain working capability. Social medical insurance is an important part of the social security, generally regulated by the government through economic, administrative, and legal measures.

C802 In the past year, how much did the family spend on commercial medical insurance for the child \_\_\_\_\_yuan (0...100,000)

---

Interviewer's Note: If the family did not buy any commercial medical insurance for the child, record "0".

[CAPI] Soft Check:  $\leq 5,000$ . "Interviewer's Note: Double check in case the money spent on commercial medical insurance for the child was more than 50,000 yuan."

[CAPI] If  $CFPS2012\_age \geq 3$ , continue to C9; otherwise, skip to Part D.

C9 How is the child's uncorrected visual acuity now? Left eye \_\_\_\_\_ (0.1...2.0) (4.0...5.3), right eye \_\_\_\_\_ (0.1...2.0) (4.0...5.3)

Interviewer's Note: There are two ways to record visual acuity. The range are 0.1-2.0 or 4.0-5.3. Please use the one which is more familiar to the respondent and use the same standard for both eyes.

F1: International standard visual chart is composed of 12 lines of the letter E that differ in size and orientation. The score ranges from 0.1 to 2.0 (or from 4.0 to 5.3). The eye sight of the person taking the test should be lined up with the line of 1.0 on the chart horizontally and stand 5 meters away from the chart.

## Part D. Education

[CAPI]

#1 If  $CFPS2012\_age = 1, 3, 5, 7, 9, 11, 13, \text{ or } 15$ , continue to D1.

#2 If  $CFPS2012\_age = 0, 2, 4, 6, 8, 10, 12, \text{ or } 14$ , skip to D2.

D1 What type of occupation do you want the child to pursue when he/she grows up? [Show card]

- |  |                                      |
|--|--------------------------------------|
| 1. Promote social development                    | 2. Help people and serve the society |
| 3. Highly esteemed                               | 4. Respected                         |
| 5. Make lots of money                            | 6. Ordinary but with a stable income |
| 7. Work on his/her own otherwise hired by others |                                      |

D101 What specific occupation do you want the child to pursue when he/she grows up?

\_\_\_\_\_ Interviewer's Note: Record the response verbatim.

[CAPI] Skip to D4 after answering D101.

D2 What is the highest level of education you wish your child can obtain? [Show card]

- |                                      |                                  |                       |
|--------------------------------------|----------------------------------|-----------------------|
| 1. Illiterate/Semi-literate [Masked] | 2. Primary school                | 3. Junior high school |
| 4. Senior high school                | 5. 3-year college                |                       |
| 6. 4-year college/Bachelor's degree  | 7. Master's degree               |                       |
| 8. Doctoral degree                   | 9. No need to continue to school |                       |

D4 Have you or your family members started saving money in particular for your child's education?

Interviewer's Note: Purchasing educational funds or insurances is counted as "1.Yes".

- |                           |                    |
|---------------------------|--------------------|
| 1. Yes (continue to D401) | 5. No (skip to D5) |
|---------------------------|--------------------|

---

D401 Was the money reserved in particular for your child's education saving deposited in bank?

1. Yes

5. No

D402 Throughout the past year, how much money have you or your family member saved in particular for your child's education? \_\_\_\_\_yuan (0...100,000)

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the money saved for child's education was more than 10,000 yuan."

D5 The total cost of the child's education in the past year (excluding living expense).

Interviewer's Note: Only the educational cost directly paid by the family is counted.

[CAPI]

#1 Press "1" to continue as the following prompt pops up on the screen.

#2 If *CFPS2012\_age*<8, continue to D508-D507; otherwise, skip to D501.

D508 Nanny fee \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=40,000. "Interviewer's Note: Double check in case the nanny fee was more than 40,000 yuan."

D507 Nursery fee \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI]

#1 Soft Check: <=40,000. "Interviewer's Note: Double check in case the nursery fee was more than 40,000 yuan."

#2 *CFPS2012\_age*>=1, continue to D501; otherwise, skip to D503M.

D501 Tuition and miscellaneous fees \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=40,000. "Interviewer's Note: Double check in case the tuition and miscellaneous fees were in total more than 40,000 yuan."

D502A Total cost of text books, reference books and extracurricular books other than those provided by schools \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=40,000. "Interviewer's Note: Double check in case the total cost of text books, reference books and extracurricular books was more than 40,000 yuan."

D511 Educational software \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

F1: "Educational software" refers to the software purchased and used for educational purpose.

[CAPI] Soft Check: <=40,000. "Interviewer's Note: Double check in case the cost of education software was more than 40,000 yuan."

---

D505M Transportation cost due to study \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the transportation cost was more than 10,000 yuan."

D512 Money spent on choosing schools (sponsorship for the kindergarten or school) \_\_\_\_\_yuan (0...500,000)

Interviewer's Note:

(1) If none, record "0".

(2) If the fee was paid once for all, divide it by the years of schooling.

[CAPI] Soft Check: <=100,000. "Interviewer's Note: Double check in case the money spent on choosing schools was more than 100,000 yuan per year."

D513 Food expense at school \_\_\_\_\_yuan (0...50,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the food expense at school was more than 10,000 yuan."

D504 Room and Board \_\_\_\_\_yuan (0...50,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=20,000. "Interviewer's Note: Double check in case the total cost of room and board at school was more than 20,000 yuan."

D503M Extracurricular/Home/parent-child tutoring (including interest class at kindergarten) \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the total cost of extracurricular /home /parent-child tutoring was more than 10,000 yuan."

F1: "Extracurricular tutoring" refers to the training that a child receives after school in order to improve grades or learn special skills, such as painting, playing piano, etc. The extracurricular tutoring is often provided by some private institutes but not schools.

D577 Other educational expenses \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the other education expenses were in total more than 10,000 yuan."

D5CKP According to the information you provided above, the total cost of the child's education paid directly by your family in the past year was "[CAPI] Load the sum of all the items above" yuan, this number is\_\_

1. Too much (continue to D5TOTAL\_M)
3. Too little (continue to D5TOTAL\_M)
5. About the same (skip to D6)

D5TOTAL\_M The total cost of the child's education in the past year was about \_\_\_\_\_yuan

---

(0...1,000,000)

[CAPI]

#1 Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The total cost of the child’s education was more than 100,000 yuan.”

#2 Hard Check:  $D5CKP=1, D5TOTAL\_M \leq WD5TOTAL$ . “Interviewer’s Note: The total cost reported at the second time was less than the sum of all kinds of educational costs.”

#3 Hard Check:  $D5CKP=3, D5TOTAL\_M \geq WD5TOTAL$ . “Interviewer’s Note: The total cost reported at the second time was more than the sum of all kinds of educational costs.”

D6 Next we want to learn about the educational expense paid by others outside of your family in the past year.

D601 Donations from relatives and friends \_\_\_\_\_yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Double check in case the total amount of the donations from relatives and friends was more than 10,000 yuan.”

D606 Loans/money borrowed for education \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Double check in case the total amount of the loans/money borrowed for education was more than 10,000 yuan.”

[CAPI] If  $CFPS2012\_age < 4$ , skip to D677; otherwise, continue to D602.

D602 Scholarship won by the child \_\_\_\_\_yuan (0...500,000)

Interviewer’s Note: If none, record “0”.

F1: “Scholarship” refers to the money awarded to a student for excellent academic performance by certain institute or organization.

[CAPI] Soft Check:  $\leq 20,000$ . “Interviewer’s Note: Double check in case the scholarship won by the child was more than 20,000 yuan.”

D603 Tuition waiver the child got \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “Tuition waiver” refers to the educational cost waived for certain reasons.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Double check in case the Tuition waiver the child got was more than 10,000 yuan.”

D604 Student subsidy the child got \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “Student subsidy” refers to the money awarded to a student who studies hard and whose family is in a poor economic condition. The money is used for various expenses at school and does not need to be repaid.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Double check in case the student subsidy was more than 10,000 yuan.”

---

[CAPI] If *CFPS2012\_age*<10, skip to D677; otherwise, continue to D605.

D605 Part-time work/Intern income of the child \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the part-time work/intern income of the child was more than 10,000 yuan."

D677 Other subsidies \_\_\_\_\_yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check: <=10,000. "Interviewer's Note: Double check in case the total amount of other subsidies was more than 10,000 yuan."

D6CKP According to the information you provided above, the total cost of the child's education paid by others outside of your family in the past year was "[CAPI] Load the sum of all the items from D601 TO D677" yuan, this number is \_\_

1. Too much (continue to D6TOTAL\_M)
3. Too little (continue to D6TOTAL\_M)
5. About the same (skip to Part E)

D6TOTAL\_M the total cost of the child's education paid by other means except your family in the past year was \_\_\_\_\_yuan (0...1,000,000)

[CAPI]

#1 Soft Check: <=10,000. "Interviewer's Note: The total cost of the child's education paid by others outside of your family was more than 100,000 yuan."

#2 Hard Check: D6CKP=1, D5TOTAL\_M<=WD6TOTAL. "Interviewer's Note: The total cost of the child's education reported by the respondent at the second time was less than the sum of all kinds of education cost."

#3 Hard Check: D5CKP=3, D6TOTAL\_M>=WD6TOTAL. "Interviewer's Note: The total cost of the child's education reported by the respondent at the second time was more than the sum of all kinds of education cost."

## Part E. Views on Parenting

[CAPI]

#1 If *CFPS2012\_age*=1, 5, 9, or 13, continue to E1.

#2 If *CFPS2012\_age*=2, 6, 10, or 14, skip to E2.

#3 If *CFPS2012\_age*=3, 7, 11, or 15, skip to E3.

#4 If *CFPS2012\_age*=4, 8, or 12, skip to E4.

E1 The following questions are about parenting opinions. Please answer according to your own experience and opinion. [Show card]

Interviewer's Note:

- (1) If the respondent says, "I don't understand what you are saying", or "Don't want to answer", record "6. Don't know".
- (2) Do not read out the responses of "Neither agree nor disagree" or "Don't know". They are not shown on the card either.

---

(3) “CTRL+D”, “CTRL+R”, and “79. Not applicable” are not allowed here.

- |   |                                 |             |                     |
|---|---------------------------------|-------------|---------------------|
| 1. Totally agree                                | 2. Agree                        | 3. Disagree | 4. Totally disagree |
| 5. Neither agree nor disagree [Do not read out] | 6. Don't know [Do not read out] |             |                     |

[CAPI]

#1 “Don't know”, “Refuse to answer”, or “79. Not applicable” is not allowed in E101 – E108.

#2 If the respondent choose 6 in E101-E108, skip to E1DK.

E101 Divorce is always harmful to the child.

E102 Parents should never get divorced for the sake of the child even if they are unhappy about their marriage.

E103 Parents should sacrifice their material life if necessary in order to support their child's education.

E104 I have a strong responsibility for whether “[CAPI] Load the interviewed child's name” has good or bad academic grades.

E105 I have a strong responsibility for whether “[CAPI] Load the interviewed child's name” will be economically independent when he/she grows up.

E106 I have a strong responsibility for whether “[CAPI] Load the interviewed child's name” will live a happy family life when he/she grows up.

E107 I have a strong responsibility for whether “[CAPI] Load the interviewed child's name” will feel emotionally happy when he/she grows up.

E108 If a child has a car accident after he/she grows into an adult, his/her parents should bear a strong responsibility.

E1DK Why did you answer “Don't know”?

- |   |                                  |
|---|----------------------------------|
| 1. Don't want to answer                         | 3. Don't understand the question |
| 5. I am not sure whether I agree with it or not |                                  |

[CAPI] Skip to the CAPI before Z301.

E2 The following questions are about reasons for raising a child. Please answer according to your own experience and opinion. [Show card]

Interviewer's Note:

(1) If the respondent says, “I don't understand what you are saying”, or “Don't want to answer”, record “6. Don't know”.

(2) Do not read out the responses of “Neither agree nor disagree” or “Don't know”. They are not shown on the card either.

(3) “CTRL+D”, “CTRL+R”, and “79. Not applicable” are not allowed here.

- |   |                                 |             |                     |
|---|---------------------------------|-------------|---------------------|
| 1. Totally agree                                | 2. Agree                        | 3. Disagree | 4. Totally disagree |
| 5. Neither agree nor disagree [Do not read out] | 6. Don't know [Do not read out] |             |                     |

[CAPI]

#1 “Don't know”, “Refuse to answer”, or “79. Not applicable” is not allowed in E201 – E209.

#2 If the response to any question in E201-E209 is “6. Don't know”, then skip out to ask E2DK.

The purpose of raising children is:

E201 To help me when I get old.

E202 To proliferate the family.

- 
- E203 To help my family economically.  
E204 To enjoy raising up a child.  
E205 To enjoy having a child accompany me.  
E206 To enjoy having a baby.  
E207 To make the family more important in my life.  
E208 To increase my sense of responsibility.  
E209 To strengthen connections with relatives.

E2DK Why did you answer “Don’t know”?

1. Don’t want to answer      3. Don’t understand the question      5. I really do not know

[CAPI] Skip to the CAPI before Z301.

E3 The following questions are about your views on \*\*\* “[CAPI] Load the interviewed child’s name”. Please answer according to your own experience and opinion without overthinking. [Show card]

Interviewer’s Note:

- (1) If the respondent says, “I don’t understand what you are saying” or “Don’t want to answer”, record “6. Don’t know”.
  - (2) Do not read out the responses of “Neither agree nor disagree” or “Don’t know”. They are not shown on the card either.
  - (3) “CTRL+D”, “CTRL+R”, and “79. Not applicable” are not allowed here.
1. Totally agree      2. Agree      3. Disagree      4. Totally disagree  
5. Neither agree nor disagree [Do not read out]      6. Don’t know [Do not read out]

[CAPI]

#1 “Don’t know”, “Refuse to answer”, or “79. Not applicable” is not allowed in E301 – E309.

#2 If the response to any question in E301-E312 is “6. Don’t know”, skip out to E3DK.

E301 “[CAPI] Load the interviewed child’s name” is optimistic in nature.

E302 “[CAPI] Load the interviewed child’s name” waits for his/her turn while playing or doing other activities.

E303 “[CAPI] Load the interviewed child’s name” does things very carefully and in order.

E304 “[CAPI] Load the interviewed child’s name” is curious and likes to explore. He/she loves new experiences.

E305 “[CAPI] Load the interviewed child’s name” thinks first before acting and is not impulsive.

E306 “[CAPI] Load the interviewed child’s name” gets on well with others his/her age.

E307 “[CAPI] Load the interviewed child’s name” can tolerate the mistakes made by others in his/her age during games and other activities.

E308 “[CAPI] Load the interviewed child’s name” likes to help others while playing or doing other activities.

E309 “[CAPI] Load the interviewed child’s name” usually does what you tell him/her to do.

E310 “[CAPI] Load the interviewed child’s name” is able to overcome fidgeting easily.

E311 “[CAPI] Load the interviewed child’s name” gets along well with others his/her age.

E312 “[CAPI] Load the interviewed child’s name” tries his/her best to do things independently.

---

E3DK Why did you answer “Don’t know”?

1. Don’t want to answer
3. Don’t understand the question
5. I am not sure whether I agree with it or not

[CAPI] Skip to the CAPI before Z301.

E4 Let 0 be the lowest score (least important) while 10 be the highest (most important). How would you rate the importance of the following factors in affecting a child’s future success? Please answer according to your own experience and opinion. [Show card]

E401 How important is the family’s social status to a child’s future achievement?

E402 How important is the family’s economic condition to a child’s future achievement?

E403 How important is the level of education to a child’s future achievement?

E404 How important is gifted talent to a child’s future achievement?

E405 How important is effort to a child’s future achievement?

E406 How important is luck to a child’s future achievement?

E407 How important is family’s social connections to a child’s future achievement?

[CAPI] Skip to the CAPI before Z301.

[CAPI]

#1 Press “1” to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the Interviewer’s Note.

Interviewer’s Note: The following questions are to be answered by the interviewer based on his/her observation.

Z301 Home environment (such as child’s artwork, books, or other study materials) indicates that the parents care about the child’s education.

Interviewer’s Note: If the interview location is not at home, choose “79. Not applicable.”

1. Extremely agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Extremely disagree
79. Not applicable

Z302 The parents take the initiative to actively communicate with the child.

Interviewer’s Note: If the parents did not communicate with the child during the interview, choose “79. Not applicable.”

1. Extremely agree
2. Agree
3. Neither agree nor disagree
4. Disagree
5. Extremely disagree
79. Not applicable

## Part F. Schooling

F3M Is your child currently attending school/kindergarten/preschool class?

Interviewer’s Note: If the child has started to attend school, record “1. Yes” even if he/she is currently on vacation.

1. Yes (continue to F301M)
- 5.No (skip to F8)

[CAPI]

---

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 Soft Check: F3M=1. “Your child is not attending school/kindergarten/preschool class, is he/she?”

F301M Which level of school is the child attending?

1. Baby nursery
2. Kindergarten/Preschool class
3. Primary school
4. Junior high school
5. Senior high school/Technical secondary school/vocational high school
6. 3-year collage
7. 4-year university

[CAPI] Soft Check: =1, 2, 3, 4. “The child is attending ‘[CAPI] Load the answer in F301M’, right?”

F1: (1) “Kindergarten” refers to a kind of educational organization in order to nurse and educate children. Usually, if it enrolls children younger than 3 years old, it is called nursery; if it enrolls children from 3 to 6 years old, it is called kindergarten.

(2) “Preschool” is a one year course designed for 5-6 or 6-7 years old children, in order to prepare them for primary school.

F303 when did the child start “[CAPI] Load the answer in F301M” \_\_\_\_\_year (2002...2012)

[CAPI]

#1 If F301M=1, use the wording “baby nursery” in F311 – F104M.

#2 If F301M=2, use the wording “kindergarten/preschool class” in F311 – F104M.

#3 Otherwise, use the wording “school” in F311 – F104M.

F311 How did the child get enrolled in this baby nursery/kindergarten/preschool class/school?

1. Based on school district (continue to F312)
2. Random assignment by a computer (continue to F312)
3. Excellent academic performance or non-academic talent (continue to F312)
4. Paid a sponsorship fee (continue to F313)
5. Social network/*guanxi* (continue to F312)
77. Others [Specify]\_\_\_\_\_ (continue to F312)

F1: “Sponsorship fee” refers to the extra cost charged to a student who does not come from the designated school district.

F312 Have you paid any sponsorship fee for the child to attend this baby nursery/kindergarten/preschool class/school?

1. Yes
5. No

F1: “Sponsorship fee” refers to the extra cost charged to a student who does not come from the designated school district.

F313 Have you moved or changed your household registration for the child to attend this baby nursery/kindergarten/preschool class/school?

1. Only changed the residential address (continue to F314)
2. Only changed the household registration address (skip to F104M)
3. Changed both (continue to F314)
4. Neither (skip to F104M)

---

F314 How did you change your residential address?

1. Bought a house nearby
3. Rented a house nearby
5. Lodging at a friend's or relative's house nearby
77. Other [Specify]\_\_\_\_\_

F104M How far is your home to the baby nursery/kindergarten/preschool class/school?

**Interviewer's Note:** If the family has several addresses, ask the distance between the school and the current place of residence.

1. Less than 1 km (excluding 1 km)
2. 1-2km (excluding 2 km)
3. 2-5km (excluding 5 km)
4. More than 5km

[CAPI]

#1 F301M =1, use the wording "baby nursery" in F307M – F310M.

#2 F301M =2, use the wording "kindergarten/preschool class" in F307M – F310M.

#3 Otherwise, use the wording "school" in F307M – F310M.

F307 M How does your child usually get to the baby nursery/kindergarten/preschool class/school?

**Interviewer's Note:**

(1) If there are several means of transportation, record the main one.

(2) If the child lives at school, record the means of transportation travelling from home to school.

1. Walk
2. Bicycle/Tricycle
3. Electric bicycle/Electric tricycle/Motorbike
4. Bus/Car
5. Subway
6. Taxi
7. School bus
77. Other [please specify] \_\_\_\_\_

F308 How long does it take to get to the baby nursery/kindergarten/preschool class/school by "[CAPI] Load the answer in F307M"? \_\_\_\_\_ min (1...240)

[CAPI] Soft Check: <=90. "Does it take more than one and half hour?"

F309 When does the child usually get up in the morning when he/she is attending the baby nursery/kindergarten/preschool class/school? \_\_\_\_\_ hour (4...10) \_\_\_\_\_ min (0...59)

**Interviewer's Note:** Use a 24-hour time system.

F310 When does the child usually go to bed at night when he/she is attending the baby nursery/kindergarten/preschool class/school? \_\_\_\_\_ hour (18...24) \_\_\_\_\_ min (0...59)

**Interviewer's Note:** Use a 24-hour time system.

F4 Which of your family members tutored "Interviewed child's name" for his/her homework?  
[Select all that apply]

**Interviewer's Note:** If the child is on vacation now, ask the situation before the vacation.

[CAPI]

#1 List the names of all the family members in CFPS2012\_T1, except the child, for selection. Add



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4. Kindergarten affiliated with a primary school

77. Other [please specify] \_\_\_\_\_

[CAPI] Skip to F113 after answering F112A.

F112B Which type does the kindergarten/preschool class belong to?

1. Approved by the government

5. Not approved by the government

F113 Who takes the child to and back from the kindergarten/preschool class most often?

1. His/her mother

2. His/her father

3. His/her grandparent(s)

4. His/her baby-sitter

77. Other [please specify] \_\_\_\_\_

F107 In the past month, how much did you pay for the kindergarten? \_\_\_\_\_yuan (1...10,000)

F1: "Pay for the kindergarten" includes all the costs paid to the kindergarten such as catering, clothes and quilts, etc. The money should be counted as long as it was paid to the kindergarten.

[CAPI]

#1 Soft Check:  $>50$  and  $\leq 5,000$ . "Interviewer's Note: Was the money paid for the kindergarten in the past month less than 50 yuan?" "Interviewer's Note: Was the money paid for the kindergarten in the past month more than 5,000 yuan?"

#2 Skip to F8 after answering F107.

[CAPI] If *CFPS2011\_latest\_interv*=0, continue to F101; otherwise, skip to F302.

F101 Has the child ever attended kindergarten?

1. Yes (continue to F102)

5.No (skip to F302)

F1: "Kindergarten" refers to a kind of educational organization in order to nurse and educate children. Usually, if it enrolls children younger than 3 years old, it is called nursery; if it enrolls children from 3 to 6 years old, it is called kindergarten.

F102 What were the starting and ending dates for the child to attend kindergarten?

\_\_\_\_year (1997...2012) \_\_\_\_month (1...12) to \_\_\_\_year (1997...2012) \_\_\_\_month (1...12)

[CAPI] Hard Check:

#1 Starting year – *CFPS2012\_Birth Y* $\geq 0$ . "Interviewer's Note: The starting year was before the birth year."

#2 Ending year - Starting year  $\geq 0$ . "Interviewer's Note: The ending year was after the starting year."

F1: "Kindergarten" refers to a kind of educational organization in order to nurse and educate children. Usually, if it enrolls children younger than 3 years old, it is called nursery; if it enrolls children from 3 to 6 years old, it is called kindergarten.

F302 What grade of "[CAPI] Load the answer in F301M" is the child attending? \_\_\_\_\_ (1...6)

F304S Can the child live at the school?

Interviewer's Note: If the child is on vacation and will face the change of school, ask about the previous school.

1. Yes (continue to F304)

5. No (skip to F311)

---

F1: “Live at the school” means that the school provides food and lodging services to the students (i.e. boarding services).

F304 Does the child live at the school?

Interviewer’s Note: If the child is on vacation, ask about the situation before the vacation.

1. Yes (continue to F304A)                      5.No (skip to F311)

F1: “Live at the school” means that the school provides food and lodging services to the students (i.e. boarding services).

F304A How many times in a month does the child go back home on average when he/she lives at school? \_\_\_\_\_ (0...20)

Interviewer’s Note:

- (1) If the child is on vacation, ask about the situation before the vacation.
- (2) If the child didn’t come back home, record “0”.
- (3) If less than once per month on average, record “1”. If more than once but less than twice, record “2”.

[CAPI] Soft Check: <=4. “Interviewer’s Note: The child goes back home more than 4 times a month.”

F311M The school is located in a

Interviewer’s Note: Distinctions are based the name of the location. For example, record “2” for Wuxi City, while “3” for Miyun County.

- 1. Provincial capital city (including municipality)
- 2. Ordinary city (including county-level city and prefecture-level city)
- 3. County seat
- 4. Village (including *xiang/zhen*)

F312M Is the child currently in a key school?

1. Yes                      5.No

F313M what is the type of the school?

Interviewer’s Note: classify according to the types of the students.

1. Ordinary school    3. Migrant school    5. International school  
77. Other [please specify] \_\_\_\_\_

F1: (1) “Ordinary school” refers to a regular school, but not in contrast to a key school.  
(2) “Migrant school” refers to a school that mainly enrolls migrant workers’ children and provides them with the 9-years mandatory education. “Migrant school” can be either public or private.  
(3) “International school” refers to a school for the children of foreigners in China.

F306 Is the child currently in a key class?

1. Yes                      3.No  
5. The school attended does not distinguish between ordinary and key classes.

F1: “Key class” refers to that the school organizes the students with higher scores from each class of a grade into one or two classes. They are instructed by experienced teachers. Usually, such a class is called “experimental class”, “dragon class”, or “tiger class”.

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F501 As far as you know, what was the child's average grade in Chinese language/grammar last semester?

Interviewer's Note: The parent/guardian determines the meaning of "Excellent/Good/Average/Poor" by him/herself. Do not explain.

1. Excellent
2. Good
3. Average
4. Poor

F502 As far as you know, what was the child's average grade in math last semester?

Interviewer's Note: The parent/guardian determines the meaning of "Excellent/Good/Average/Poor" by him/herself. Do not explain.

1. Excellent
2. Good
3. Average
4. Poor

F6 The following questions are related to your caring about your child's study and life. Please answer according to the actual situation last year [Show card].

1. Very often (6-7 times a week)
2. Often (2-3 times a week)
3. Sometimes (once a week)
4. Rarely (once a month)
5. Never

F601M How often did you give up watching TV shows you liked to avoid disturbing your child when he/she was studying?

F602M How often have you discussed what happens at school with your child since this semester started/last semester?

Interviewer's Note: Ask about the last semester if it is currently on school vacation.

F603M How often did you ask the child to finish homework?

F604M How often did you check the child's homework?

F605M How often did you restrict or stop the child from watching TV?

F606M How often did you restrict certain types of TV programs the child could watch?

F701 What is the average score out of a total of 100 that you expect your child to achieve this/next semester? \_\_\_\_ (60...100)

Interviewer's Note: Ask about the next semester if your child is currently on a school vacation.

[CAPI] Soft Check: >=80. "Interviewer's Note: Is the expecting score lower than 80?"

F702 If your child brings back a transcript with a score lower than your expectation, what is your most common reaction? [Show card]

Interviewer's Note: Please choose the most common reaction.

1. Contact the teacher
2. Physical punishment
3. Scold the child
4. Ask the child to study harder
5. Ground the child
6. Help the child more
7. Take no action

F8 The following questions are related to your daily observation of "[CAPI] Load the name of the interviewed child's name". Please answer according to the actual situation. [Show card]

Interviewer's Note:

- (1) If the respondent says, "I don't understand what you are saying" or "Don't want to answer", record "6. Don't know".

---

(2) Do not read out the responses of “Neither agree nor disagree” or “Don’t know.” They are not shown on the card either.

(3) “Don’t know”, “Refuse to answer”, or “79. Not applicable” is not allowed here.

1. Totally agree            2. Agree            3. Disagree            4. Totally disagree  
5. Neither agree nor disagree [Do not read out]            6. Don’t know [Do not read out]

[CAPI]

#1 Skip pattern:

- 1) If F3M=1, continue to F801.
- 2) If F3M=5 and *CFPS2012\_age*<4, skip to G2.
- 3) If F3M=5 and *CFPS2012\_age* >=4, skip to F804.

#2 “Don’t know”, “Refuse to answer”, or “79. Not applicable” is not allowed in F801-F807.

#3 If 6 is chosen in F801-F807, then skip out to ask F8DK.

F801 This child studies very hard.

F802 This child checks his/her homework several times after it is finished to make sure it is correct.

F803 This child does not play until he/she finishes his/her homework.

F804 This child can concentrate his/her attention when he/she is working on something.

F805 This child obeys the school discipline.

F806 Once he/she starts to do something, this child will complete it no matter what happens.

F807 This child likes to arrange his/her own things in order.

F8DK Why did you answer “Don’t know”?

1. Don’t want to answer            3. Don’t understand the question            5. I really don’t know

## Part G. Training and Tutoring

G2 In the most recent month when he/she was not on vacation, did the child ever participate or is he/she currently participating in any parent-child class/extracurricular tutoring?

1. Yes (continue to G203M)            5. No (skip to the CAPI before G3)

F1: (1) “Extracurricular tutoring” refers to the training that a child receives after school in order to improve grades or learn special skills, such as painting, playing piano, etc. The extracurricular tutoring is often provided by some private institutes but not schools.

(2) “Parent-child class” refers to the educational service provided for the 0-3 year child and the parent, in order to teach the parent proper ways to care and educate the child.

[CAPI]*CPFS2012\_age*>=4, mask the option of “5. No”.

G203M Did the child ever participate or is he/she currently participating in any parent-child class/extracurricular tutoring listed below?

1. Tutoring for class at school (such as Chinese, math, English, physics, chemistry, geography and other subjects)
2. Tutoring for academic competition (such as the International Math Olympiad, China Cup competition, and Hope Cup competition)

- 
3. Talent (such as musical instrument, chess, calligraphy, painting, sports, and so on)
  4. Intelligence development (such reckoning by the abacus, mental arithmetic, magic cube, right brain development, and so on)
  5. Parent-child activity [Selectively masked]
  77. Other [please specify] \_\_\_\_\_

F1: (1) “Extracurricular tutoring” refers to the training that a child receives after school in order to improve grades or learn special skills, such as painting, playing piano, etc. The extracurricular tutoring is often provided by some private institutes but not schools.

(2) “Parent-child class” refers to the educational service provided for the 0-3 year child and the parent, in order to teach the parent proper ways to care and educate the child.

[CAPI] Ask G204 for each option chosen in G203M.

[CAPI] If G203M=5, use the wording “parent-child class” in G204; otherwise use the wording “extracurricular tutoring”.

G204 In the most recent month when he/she was not on vacation, how much time per week on average did the child spend on “[CAPI] Load the response in G203M” parent-child class/extracurricular tutoring? \_\_\_\_\_ hour (1...70)

[CAPI] Soft Check:  $\leq 30$ . “The child spent more than 30 hours on ‘[CAPI] Load the response in G203M’, didn’t he/she?”

[CAPI] If *CFPS2012\_age* < 6, continue to G3; otherwise; apply the following skip pattern:

#1 *CFPS2012\_age* < 10, skip to the section of interviewer’s observations at the end of the Adult Proxy Report.

#2 *CFPS2012\_age*  $\geq 10$  and *CFPS2012\_age* < 16, skip to the Child’s Self-Report (10-15 Years) part.

G3 The following questions are about the interaction between you and your child or his/her siblings. Please answer them according to the actual situation. **[Show card]**

[CAPI]

#1 *CFPS2012\_age*  $\geq 1$  and *CFPS2012\_age* < 3, answer G304, G305, and G306.

#2 *CFPS2012\_age*  $\geq 3$  and *CFPS2012\_age* < 6, answer G301, G302, G303, and G308.

G301 How often do you read for example, stories, to your child?

Interviewer’s Note: If “never”, record “1”.

- |                                 |                 |                      |
|---------------------------------|-----------------|----------------------|
| 1. Several times a year or less | 2. Once a month | 3. 2-3 times a month |
| 4. Several times a week         | 5. Every day    |                      |

G302 How often do you buy books for your child, such as picture books?

Interviewer’s Note: If “never”, record “1”.

- |                                 |                 |                      |
|---------------------------------|-----------------|----------------------|
| 1. Several times a year or less | 2. Once a month | 3. 2-3 times a month |
| 4. Several times a week         | 5. Every day    |                      |

G303 How often do you or your family members take the child out to play, such as strolling in the

---

park, going to the playground, shopping, or having a picnic?

Interviewer's Note: If "never", record "1".

1. Several times a year or less
2. Once a month
3. 2-3 times a month
4. Several times a week
5. Every day

G304 How often do you or your family members use toys, games, or other things to help the child learn numbers?

Interviewer's Note: If "never", record "1".

1. Several times a year or less
2. Once a month
3. 2-3 times a month
4. Several times a week
5. Every day

G308 How often do you or your family members use toys, games or other things to help the child learn characters?

Interviewer's Note: If "never", record "1".

1. Several times a year or less
2. Once a month
3. 2-3 times a month
4. Several times a week
5. Every day

G305 How often do you or your family members use toys, games, or other things to help the child distinguish colors?

Interviewer's Note: If "never", record "1".

1. Several times a year or less
2. Once a month
3. 2-3 times a month
4. Several times a week
5. Every day

G306 How often do you or your family members use toys, games, or other things to help the child distinguish shapes?

Interviewer's Note: If "never", record "1".

1. Several times a year or less
2. Once a month
3. 2-3 times a month
4. Several times a week
5. Every day

## Part Z. Interviewer Observations for Adult Proxy Report

[CAPI]

#1 Press "1" to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer's note.

[Prompt] Interviewer's Note: The following questions are to be answered by the interviewer based on his/her observations.

Z1 Who was the main respondent that completed this questionnaire? \_\_\_\_\_

[CAPI] Load all the family members listed in 2012\_T1.

Z101 Who else participated in answering this questionnaire in your family? \_\_\_\_\_

[Limited to two respondents]

---

Interviewer's Note: Record another one or two main respondents.

[CAPI] Load all the other family members listed in 2012\_T1 except the one chosen in Z1.

Z102 Who else besides the family members was present during the interview except? [Select all that apply]

1. Relatives /Friends      2. Interview supervisor      3. Neighbor  
4. Cadres of the village/residential community committee  
77. Other [please specify the identity]\_\_\_\_\_      78. No one else

[CAPI] "78. No one else" and the other options are mutually exclusive.

Z103 What was the main language used in the interview? [Single choice]

1. Mandarin (skip to Z201)      5. Dialect (continue to Z104)

Z104 What was the dialect used in the interview \_\_\_\_\_

Z201 Respondent's comprehension of the questions:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z202 Respondent's health status:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z203 Neatness/cleanliness of respondent's clothing:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z204 Respondent's appearance:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z205 Respondent's Mandarin fluency:

Interviewer's Note: If the interviewer was not in Mandarin, record "79. Not applicable".

Very Poor --1--2--3--4--5--6--7--> Very Good

Z206 Respondent's cooperation during the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z207 Respondent's intelligence:

Very Low --1--2--3--4--5--6--7--> Very High

Z208 Respondent's courteousness:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z209 Respondent's interest in the interview:

Very Low --1--2--3--4--5--6--7--> Very High

Z210 Respondent's concern about the interview:

Very Low --1--2--3--4--5--6--7--> Very High

Z211 Reliability of respondent's response:

Very Low --1--2--3--4--5--6--7--> Very High

Z212 Respondent's ability to express themselves:

Very Weak --1--2--3--4--5--6--7--> Very Strong

Z5 Respondent's impatience with the interview

No--1--2--3--4--5--6--7--> Yes

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## **Child's Self-Report (10-15 Years)**

**The following questions should be answered by  
the adolescent respondent  
aged 10-15 himself/herself**

Sex. Interviewer's Note: Record the sex of the respondent.

1. Male 5. Female

[CAPI] Soft Check: Gender2=CFPS2012\_gender. "Interviewer's Note: Confirm the sex of the respondent is '[CAPI] Load Gender2'."

## Part H. Education

H0 Would you give consent to recording our conversation?

1. Yes 5. No

[CAPI] After answering H0, enter the shared module of C0 [Schooling Confirmation]

H9 What is the minimum level of education you think that you should attain?

1. Illiterate/Semi-literate [Masked] 2. Primary school 3. Junior high school  
4. Senior high school 5. 3-year college  
6. 4-year college/Bachelor's degree 7. Master's degree 8. Doctoral degree  
9. No need to continue to school

[CAPI] If C01=1 and RA1=1(full-time), skip to part D; otherwise, enter Part G [Work Module].

## Part G. Work

[CAPI] Enter the shared module [Work]

## Part D. Language, Communication and Social Interaction

[CAPI] CFPS2011\_latest\_interv=0, continue to KA1; otherwise, skip to K2.

KA1 How would you rate your ability to communicate in the following languages?

KA101. Mandarin Chinese. ["1" represents very bad; "5" represents very good]

Interviewer's Note: Record "79. Not applicable" if the respondent cannot speak Mandarin Chinese.

Very bad 1—2—3—4—5 Very good

F1: "Mandarin Chinese" is the modern standard Chinese language promulgated by the government, for example, the pronunciations and tones used in national radio and television news.

KA102. Local dialect. ["1" represents very bad; "5" represents very good]

Very bad 1—2—3—4—5 Very good

Interviewer's Note: Record "79. Not applicable" if the respondent cannot speak local dialect.

F1: "Local dialect" is a language that prevails in the local village/residential community. It has distinct characteristics compared to Mandarin Chinese in terms of pronunciation, tones and use of words.

KA103. English. ["1" represents very bad; "5" represents very good]

Very bad 1—2—3—4—5 Very good

Interviewer's Note: Record "79. Not applicable" if the respondent cannot speak English

KA104. Other foreign languages. ["1" represents very bad; "5" represents very good]

Very bad 1—2—3—4—5 Very good

Interviewer's Note: Record "79. Not applicable" if the respondent cannot speak other foreign language.

KA106. Ethnic minority language. ["1" represents very bad; "5" represents very good]

Very bad 1—2—3—4—5 Very good

Interviewer's Note: Record "79. Not applicable" if the respondent cannot speak ethnic minority language.

F1: "Ethnic minority language" is an ethnic language that differs from Mandarin Chinese and other Han dialects, and is used by ethnic minority groups in China.

K2 What is the primary language used in your daily communication with your family? [Single choice]

1. Mandarin Chinese
2. Local dialect
3. English
4. Other foreign languages
5. Non-local dialect
6. Ethnic minority language

F1: (1) “Mandarin Chinese” is the modern standard Chinese language promulgated by the government, for example, the pronunciations and tones used in national radio and television news.

(2) “Local dialect” is a language that prevails in the local village/residential community. It has distinct characteristics compared to Mandarin Chinese in terms of pronunciation, tones and use of words.

(3) “Ethnic minority language” is an ethnic language that differs from Mandarin Chinese and other Han dialects, and is used by ethnic minority groups in China.

K5 Who would you usually talk to when you have worries and troubles? [Show card]

Interviewer’s Note: Choose the main person.

1. Never talk to others
2. Parents
3. Siblings
4. Grandparents
5. Other family members
6. Teachers
7. Classmates
8. Friends since childhood
9. Internet/regular friends
10. Psychological counselor
11. Write a diary
77. Other \_\_\_\_\_ [please specify]

K6 Do your parents know with whom you are when you are not at home?

1. Always know
2. Know for most of the time
3. Know sometimes
4. Know occasionally
5. Never know

K7M Do you have any allowance? (Money given to children as a lunar New Year gift is not counted)

Interviewer’s Note: Money given to children as a lunar New Year gift does not count.

1. Yes (continue to K701M)
5. No (skip to K8)

F1: “Allowance” refers to money provided by parents or guardians and used for daily small consumption, such as snacks, stationery, etc.

[CAPI] If the respondent says, “Don’t know” or “Refuse to answer”, skip to K8.

K701M In the most recent month when you were not on vacation, your average allowance per month was \_\_\_\_\_ yuan (1...50,000)

[CAPI] Soft Check: <=1,000. “Interviewer’s Note: Double check in case the allowance was more than 1,000 yuan.”

K702 What is the major source of your allowance?

1. Parents
3. Grandparents
5. Part-time job
77. Other [please specify] \_\_\_\_\_

K703 What do you think is the appropriate amount of allowance per month for you? \_\_\_\_\_ yuan (0...3,000)

K8 Have you ever participated in any of the following activities? [Select all that apply][Show card]

Interviewer’s Note: Do not read out the options. Just show the card to the respondent and ask him/her to report the numeric code of the option.

1. Sing at a KTV
2. Continue to a disco bar
3. Drink alcohol
4. Smoke cigarettes
5. Play games at an Internet café
6. Dine out with friends of similar age/classmates
78. None of these

F1: (1) KTV is a place for singing karaoke.

(2) Disco bar is a place for disco dancing.

[CAPI]

#1 Ask K801 for each selected activity.

#2 If K8=“78”, skip to K9.

#3 If K8=“78”, the respondent cannot choose options 1-6.

#4 If the respondent says, “Don’t know” or “Refuse to answer”, skip to K9.

K801 How often did you “[CAPI] Load the response in K8” last year?

1. Almost daily
2. Several times a week
3. 2-3 times a month
4. Once a month
5. Several times a year

[CAPI] Load the opposite sex of the respondent's.

K9 Do you have a boyfriend/girlfriend (involved in a romantic relationship)? [Show card]

Interviewer's Note: Do not read out the options, show the card to the respondent and ask him/her to report the numeric code of the option.

1. Currently has one                      3. Had one before, but not now                      5. Never

F1: "In a romantic relationship" refers to a process in which a male and female like each other and consciously develop feelings of love.

KA2 Do you want to get married when you grow up?

1. Yes (continue to KA201)                      5.No (skip to KA202)

KA201 How old would you like to get married under the most ideal condition? \_\_\_\_\_ (10...50)

[CAPI] Soft Check:  $\geq 22$  and  $\leq 36$ . "Interviewer's Note: The ideal age of marriage is under 22."  
"Interviewer's Note: The ideal age of marriage is above 36."

KA202 If the policy allows, how many children would you like to have? \_\_\_\_\_ (0...10)

Interviewer's Note: Record "0" if the respondent does not want to have children.

[CAPI]

#1 Soft Check:  $\leq 4$ . "Interviewer's Note: The respondent wants more than 4 children, right?"

#2 If KA202=0, skip to Z401; otherwise, continue to KA203 and KA204.

KA203 How many boys would you like to have? \_\_\_\_\_ (0...10)

[CAPI] Soft Check:  $\leq 2$ . "Interviewer's Note: The respondent wants more than 2 boys, right?"

KA204 How many girls do you want to have \_\_\_\_\_ (0...10)

[CAPI]

#1 Soft Check:  $\leq 2$ . "Interviewer's Note: The respondent wants more than 2 girls, right?"

#2 Soft Check:  $KA203+KA204=KA202$ . "Interviewer's Note: The total number of boys and girls is not equal to the total number of children."

Interviewer's Note: The following 3 questions are to be answered by the interviewer based on his/her observations.

Z401 Who else was present when the respondent was asked about child's opinions on romantic relationship and fertility: [Select all that apply]

1. Relatives/Friends                      2. Neighbor  
3. Village/Residential community committee cadres                      4. Interview supervisor  
77. Others [please specify] \_\_\_\_\_                      78. No one else

[CAPI] "78. None of the above" and the other options are mutually exclusive.

Z402 The reliability of the respondent's answers about child's opinions on romantic relationship and fertility:

1. Very high                      2. Relatively high                      3. Fair  
4. Relatively low                      5. Very low

Z403 Where was the interview conducted about the child's opinions on romantic relationship and fertility:

1. Respondent's home                      3. An outdoor open place (such as roadside, yard, etc.)  
5. A place of business (Such as tea house, restaurant, etc.)                      77. Other[please specify]

## Part L. Health and Ideology

L1 How would you rate your health status?

Interviewer's Note: Do not explain the concept of health. Record according to the respondent's own opinion.

1. Excellent                      2. Very good                      3. Good

4. Fair [Do not read out]      5. Poor

[Prompt] Interviewer's Note: Read out the following paragraph, "Now I will describe several people who have different health problems. I want to know how you rate their health status just as how you rated your health right now. Please imagine that these people have the same age and background as you."

[CAPI] In each question there will be a male name and a female name. Please choose the name with the same sex of the respondent's and use the wording "he" or "she" in the question accordingly.

L101 Sun Jun/Li Mei has no problem with walking, running, or moving the limbs. He/she jogs 5 km twice a week. He/she does not remember the when was the last time he/she felt ache because he/she has never felt so in the past year. He/she never feels ache after physical labor or exercises. How would you rate his/her health status?

1. Excellent                      2. Very good                      3. Good  
4. Fair [Do not read out]      5. Poor

L102 Zhao Gang/Wang Li has no problem with walking 200 meters. But he/she feels tired after walking 1 km or climbing stairs up to several floors. He/she has no problem with daily activities such as bringing the vegetables bought in a market home. He/she feels headache once every month, but gets better after taking some medicine. When feeling headache, he/she can still do the daily work. How would you rate his/her health status?

1. Excellent                      2. Very good                      3. Good  
4. Fair [Do not read out]      5. Poor

L9 How is your uncorrected visual acuity now? Left eye \_\_\_\_\_ (0.1...2.0) (4.0...5.3), right eye \_\_\_\_\_ (0.1...2.0) (4.0...5.3)

Interviewer's Note: There are two ways to record visual acuity. The range are 0.1-2.0 or 4.0-5.3. Please use the one which is more familiar to the respondent and use the same standard for both eyes.

F1: International standard visual chart is composed of 12 lines of the letter E that differ in size and orientation. The score ranges from 0.1 to 2.0 (or from 4.0 to 5.3). The eye sight of the person taking the test should be lined up with the line of 1.0 on the chart horizontally and stand 5 meters away from the chart.

L2 In the most recent month when you were not on vacation, did you ever miss class/work due to illness/injury?

Interviewer's Note: A request for time off also counts.

1. Yes                      5. No

L3 In the most recent month when you were not on vacation, how often did you participate in physical exercise?

1. Almost daily      2. 2-3 times a week      3. 2-3 times a month  
4. Once a month      5. Never

L4 What is your main staple food?

Interviewer's Note: Select the primary one consumed.

1. Rice                      3. Wheat                      5. Miscellaneous grains other than rice and wheat  
77. Other [please specify] \_\_\_\_\_

L5M Among the following foods, what did you have last week? [Select all that apply] [Show card]

1. Meat                      2. Fish or other aquatic products                      3. Fresh fruits and vegetables  
4. Dairy products      5. Bean products                      6. Eggs  
7. Pickled foods [such as pickled vegetables or soy]  
8. Fried foods [such as potato chips or dough sticks]

[CAPI] If CFPS2012\_age=10, 12, or 14, continue to V1; otherwise, skip to the CAPI before V2.

V1 Let "1" be "Strongly agree" while "4" be "Strongly disagree". Rate the following statement based on your opinions. [Show card]

Interviewer's Note: "Don't know" or "Refuse to answer" is not allowed. If the respondent says, "I do not understand what you are talking about" or "I don't want to answer", record "6. Don't know."

1. Strongly Agree
2. Agree
3. Disagree
4. Strongly disagree
5. Neither Agree nor Disagree [Do not read out]
6. Don't know [Do not read out]

[CAPI]

#1 "Don't know" or "Refuse to answer" is not allowed.

#2 If "6. Don't know" is chosen in V101-V108, skip to V11.

V101 The income gap should be increased in order to achieve an economic boom.

V102 Only when there is a fair competition can we establish a harmonious inter-person relationship.

V103 Wealth reflects personal achievement.

V104 Hard work will be rewarded in today's society.

V105 Intelligence and wisdom will be rewarded in today's society.

V106 Corruption is inevitable in order to attain a significant achievement in today's society.

V107 Social network is more important than personal ability in today's society.

V108 People like me have a big chance to improve our living conditions in today's society.

V11 Why did you answer "Don't know"?

1. Don't want to answer
2. Don't understand the question
5. I really don't know

[CAPI] If *CFPS2012\_age*=11, 13, or 15; continue to V2 and V3; otherwise, skip to Part M.

V2 In general, do you think that most people are trustworthy, or it is better to take greater caution when getting along with other people?

1. Most people are trustworthy
5. The greater caution, the better

V3 Let "0" be very distrustful while "10" be very trustworthy. Please rate your degree of trust in the following groups of people.

Interviewer's Note: If the respondent says he/she has never encountered certain type of people, ask them to answer according to their imagination.

V301 Parents \_\_\_\_\_ (0...10)

V302 Neighbor \_\_\_\_\_ (0...10)

V304 Americans \_\_\_\_\_ (0...10)

V305 Stranger \_\_\_\_\_ (0...10)

V306 Cadre (*ganbu*) \_\_\_\_\_ (0...10)

F1: Cadre (*ganbu*) refers to the local government official.

V307 Doctor \_\_\_\_\_ (0...10)

## Part M. Views on Values and Self-Esteem

[CAPI] If the respondent's age is 10, 12, or 14 according to A1, continue to M1M; otherwise, skip to M2.

M1M Here are some statements about life. Please answer according to your situation and there is no right or wrong response. [Show card]

Interviewer's Note:

(1) If the respondent says, "I don't understand what you are saying" or "Don't want to answer", record "6. Don't know"

(2) Do not read out the responses of "Neither agree nor disagree" or "Don't know". They are not shown on the card either.

(3) "Don't know", "Refuse to answer", or "79. Not applicable" is not allowed here.

1. Totally agree
2. Agree
3. Disagree
4. Totally disagree
5. Neither agree nor disagree [Do not read out]
6. Don't know [Do not read out]

[CAPI]

#1 If the respondent choose "6. Don't know" in M101M-M114M, skip to M1MDK.

#2 "Don't know", "Refuse to answer", or "79. Not applicable" is not allowed in M101M-M114M.

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M101M I feel I'm valuable, at least not worse than others.  
M102M I feel that I have many valuable qualities.  
M103M After all, I consider myself a loser.  
M104M I can do things well like most people.  
M105M I feel I have very few things to be proud of.  
M106M I feel positive about myself.  
M107M Generally speaking, I am satisfied with myself.  
M108M I hope to gain more respect for myself.  
M110M I often think I am good for nothing.  
M111M I don't think I can solve the problems I am now facing by myself.  
M112M Sometimes I think I am forced to do things to make a living.  
M113M I am in control of whatever happens to me.

F1: "Be in control of" refers to that one can control his/her language and behavior consciously so that one can retain a harmony with the environment, such as to avoid feeling angry, depressed, and quarrels with others.

M114M I feel helpless in my daily life.

M1MDK Why did you choose "Don't know"?

1. Don't want to answer
3. Don't understand the question
5. I really don't know

[CAPI] If the respondent's age is 11, 13, or 15 according to A1, continue to M2; otherwise, skip to M7.

M2 Here are some descriptions of how parents/guardians treat their children. According to the actual situation in the past year, please select how your parents/guardians treated you. [Show card]

Interviewer's Note:

- (1) If the child lives together with the parents or one of the parents in addition to the grandparents, then parents/guardians refer to the parent(s). If the child lives with the grandparents or one of the grandparents, then the parents/guardians refer to the grandparent(s).
- (2) If the parents have different attitudes in parenting the child, record the behavior of the one who takes care of the child more in daily life.

1. Never
2. Rarely
3. Sometimes
4. Often
5. Always

M201 When you did something wrong, the parents/guardians would ask about the reasons and talk to you about what you should do.

M202 The parents/guardians encouraged you to do things with great effort.

M203 The parents/guardians were gentle when they talked to you.

M204 The parents/guardians encouraged you to think independently.

M205 The parents/guardians would tell you the reasons when they asked you to do something.

M206 The parents/guardians liked to talk with you.

M207 The parents/guardians asked about what happened to you at school.

M208 The parents/guardians checked your homework.

M209 The parents/guardians helped you with your schoolwork.

M210 The parents/guardians told stories to you.

M211 The parents/guardians played with you, for example, playing chess or playing outside.

M212 The parents/guardians praised you.

M213 The parents/guardians criticized you.

M214 The parents attended parent-teacher meetings at school.

M7 Here are some descriptions of daily behaviors, please choose according to your situation. [Show card]

1. Totally agree
2. Agree
3. Disagree
4. Totally disagree
5. Neither agree nor disagree [Do not read out]
6. Don't know [Do not read out]

M701 I am always prepared for the things that will happen.

M702 I pay close attention to the details.

M703 I like to keep thing in order.

- M704 I will work according to my calendar.  
 M705 I am careful in my study.  
 M706 I always put my belongings at will.  
 M707 I always make things into a mess.  
 M708 I always forget to put things back to their original places.  
 M709 I do things carefully.  
 M710 I will finish the homework first and then play.  
 M711 I always start doing my homework right after it is assigned.  
 M712 I will put thing in order when they are in a mess.

## Part N. Behavior, Mental Health and Cognitive Tests

N2 In the past month, how many times did you quarrel with your parents? \_\_\_time(s). (0...50)

Interviewer's Note:

- (1) One quarrel counts as once. If more than one quarrel per day occurred, record the actual number of quarrels.
- (2) If there was no quarrel, record "0".

F1: A "quarrel" refers to yelling at each other without making any concession due to disagreement.

[CAPI] Soft Check:  $\leq 4$ . "Interviewer's Note: The respondent quarreled with his/her parents more than 4 times in the last month."

N201 In the past month, how many times did you and your parents have heart-to-heart talk? \_\_\_\_\_ (0...50)

Interviewer's Note:

- (1) A talk to either father or mother counts.
- (2) If there was no heart-to-heart talk, record "0".

F1: "Heart-to-heart talk" refers to a conversation that lasts for more than half an hour.

[CAPI] Soft Check:  $\leq 10$ . "Interviewer's Note: The respondent and his/her parents had heart-to-heart talk more than 10 times in the last month."

N3 In the past month, how many times did your parents quarrel with each other? \_\_\_time(s) (0...50)

Interviewer's Note:

- (1) In case of a single-parent family, record "-8" (not applicable).
- (2) One quarrel counts as once. If more than one quarrel per day occurred, record the actual number of quarrels.
- (3) If there was no quarrel between respondent's parents, record "0".

F1: A "quarrel" refers to yelling at each other without making any concession due to disagreement.

[CAPI]

#1 Soft Check:  $\leq 4$ . "Interviewer's Note: The respondent's parents quarreled with each other more than 4 times in the last month."

#2 Skip to the shared module [Cognitive Tests] Part Y1 after answering N3.

M3 Let "0" be the lowest score while "10" be the highest. Please answer the following questions according to your own situation.

M301 Do you think you are popular? (0...10)

Interviewer's Note: Remind the respondent that 0 is the lowest and 10 is the highest.

M302 Are you happy? (0...10)

Interviewer's Note: Remind the respondent that 0 is the lowest and 10 is the highest.

M303 Are you confident about your future? (0...10)

Interviewer's Note: Remind the respondent that 0 is the lowest and 10 is the highest.

---

M304 Is it easy for you to get along well with others? (0...10)

Interviewer's Note: Remind the respondent that 0 is the lowest and 10 is the highest.

M4 Let 0 be the lowest score (least important) while 10 be the highest (most important). How would you rate the importance of the following factors in affecting a child's future success? Please answer according to your own experience and opinion.

M401 How important is the family's social status to a child's future achievement?

M402 How important is the family's economic condition to a child's future achievement?

M403 How important is the level of education to a child's future achievement?

M404 How important is gifted talent to children's future achievement?

M405 How important is effort to children's future achievement?

M406 How important is luck to children's future achievement?

M407 How important is family's social connections to children's future achievement?

N4 Here are some descriptions of people's mental statuses. Please select according to your statuses in the past week.

1. Never (less than one day)

2. Sometimes (1-2 days)

3. Often (3-4 days)

4. Most of the time(5-7 days)

N401 I am worried about some trivial things.

N402 I have a poor appetite and do not want to eat.

N403 I feel depressed despite the help from relatives and friends.

N404 I find myself not worse than others.

N405 I cannot concentrate on things.

N406 I am in a low spirit.

N407 I find it difficult to do anything.

N408 I find the future promising.

N409 I feel that I have been a loser for a long time.

N410 I feel scared.

N411 I cannot sleep well.

N412 I feel happy.

N413 I talk less than usual.

N414 I feel lonely.

N415 I find that people are not friendly to me.

N416 I have a happy life.

N417 I cried or I want to cry

N418 I feel sad.

N419 I find that others do not like me.

N420 I feel that I cannot continue with my life.

[CAPI] Skip to the CAPI before the shared module [Cognitive Tests] Part Y1 List7 after answering N420.

## Part Z. Interviewer Observations

[Prompt] Interviewer's Note: The following questions are to be answered by the interviewer based on his/her observations.

[CAPI]

#1 Press "1" to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer's note.

Z1 Who was the main respondent that completed this questionnaire? \_\_\_\_\_

Interviewer's Note: Record the main respondent.

Z101 Who else participated in answering this questionnaire in your family? \_\_\_\_\_ [Limited to two respondents]

Interviewer's Note: Record another one or two main respondents.

---

[CAPI] Load all the other family members listed in 2012\_T1 except the child respondent.

Z102 Who else besides the family members was present during the interview except? [Select all that apply]

1. Relatives /Friends
2. Interview supervisor
3. Neighbor
4. Cadres of the village/residential community committee
77. Other [Please specify the identity ] \_\_\_\_\_
78. No one else

[CAPI] “78. No one else” and the other options are mutually exclusive.

Z103 What was the main language used during interview? [Single choice]

1. Mandarin (skip to Z201)
5. Dialect (continue to Z104)

Z104 What was the dialect \_\_\_\_\_

Z201 The child’s comprehension of questions:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z202 The child’s health status:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z203 Neatness/cleanliness of the child’s clothing:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z204 The child’s appearance:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z205 The child’s Mandarin fluency:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z206 The child’s cooperation during the interview:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z207 The child’s intelligence:

Very Low --1--2--3--4--5--6--7--> Very High

Z208 The child’s courteousness:

Very Poor --1--2--3--4--5--6--7--> Very Good

Z209 The child’s interest in the interview:

Very Low --1--2--3--4--5--6--7--> Very High

Z210 The child’s concern about the interview:

Very Low --1--2--3--4--5--6--7--> Very High

Z211 Reliability of the child’s response:

Very Low --1--2--3--4--5--6--7--> Very High

Z212 The child’s ability to express him/herself:

Very Weak --1--2--3--4--5--6--7--> Very Strong

Z5 The child’s impatience with the interview:

No--1--2--3--4--5--6--7--> Yes

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# China Family Panel Studies

## 2012 Shared Modules

**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, “[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual.”



Institute of Social Science Survey, Peking University  
April, 2012

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## Part C0. Schooling Confirmation

C01 Are you currently attending school?

Interviewer's Note: If it is during vacations or holidays and respondents will continue to go to school when classes begin, please choose "1.yes".

1.Yes      5.No

F1: "Attending school" refers to a kind of normative learning process, includes the following several stages: primary school, junior high school, senior high school, 3-year college, 4-year college, master, doctor. As long as one is attending school, no matter a full-time attender or part-time/amateur attender, all are viewed as "attending school". But participating in training, home tutoring, extracurricular are not viewed as "attending school".

[CAPI] "Don't know" or "Refuse to answer" is not allowed.

[CAPI]

#1 If *CFPS2011\_latest\_D3*=0, skip to C01CKP3; otherwise, continue to C01CKP1.

#2 If *CFPS2011\_latest\_interv*=0 and C01=1, skip to the [Schooling] module.

#3 If *CFPS2011\_latest\_interv*=0 and C01=5, skip to the [Educational History] module.

[CAPI] If *CFPS2011\_latest\_D3*=1, use the wording "yes" in the questions; if *CFPS2011\_latest\_D3*=5, use the wording "no".

C01CKP1 According to our recode, you "[CAPI] Load *CFPS2011\_latest\_edudate*" "yes/no" were attending school. Is this correct?

1. Correct      5.Wrong

[CAPI]

#1 If C01CKP1ND=1, and C01=1, skip to the [Schooling] module.

#2 If C01CKP1ND=1, and C01=5, skip to the [Educational History] module.

#3 If C01CKP1ND=5, continue to C01CKP2.

C01CKP2 Please confirm again that whether you were attending school when "[CAPI] Load *CFPS2011\_latest\_edudate*".

1.Yes      5.No

[CAPI]

#1 If C01=1, skip to the [Schooling] module.

#2 If C01=5, skip to the [Educational History] module.

C01CKP3 Were you attending school when "[CAPI] Load *CFPS2011\_latest\_time*"?

1.Yes      5.No

[CAPI]

#1 If C01=1, skip to the [Schooling] module.

#2 If C01=5, skip to the [Educational History] module.

## Part R. Schooling Module 1

RA1 Are you currently a full-time or part-time student?

1. Full-time      5. Part-time

[CAPI]

#1 Hard check: RA1=5 and *CFPS2012\_age*≥16. "Interviewer's Note: A part-time student is unlikely to be under 16 years old."

#2 "Don't know" or "Refuse to answer" is not allowed.

RA2 The school you are currently attending is located in:

Interviewer's Note: Distinctions are based the name of the location. For example, record "2" for Wuxi City, while "3" for Miyun County.

1. Provincial capital city (including municipality)

- 
2. Ordinary city (including county-level city and prefecture-level city)
  3. County seat
  4. Village (including *xiang/zhen*)

R1 What type of school are you currently attending?

1. Illiterate/Semi-literate [Masked]
2. Primary school
3. Junior high school
4. Senior high school/secondary school/technical school/vocational senior school
5. 3- year college
6. 4-year college
7. Master's program
8. Doctoral program
9. No need to go to school [Masked]

[CAPI]

#1 "Don't know" or "Refuse to answer" is not allowed.

#2 If *CFPS2011\_latest\_D3*=1 and *CFPS2011\_latest\_R1*=0, skip to R1CKP2.

#3 If *CFPS2011\_latest\_D3*=1 and *CFPS2011\_latest\_R1*≠0 and *CFPS2011\_latest\_R1*≠R1, continue to R1CKP1.

#4 If *CFPS2011\_latest\_D3*=1 and *CFPS2011\_latest\_R1*≠0 and *CFPS2011\_latest\_R1*=R1, skip to R1CKP3.

#5 If *CFPS2011\_latest\_D3*=5, skip to R1CKP3.

#6 If *CFPS2011\_latest\_D3*=0, skip to the CAPI before R2.

#7 If *CFPS2011\_latest\_interv*=0, skip to the CAPI before R2.

R1CKP1 According to our record, you "[CAPI] Load *CFPS2011\_latest\_edu*" were attending "[CAPI] Load *CFPS2011\_latest\_R1*". Is this correct?

1. Correct
5. Wrong

[CAPI]

#1 Hard Check: *R1CKP1*=1 and *R1*≥*CFPS2011\_latest\_R1*. "Interviewer's Note: The respondent's current grade is lower than the previous record. Please double check."

#2 If *R1CKP1*=1 and *R1*≥*CFPS2011\_latest\_R1*, skip to R1CKP3

#3 If *R1CKP1*=5, skip to R1CKP2

R1CKP2 What type of school were you attending when "[CAPI] Load *CFPS2011\_latest\_edu*"?

1. Illiterate/Semi-literate [Masked]
2. Primary school
3. Junior high school
4. Senior high school/secondary school/technical school/vocational senior school
5. 3- year college
6. 4-year college
7. Master's program
8. Doctoral program
9. No need to go to school [Masked]

[CAPI]

#1 Hard Check: *R1CKP2*≠*CFPS2011\_latest\_R1*. "Interviewer's Note: The respondent reported earlier that he/she was not in '[CAPI] Load *R1CKP2*' when '[CAPI] Load *CFPS2011\_latest\_edu*'. Please double check. If it is correct, please change the previous record to '1. Correct'."

#2 Hard Check: *R1*≥*R1CKP2*. "Interviewer's Note: The respondent's current grade is lower than the previous record. Please double check."

#3 If *R1*≥*R1CKP2*, continue to R1CKP3

[CAPI] If *CFPS2011\_latest\_edu*=0, skip to R1CKP4; otherwise continue to R1CKP3

R1CKP3 According to our record, the highest educational level you have achieved (graduated from) by "[CAPI] Load *CFPS2011\_latest\_edu*" is "[CAPI] Load *CFPS2011\_latest\_edu*". Is our record correct?

1. Correct
5. Wrong

[CAPI]

#1 Hard Check: *R1CKP3*=1 and *R1*≥*CFPS2011\_latest\_edu*. "Interviewer's Note: The respondent's current grade is lower than previous interview's record, please confirm it carefully."

#2 If *R1CKP3*=1 and *R1*≥*CFPS2011\_latest\_edu*, skip to the CAPI before R2.

#3 If *R1CKP3*=5, skip to R1CKP4.

R1CKP4 What is the highest educational level you have achieved (graduated from) by "[CAPI] Load *CFPS2011\_latest\_edu*"?

1. Illiterate/Semi-literate [Masked]
2. Primary school
3. Junior high school
4. Senior high school/secondary school/technical school/vocational senior school

5. 3- year college      6. 4-year college      7. Master's program      8. Doctoral program  
9. No need to go to school [Masked]

[CAPI]

#1 Hard Check:  $R1CKP4 \neq CFPS2011\_latest\_edu$ . "Interviewer's Note: The respondent reported earlier that he/she was not in '[CAPI] Load R1CKP2' when '[CAPI] Load CFPS2011\_latest\_edudate'. Please double check. If it is correct, please change the previous record to '1. Correct'."

#2 Hard Check:  $R1 \geq R1CKP4$ . "Interviewer's Note: The respondent's current grade is lower than the previous record. Please double check."

#3 If  $R1 \geq R1CKP4$ , apply the following skip pattern.

[CAPI]

#1 If  $R1=2$ , continue to R2.

#2 If  $R1=3$ , skip to R3.

#3 If  $R1=4$ , skip to R4.

#4 If  $R1=5$ , skip to R5M.

#5 If  $R1=6$ , skip to R6M.

#6 If  $R1=7$ , skip to R701.

#7 If  $R1=8$ , skip to R801M.

R2 What type of primary school are you currently attending?

1. Ordinary primary school      3. Adult primary school      5. Literacy class

F1: "Literacy class" refers to an institute within the rural education system to promote literacy among illiterate/semi-literate peasants. It has no limit on the class size or number of teachers, but must follow the principle that "convenient for peasants to attend, no delay for agricultural production. According to the Department of Education, people who cannot read or can only read 500 words or fewer are considered as illiterate, while those who can read more than 500 words but cannot meet the literacy standard are considered as semi-literate. The mission of a literacy class is to provide literacy education to the young and middle-aged peasants who are illiterate/semi-literate but who are capable to receive education. The goal is to increase the number of words they can read to reach the literacy level as specified by *Working Regulations of Eliminating Illiteracy*, namely, 1500 words; and for them to be able to read popular books and journals, and to write notes and receipts that are commonly used in rural areas. Literacy class is usually organized by the village committee or other related self-organized groups. It must be organized according to appropriate timing, circumstances, and personnel. At the end of a learning period, examinations will be administered by the organizer or the superordinate educational department. Those who pass the exams will be granted certificates of literacy, and will be provided with continuing education opportunities to prevent recurrence of illiteracy.

R201 When did you start to attend the current primary school? \_\_\_\_\_ year (2002...2012)

[CAPI]

#1 Hard Check:  $R201 > CFPS\_BirthY$ . "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: 1)  $2012 - R201 \leq 6$ . "Interviewer's Note: It took the respondent more than 6 years to finish his/her primary school."

2)  $R201 - CFPS\_BirthY \geq 5$ . "Interviewer's Note: The respondent attended the primary school under age 5."

R202 Which primary school are you currently attending? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] Skip to the CAPI before R402MA after answering R202.

R3 What type of junior high school are you currently attending?

1. Ordinary junior high school (skip to R302)  
3. Adult junior high school (skip to R302)  
5. Vocational junior high school (continue to R301)

R301 What is your major in junior high school? \_\_\_\_\_ [Show card]

1. Manufacture      2. Resource development, survey and mapping  
3. Hydraulic engineering      4. Transportation

- |   |                             |
|---|-----------------------------|
| 5. Medical and health                                   | 6. Materials and Energy     |
| 7. Finance and economics                                | 8. Civil engineering        |
| 9. Biochemistry and Medicine                            | 10. Arts, design, and media |
| 11. Culture and education                               | 12. Tourism                 |
| 13. Electronic information                              | 14. Textile and food        |
| 15. Public security                                     | 16. Law                     |
| 17. Agriculture, forestry, animal husbandry and fishery |                             |
| 18. Environment protection, meteorology and safety      |                             |
| 19. Public service                                      | 77. Other [please specify]  |

R302 When did you start to attend the current junior high school? \_\_\_\_\_ year (2006...2012)

[CAPI]

#1 Hard Check: R302> CFPS\_BirthY. "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: 2012-R302<=4. "Interviewer's Note: It took the respondent more than 4 years to finish his/her junior high school."

R303 Which junior high school are you currently attending? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] Skip to the CAPI before R402MA after answering R303.

R4 What type of senior high school are you currently attending? [Show card]

1. Ordinary senior high school (skip to RA402)
2. Adult senior high school (skip to RA402)
3. Ordinary specialized high school (continue to RA401)
4. Specialized adult high school (continue to RA401)
5. Vocational high school (continue to RA401)
6. Technical high school (continue to RA401)

RA401 What is your major in "[CAPI] Load the response in R4"? \_\_\_\_\_ [Show card]

- |   |   |
|---|---|
| 1. Manufacture  | 2. Resource development, survey and mapping |
| 3. Hydraulic engineering                                | 4. Transportation                           |
| 5. Medical and health                                   | 6. Materials and Energy                     |
| 7. Finance and economics                                | 8. Civil engineering                        |
| 9. Biochemistry and Medicine                            | 10. Arts, design, and media                 |
| 11. Culture and education                               | 12. Tourism                                 |
| 13. Electronic information                              | 14. Textile and food                        |
| 15. Public security                                     | 16. Law                                     |
| 17. Agriculture, forestry, animal husbandry and fishery |   |
| 18. Environment protection, meteorology and safety      |   |
| 19. Public service                                      | 77. Other [please specify]                  |

RA402 When did you start to attend the current "[CAPI] Load R4 categories" school? \_\_\_\_\_ year

[CAPI]

#1 Hard Check: RA402>CFPS\_BirthY. "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: R4=1, then 2012-RA402<=3. "Interviewer's Note: It took the respondent more than 3 years to finish his/her high school."

RA303 Which senior high school are you currently attending? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] If CFPS2012\_age>=16, continue to R402MA; otherwise, skip to R420.

R402MA Is the school that you currently attending a model school (including key school)?

1. Yes
5. No

R402M What type of school are you in?

---

Interviewer's Note: Distinctions are based on the origin of students.

1. Ordinary school      3. Migrant school      5. International school

77. Other [please specify] \_\_\_\_\_

F1: (1) "Ordinary school" refers to a regular school, but not in contrast to a key school.

(2) "Migrant school" refers to a school that mainly enrolls migrant workers' children and provides them with the 9-years mandatory education. "Migrant school" can be either public or private.

(3) "International school" refers to a school for the children of foreigners in China.

R402MB How did you enter this school?

1. Based on school district (continue to R402MC)

2. Random assignment by a computer (continue to R402MC)

3. Excellent academic performance or non-academic talent (continue to R402MC)

4. Paid a sponsorship fee (skip to R402MD)

5. Social network/*guanxi* (continue to R402MC)

77. Other [please specify] \_\_\_\_\_ (continue to R402MC)

F1: "Sponsorship fee" refers to the extra cost charged to a student who does not come from the designated school district.

R402MC Have you paid sponsorship fee (or extra-paid fee) for attending this school?

1. Yes    5.No

F1: "Sponsorship fee" refers to the extra cost charged to a student who does not come from the designated school district.

R402MD Have you/your family changed your residence or household registration to be admitted into the school?

1. Only changed the residential address (continue to R402ME)

2. Only changed the household registration address (skip to R403)

3. Changed both (continue to R402ME)

4. Neither (skip to R403)

R402ME How did you/your family change your residence?

1. Bought a house nearby      3. Rented a house nearby

5. Lodging at a friend's or relative's house nearby

77. Other [Specify] \_\_\_\_\_

R403 Are you currently in a key class?

1. Yes      3.No

5. The school attended does not distinguish between ordinary and key classes.

F1: "Key class" refers to that the school organizes the students with higher scores from each class of a grade into one or two classes. They are instructed by experienced teachers. Usually, such a class is called "experimental class", "dragon class", or "tiger class".

R405 Are you attending a boarding school?

Interviewer's Note: If the respondent is currently on vacation or is about to change to a different school, ask about the school the respondent attended last semester.

1. Yes (continue to R405A)      5. No (skip to R406)

F1: "Boarding" refers to living in the school, and the school takes charge of the diet, daily lives, and accommodation of students.

R405A Are you currently boarding in school?

Interviewer's Note: If the respondent is currently on vacation, ask about the situations before the vacation.

1. Yes (continue to R405A)      5. No (skip to R406)

F1: "Boarding" refers to living in the school, and the school takes charge of the diet, daily lives, and accommodation of students.

R405B When you are boarding at school, how many times per month on average do you go home? \_\_\_\_\_

(0...20)

Interviewer's Note:

- (1) If the respondent is currently on vacation, then ask about the situations before the vacation.
- (2) If the respondent never goes home during the period of boarding at school, record "0".
- (3) Record "1" for those who go home less than once on average per month; record "2" for those who go home more than once but less than twice on average per month; and so on.

[CAPI]

#1 Soft Check:  $\leq 4$ . "Interviewer's Note: The respondent goes home more than 4 times a month on average."

#2 Skip to R410 after answering R405B.

R406 How far away is your home from the school you are attending?

Interviewer's Note: If the respondent has more than one home addresses, then ask the distance from the current addresses to the school.

1. Less than 1 km (excluding 1 km)
2. 1-2km (excluding 2 km)
3. 2-5km (excluding 5 km)
4. More than 5km

R406A Which means of transportation do you usually take to get to school from home?

Interviewer's Note: If there are several means of transportation, record the main one.

1. Walk
2. Bicycle/Tricycle
3. Electric bicycle/Electric tricycle/Motorbike
4. Bus/Car
5. Subway
6. Taxi
7. School bus
77. Other [please specify] \_\_\_\_\_

R406B How long does it take you to get to school by taking "[CAPI] Load the response in F307M"? \_\_\_\_\_ min (1...240)

[CAPI] Soft Check:  $\leq 90$ . "Does it take more than one and half hour?"

R410 In the most recent month when you were not on vacation, did you ever participate or are you currently participating in any extracurricular/home tutoring?

1. Yes (Continue to R413)
5. No (skip to R420)

F1: "Tutoring" refers to a training class taught during extracurricular time for students to improve their academic records or develop a specialty. It is different from formal curricular study at school.

R413 Have you ever participated or are you currently participating in any of the following extracurricular/home tutoring? [Select all that apply] [Show card]

1. Tutoring for class at school (such as Chinese, math, English, physics, chemistry, geography and other subjects)
2. Tutoring for academic competition (such as the International Math Olympiad, China Cup competition, and Hope Cup competition)
3. Talent (such as musical instrument, chess, calligraphy, painting, sports, and so on)
4. Intelligence development (such reckoning by the abacus, mental arithmetic, magic cube, right brain development, and so on)
5. Parent-child activity [Masked]
77. Other [please specify] \_\_\_\_\_

[CAPI] Ask R413B for each option chosen in R413.

R413B In the most recent month when you were not on vacation, how many hours per week on average did you spend on extracurricular/home tutoring "[CAPI] Load the response in GR413"? \_\_\_\_\_ hours (1...70)

[CAPI] Soft Check:  $\leq 30$ . "You spend more than 30 hours per week on '[CAPI] Load GR413 options', don't you?"

[Prompt] Interviewer's Note: If the respondent is currently on school vacation, use the wording "last semester" in the following two questions; if not, use the wording "this semester". (Press "1" to continue)

R420 How many students are/were in your class this/last semester? \_\_\_\_\_ students (1...120)

Interviewer's Note: Ask about the last semester if it is currently on school vacation.

[CAPI] Soft Check:  $\leq 100$ . “Interviewer’s Note: There are more than 100 students in the respondent’s class.”

R420A How many students are/were there in your grade this/last semester? \_\_\_\_\_ students (1...5,000)

Interviewer’s Note:

- (1) Ask about the last semester if the respondent is currently on school vacation.
- (2) Suggest respondent to make an estimate using the number of students in his or her class and the number of classes in his or her grade if the respondent does not the exact number of students in his or her grade.

[CAPI] Soft Check:  $\leq 1,000$ . “Interviewer’s Note: There are more than 1,000 students in the respondent’s grade.”

R425 What was your rank in your class in the most recent examination (mid-term or final)?

- |            |               |   |
|------------|---------------|---|
| 1. Top 10% | 2. 11-25%     | 3. 26-50%   |
| 4. 51-75%  | 5. Bottom 24% | 6. School doesn’t release the rank [Don’t read out] |

R426 What was your rank in your grade in the most recent examination (mid-term or final)?

- |            |               |   |
|------------|---------------|---|
| 1. Top 10% | 2. 11-25%     | 3. 26-50%   |
| 4. 51-75%  | 5. Bottom 24% | 6. School doesn’t release the rank [Don’t read out] |

R430 In the most recent month when you were not on vacation, did you take a leave of absence or cut a class?

- |   |                                    |
|---|------------------------------------|
| 1. Took a leave of absence (skip to R431)         | 3. Cut a class (skip to R431)      |
| 5. Asked for leave and cut a class (skip to R431) | 78. None of the above (skip to S1) |

F1: “Cut a class” means being absent from school without permission.

[CAPI] “78. None of the above” and the other options are mutually exclusive.

R431 What was your reason for taking a leave of absence or cutting a class?

Interviewer’s Note: Ask about taking a leave of absence if it happened more frequently; ask about cutting classes if it happened more frequently.

- |  |  |
|--|--|
| 1. Illness                                     | 2. Helping parents with their business |
| 3. Taking care of younger siblings             | 4. Unable to finish homework           |
| 5. No reason, just didn’t want to go to school |  |
| 6. Being playful                               | 77. Other [please specify] _____       |

F1: “Cut a class” means being absent from school without permission.

R432 In the most recent month when you were not on vacation, how many times did you take a leave of absence or cut a class? \_\_\_\_\_ times (1...20)

Interviewer’s Note: Ask about the total number of incidents, including both taking a leave of absence and cutting a class.

F1: “Cut a class” means being absent from school without permission.

[CAPI]

#1 Soft Check:  $\leq 8$ . “Interviewer’s Note: The respondent took a leave of absence or cur a class more than 8 times within a month.”

#2 Skip to S1 after answering R432.

R5M What type of 3-year college are you currently attending?

- |                                   |                                    |
|-----------------------------------|------------------------------------|
| 1. Ordinary public 3-year college | 2. Ordinary private 3-year college |
| 3. 3-year adult college           | 4. Internet college                |
| 77. Other [please specify] _____  |                                    |

F1: (1) “Ordinary 3-year college” is a junior college that complies with China’s educational system, and enrolls students through the national college entrance exam. “Public” means that such a college is run by the government; “private” means that such a college is run by a private entity in contrast to a public one.

(2) “3-year adult college” is a junior college for adults to be educated through, for example, correspondence courses, and part-time and off-the-job training.

(3) “3-year Internet college” is a junior college that provides education and training through Internet.

R501 What is the field of your major in 3-year college? [Show card]

- |                |              |                                  |                |
|----------------|--------------|----------------------------------|----------------|
| 1. Philosophy  | 2. Economics | 3. Law                           | 4. Education   |
| 5. Literature  | 6. History   | 7. Science                       | 8. Engineering |
| 9. Agriculture | 10. Medicine | 11. Military science [Masked]    |                |
| 12. Management |              | 77. Other [please specify] _____ |                |

R502 When did you started to attend your current 3-year college? \_\_\_\_\_ year (2004...2012)

[CAPI]

#1 Hard Check: R502>CFPS\_BirthY. "Interviewer's Note: The starting date of the attendance should be later than the date of birth."

#2 Soft Check: 2012-R502<=4. "Interviewer's Note: Did it take the respondent more than 4 years to finish his/her 3-year college?"

R503 Which 3-year college are you currently attending? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] Skip to RA604 after answering R503.

R6M What type of 4-year college are you currently attending?

- |  |                                    |                        |
|--|------------------------------------|------------------------|
| 1. Ordinary public 4-year college  | 2. Ordinary private 4-year college | 3. Independent college |
| 4. 4-year adult college (including various kinds of adult college, such as correspondence school, part-time school and off-job training) |                                    |                        |
| 5. Internet college  | 77. Other [please specify] _____   |                        |

F1: (1) "Ordinary 4-year college" is a college that complies with the China's educational system, and enrolls students through the national college entrance exam. "Public" means that such a college is run by the government; "private" means that such a college is run by a private entity in contrast to a public one.

(2) "Independent college" is a college run through cooperation between a higher education institution and a social organization or certain individuals. It promotes undergraduate education with non-state financial funds. "Independent college" is an important part of the private higher education and serves a public interest.

(3) "4-year adult college" is a college for adults to be educated through, for example, correspondence courses, and part-time and off-the-job training.

(4) "4-year Internet college" is a college that provides education and training through Internet.

RA601 What is the field of your major in 4-year college? [Show card]

Interviewer's Note: Please choose the field of you major if you work for a double major.

- |                |              |                                  |                |
|----------------|--------------|----------------------------------|----------------|
| 1. Philosophy  | 2. Economics | 3. Law                           | 4. Education   |
| 5. Literature  | 6. History   | 7. Science                       | 8. Engineering |
| 9. Agriculture | 10. Medicine | 11. Military science [Masked]    |                |
| 12. Management |              | 77. Other [please specify] _____ |                |

RA602 When did you started to attend your current 4-year college? \_\_\_\_\_ year (2004...2012)

[CAPI]

#1 Hard Check: R602> CFPS\_BirthY. "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: 2012-RA602<=5. "Interviewer's Note: Did it take the respondent more than 5 years to finish his/her 4-year college?"

RA603 Which 4-year college are you currently attending? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

RA604 How many students are there in your grade in your major? \_\_\_\_\_ students (1...3,000)

Interviewer's Note:

(1) Ask about the last semester if the respondent is currently on school vacation.

(2) Suggest respondent to make an estimate using the number of students in his or her class and the number of classes in his or her grade if the respondent does not the exact number of students in his or her grade.

[CAPI] Soft Check: <=1,000. "Interviewer's Note: There are more than 1,000 students in the respondent's grade and in the same major."

RA605 What was your rank in your major last semester?

1. Top 10%
2. 11-25%
3. 26-50%
4. 51-75%
5. Bottom 24%
6. School doesn't release the rank [Don't read out]
79. Not applicable

Interviewer's Note: Select "79. Not applicable" if the respondent was not in school last semester.

[CAPI] Skip to S1 after answering RA605.

R701 What is the field of your major for master's degree? [Show card]

1. Philosophy
2. Economics
3. Law
4. Education
5. Literature
6. History
7. Science
8. Engineering
9. Agriculture
10. Medicine
11. Military science
12. Management
77. Other [please specify] \_\_\_\_\_

R702 When did you start to pursue your master's degree in the current school? \_\_\_\_\_ year (2004...2012)

[CAPI]

#1 Hard Check: R702 > CFPS\_BirthY. "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: 2012- R702 <= 3. "Interviewer's Note: It took the respondent more than 3 years to finish his/her master's program."

R703 Which school are you currently attending to pursue your master's degree? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] Skip to S1 after answering R703.

R801M What is the field of your major for doctoral degree? [Show card]

1. Philosophy
2. Economics
3. Law
4. Education
5. Literature
6. History
7. Science
8. Engineering
9. Agriculture
10. Medicine
11. Military science
12. Management
77. Other [please specify] \_\_\_\_\_

R802 When did you started to pursue your doctoral degree in the current school? \_\_\_\_\_ year (2004...2012)

[CAPI]

#1 Hard Check: R802 > CFPS\_BirthY. "Interviewer's Note: The starting date of attendance should be later than the date of birth."

#2 Soft Check: 2012- R802 <= 4. "Interviewer's Note: It took the respondent more than 4 years to finish his/her doctoral program."

R803 Which school are you currently attending to pursue your doctoral degree? \_\_\_\_\_

Interviewer's Note: Record the full name of the school.

[CAPI] Skip to S1 after answering R803.

## Part S. Schooling Module 2

[Prompt] Interviewer's Note: If the respondent is currently on school vacation, use the wording "last semester" in the following two questions; if not, use the wording "this semester". (Press "1" to continue.)

S1 In this/last semester, are you currently serving/have you served as a student cadre in your class or school?

Interviewer's Note: Ask about the situations before the vacation if the respondent is currently on vacation.

1. Yes (continue to S102)
5. No (skip to S2)
79. Not applicable (skip to S3M)

F1: "Student cadre" generally includes monitor, vice-monitor, commissioners in charge of organization, study, publicity, labor, subsistence, and sports, and cadre of a sub-branch of Communist Youth League of China.

S102 In this/last semester, what is/was the highest position you are serving/ever served?

Interviewer's Note:

- (1) Record the full name of the position.

(2) Ask about the situations before vacation if the respondent is currently on vacation.

S102B In this/last semester, what is/was the level of the highest position you are serving/ever served?

Interviewer's Note: Ask about the situations before the vacation if the respondent is currently on vacation.

1. Class      2. College/Department/Grade      5. School

S2 In this/last semester, have you ever participated in any student organization?

Interviewer's Note: Ask about the situations before the vacation if the respondent is currently on vacation.

1. Yes (continue to S201)      5. No (skip to S3M)

S201 In this/last semester, how many student organizations are you participating/ever participated?  
\_\_\_\_\_ (1...12)

Interviewer's Note: Ask about the situations before the vacation if the respondent is currently on vacation.

[CAPI] Soft Check: <=5. "Interviewer's Note: The respondent participated more than 5 student organizations."

S202 In this/last semester, are/were you a leader of any of these organizations?

Interviewer's Note: Ask about the situations before the vacation if the respondent is currently on vacation.

1. Yes      5.No

S3M What is the primary language used in your daily communication with your classmates at school?

1. Mandarin Chinese      2. Local dialect      3. English  
4. Other foreign language      5. Non-local dialect      6. Minority ethnic language

F1: (1) "Mandarin Chinese" is the modern standard Chinese language promulgated by the government, for example, the pronunciations and tones used in national radio and television news.

(2) "Local dialect" is a language that prevails in the local village/residential community. It has distinct characteristics compared to Mandarin Chinese in terms of pronunciation, tones and use of words.

(3) "Ethnic minority language" is an ethnic language that differs from Mandarin Chinese and other Han dialects, and is used by ethnic minority groups in China.

S4 Let 0% be the lowest, while 100% be the highest. In your opinion, what percentage does talent accounts for in achieving a good academic record? \_\_\_\_\_ % (1...100)

S5 Please answer the following questions according to your current situation.

S501 Let "1" be "extremely unsatisfied", while "5" be "extremely satisfied". How would you rate your academic performance?

Extremely unsatisfied—1—2—3—4—5—Extremely satisfied

S502 Let "1" be "no pressure", while "5" be "lots of pressure". How much academic pressure do you put on yourself?

No pressure—1—2—3—4—5—Lots of pressure

S503 Let "1" be "very bad", while "5" be "excellent". How good a student do you think you are?

Very bad---1—2—3—4—5—Excellent

S504 Let "1" be "very inappropriate", while "5" be "very appropriate". To what extent do you think you are appropriate as a student cadre?

Very inappropriate ---1—2—3—4—5—Very appropriate

S6 For each of the following statements, please tell me your opinion.

1. Strongly agree      2. Agree      3. Neither agree nor disagree  
4. Disagree      5. Strongly Disagree      79. Not applicable

S601 I study hard.

S602 I concentrate on studying while in class.

S603M I check my homework several times to make sure it is correct before handing it in.

S604 I abide by the school rules and regulations.

S605 I like to put my things in order at school.

S606M I finish my homework before I play.

S7 For each of the following questions, please answer according to your situation. Let "1" be "extremely

unsatisfied”, while “5” be “extremely satisfied”.

Extremely unsatisfied—1—2—3—4—5—>Extremely satisfied 79. Not applicable

S701 Are you satisfied with your school?

S702 Are you satisfied with your class adviser?

Interviewer’s Note: Record “79. Not applicable” if no class adviser.

[CAPI] If R1>=5, skip to S8; otherwise, continue to S703.

S703 Are you satisfied with your Chinese language teacher?

Interviewer’s Note: Record “79. Not applicable” if no Chinese language teacher.

S704 Are you satisfied with your math teacher?

Interviewer’s Note: Record “79. Not applicable” if no math teacher.

S705 Are you satisfied with your foreign language teacher?

Interviewer’s Note: Record “79. Not applicable” if no foreign language teacher.

S8 What type of occupation would you most like to pursue in the future? \_\_\_\_\_ [Show card]

1. Promote social development
2. Help people and serve the society
3. Highly esteemed
4. Respected
5. Make lots of money
6. Ordinary but with a stable income
7. Work on my own otherwise hired by others

S801 What specific occupation would you most like to pursue in the future? \_\_\_\_\_

Interviewer’s Note: Record the response verbatim.

[CAPI] If R1>=5, continue to S802; otherwise, skip to the CAPI before S9.

S802 Are you planning to participate in the national civil service exam in the future? (not including the local civil service exam)?

1. Yes
2. No

[CAPI] If the respondent is 16 years or older, continue to S9; otherwise, please return to the child questionnaire H9.

S9 In the following part we want to learn about various kinds of educational expenditures paid directly by yourself or your family throughout last year:

Interviewer’s Note: Only consider those expenditures paid directly by the family.

S901 The total tuition fees throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: Record “0” if no tuition fee.

F1: Tuition fees include teaching fee, kindergarten fee, education fee, etc.

[CAPI] Soft Check: <=40,000. “Interviewer’s Note: The total amount of tuition fees was more than 40,000 yuan.”

S902A Excluding books from school, the total cost of textbooks, reference books and extracurricular books throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check: <=40,000. “Interviewer’s Note: The total cost of textbooks, reference books and extracurricular books throughout last year was more than 40,000 yuan.”

S906 The cost of educational software throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “Educational software” refers to the software purchased and used for educational purpose.

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: The total cost of educational software throughout last year was more than 10,000 yuan.”

S905M The total transportation cost due to study throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: The total transportation cost was more than 10,000 yuan.”

S907 The cost of choosing school (sponsorship paid to kindergarten/school) throughout last year \_\_\_\_\_yuan (0...500,000)

---

Interviewer's Note:

(1) If none, record "0".

(2) Calculate the average cost fee per year if it was paid in a lump sum.

[CAPI] Soft Check:  $\leq 100,000$ . "Interviewer's Note: The cost of choosing school was more than 100,000 yuan."

S908 The food expense throughout last year \_\_\_\_\_ year (0...50,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "Interviewer's Note: The food expense was more than 10,000 yuan."

S904 The cost of room and board throughout last year \_\_\_\_\_ year (0...50,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 20,000$ . "Interviewer's Note: The cost of room and board was more than 20,000 yuan."

S903 The cost of extracurricular tutoring/home tutoring/parent-child activity (including interest classes offered in kindergartens) \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

F1: "Extracurricular tutoring" refers to the training that a child receives after school in order to improve grades or learn special skills, such as painting, playing piano, etc. The extracurricular tutoring is often provided by some private institutes but not schools.

[CAPI] Soft Check:  $\leq 10,000$ . "Interviewer's Note: The cost of extracurricular tutoring/home tutoring/parent-child activity was more than 10,000 yuan."

S977 The total amount of other expenses throughout last year \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "Interviewer's Note: The total amount of other expenses was more than 10,000 yuan."

S9CKP According to the information you provided above, the total cost of your education paid by yourself/your family throughout last year is "[CAPI] Load sum of the items above" yuan, this number is \_\_

1. Too much (continue to S9TOTAL\_M)      3. Too little (continue to S9TOTAL\_M)  
5. About the same (skip to SA1)

S9TOTAL\_M Throughout last year, what is the total cost of your education paid by you/your family? \_\_\_\_\_ yuan (0...500,000)

[CAPI]

#1 Soft Check:  $\leq 100,000$ . "Interviewer's Note: The total cost of education paid by the family was more than 100,000 yuan."

#2 Hard Check:  $S9CKP=1, S9TOTAL\_M \leq KS9TOTAL$ . "Interviewer's Note: The total cost reported at the second time was less than the sum of all kinds of educational costs."

#3 Hard Check:  $S9CKP=3, S9TOTAL\_M \geq KS9TOTAL$ . "Interviewer's Note: The total cost reported at the second time was more than the sum of all kinds of educational costs."

SA1 Next we want to learn about the educational expense paid by others outside of your family.

SA101 Donations from relatives and friends throughout last year \_\_\_\_\_ yuan (0...500,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "Interviewer's Note: The donation from relatives is more than 10,000 yuan."

SA105 Loan/money borrowed for education throughout last year \_\_\_\_\_ yuan (0...100,000)

Interviewer's Note: If none, record "0".

[CAPI] Soft Check:  $\leq 10,000$ . "Interviewer's Note: The loan/money borrowed for education was more than 10,000 yuan."

SA102 Scholarship won throughout last year \_\_\_\_\_ yuan (0...500,000)

Interviewer's Note: If none, record "0".

F1: "Scholarship" refers to the money awarded to a student for excellent academic performance by certain institute or organization.

[CAPI] Soft Check:  $\leq 20,000$ . “Interviewer’s Note: The scholarship was more than 20,000 yuan.”

SA103 Tuition waiver obtained throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “Tuition waiver” refers to the educational cost waived for certain reasons.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The tuition waiver was more than 10,000 yuan.”

SA104 Student subsidy obtained throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

F1: “Student subsidy” refers to the money awarded to a student who studies hard and whose family is in a poor economic condition. The money is used for various expenses at school and does not need to be repaid.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The subsidy was more than 10,000 yuan.”

SA106 Income from work-study/internship/part-time job throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The income from work-study/internship/part-time job was more than 10,000 yuan.”

SA177 Other financial aid obtained throughout last year \_\_\_\_\_yuan (0...100,000)

Interviewer’s Note: If none, record “0”.

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: Other financial aid was more than 10,000 yuan.”

SA1CKP According to the information you provided above, the total cost of your education paid others outside of your family throughout last year was “[CAPI] Load the sum of all the items from SA101 to SA177”, this number is \_\_\_\_\_

1. Too much (continue to SA1TOTAL\_M)
3. Too little (continue to SA1TOTAL\_M)
5. About the same (skip to the CAPI before Part W)

SA1TOTAL\_M Throughout last year, what was the total cost of your education paid by others outside of your family? \_\_\_\_\_yuan (0...500,000)

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The cost of education paid by others outside of the respondent’s family was more than 10,000 yuan.”

#2 Hard Check:  $SA1CKP=1, SA1TOTAL\_M \leq KSA1TOTAL$ . “Interviewer’s Note: The cost reported at the second time was less than the sum of all kinds of educational costs.”

#3 Hard Check:  $SA1CKP=3, SA1TOTAL\_M \geq KSA1TOTAL$ . “Interviewer’s Note: The cost reported at the second time was more than the sum of all kinds of educational costs.”

[CAPI]

#1 If  $CFPS2011\_latest\_interv=0$  or  $CFPS2012\_age < 18$ , and  $R1 < 3$ , return to the main questionnaire.

#2 If  $CFPS2011\_latest\_interv=0$  or  $CFPS2012\_age < 18$ , and  $R1 \geq 3$ , skip to C7.

#3 If  $CFPS2011\_latest\_interv=1$  and  $CFPS2012\_age \geq 18$ , return to the main questionnaire.

## Part W. Educational History

W1 At which stage did you leave school?

Interviewer’s Note: Consider formal education only.

1. Less than primary school
2. Primary school
3. Junior high school
4. Senior high school/secondary school/technical school/vocational senior school
5. 3-year college
6. 4-year college
7. Master’s program
8. Doctoral program
9. Never go to school

F1: Leaving school includes graduation, complete or incomplete coursework, and dropout.

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If  $W1=1$  or  $9$ , return to the main questionnaire.

#3 If  $CFPS2011\_latest\_D3=0$ , skip to W1CKP2.

- 
- #4 If *CFPS2011\_latest\_D3*≠0 and *CFPS2011\_latest\_edu*=0, skip to W1CKP2.  
#5 If *CFPS2011\_latest\_interv*=0, skip to W2.  
#6 Otherwise, continue to W1CKP1.

W1CKP1 According to our record, the highest educational level you have achieved (graduated from) by “[CAPI] Load *CFPS2011\_latest\_edu*date” is “[CAPI] Load *CFPS2011\_latest\_edu*”. Is this correct?

1. Correct      5. Wrong

[CAPI]

- #1 Hard Check: If  $W1CKP1=1$  and  $W1 \geq CFPS2011\_latest\_edu$ . “Interviewer’s Note: The highest educational level is below the stage when the respondent left school.”  
#2 If  $W1CKP1=1$ , and  $W1 \geq CFPS2011\_latest\_edu$ , skip to W2.  
#3 If  $W1CKP1=5$ , skip to W1CKP2.

[CAPI] If *CFPS2011\_latest\_D3*=0, load “*CFPS2011\_latest\_time*” in the question of W1CKP2; otherwise, load “*CFPS2011\_latest\_edu*date”.

W1CKP2 What is your highest educational level when you were interviewed at “[CAPI] Load *CFPS2011\_latest\_edu*date/*CFPS2011\_latest\_time*”?

1. Illiterate/Semi-literate      2. Primary school      3. Junior high school  
4. Senior high school/secondary school/technical school/vocational senior school  
5. 3- year college      6. 4-year college      7. Master’s program      8. Doctoral program  
9. No need to go to school [Masked]

[CAPI]

- #1 Hard Check: If  $W1CKP2 \neq CFPS2011\_latest\_edu$ . “Interviewer’s Note: The response to this question is reported as “Wrong” in the last question. If the response here is correct, change the response in the last question to be ‘1. Correct’.”  
#2 Hard Check:  $W1 \geq W1CKP2$ . “Interviewer’s Note: The highest educational level is below the stage when the respondent left school.”  
#3 If  $W1 \geq W1CKP2$ , skip to W2.

W2 When did you leave school? \_\_\_\_\_ year (1900...2012) \_\_\_\_\_ month (1...12)

[CAPI]

- #1 Hard Check:  $KW2Y > CFPS\_BirthY$ . “Interviewer’s Note: The date of leaving school is earlier than the date of birth.”

[CAPI]

- #1 If  $W1=2$ , continue to W301.  
#2 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=3$ , skip to W401.  
#3 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=4$ , skip to W501  
#4 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=5$ , skip to W601.  
#5 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=6$ , skip to W701.  
#6 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=7$ , skip to W801.  
#7 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*≥18, and  $W1=8$ , skip to W901.  
#8 If *CFPS2011\_lastest\_interv*=0 and *CFPS2012\_age*<18, and  $W1 \geq 3$ , skip to C7.

C7 Have you ever attended primary school/old-style private school?

1. Yes      5.No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.  
#2 If  $C7=1$ , continue to W301.  
#3 If  $C7=5$  and  $W1=3$ , skip to W401.  
#4 If  $C7=5$  and  $W1 > 3$ , skip to C6.  
#5 If  $C7=5$  and  $R1=3$ , return to the main questionnaire.  
#6 If  $C7=5$  and  $R1 > 3$ , skip to C6.

W301 What type of primary school have you attended?

1. Ordinary primary school      3. Adult primary school      5. Literacy class

---

F1: “Literacy class” refers to an institute within the rural education system to promote literacy among illiterate/semi-literate peasants. It has no limit on the class size or number of teachers, but must follow the principle that “convenient for peasants to attend, no delay for agricultural production. According to the Department of Education, people who cannot read or can only read 500 words or fewer are considered as illiterate, while those who can read more than 500 words but cannot meet the literacy standard are considered as semi-literate. The mission of a literacy class is to provide literacy education to the young and middle-aged peasants who are illiterate/semi-literate but who are capable to receive education. The goal is to increase the number of words they can read to reach the literacy level as specified by *Working Regulations of Eliminating Illiteracy*, namely, 1500 words; and for them to be able to read popular books and journals, and to write notes and receipts that are commonly used in rural areas. Literacy class is usually organized by the village committee or other related self-organized groups. It must be organized according to appropriate timing, circumstances, and personnel. At the end of a learning period, examinations will be administered by the organizer or the superordinate educational department. Those who pass the exams will be granted certificates of literacy, and will be provided with continuing education opportunities to prevent recurrence of illiteracy.

W302 When did you start to attend primary school? \_\_\_\_\_ year (1900...2012)

[CAPI] Hard Check

#1 If C01=5, W302<=W2. “Interviewer’s Note: The starting date of attendance is earlier than the date of leaving school.”

#2 W302>CFPS\_BirthY. “Interviewer’s Note: The starting date of attendance is earlier than the date of birth.”

W303 Have you graduated from primary school?

1. Yes      5. No

F1: Record “5. No” if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If W303=1, apply the following skip pattern:

- 1) CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y>=2010, skip to WA2.
- 2) CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y<2010, return to the main questionnaire.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=2 and KW2Y>=2010, skip to WA2.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=2 and KW2Y<2010, return to the main questionnaire.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=3, continue to W401.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=4, skip to C6.
- 7) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=3, return to the main questionnaire.
- 8) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=4, skip to C6.

#3 If W303=5, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18, skip to WA1.
- 2) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=2, skip to WA1.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=3, skip to W401.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=4, continue to C6.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=3, return to the main questionnaire.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=4, continue to C6.

C6 Have you ever attended junior high school?

1.Yes      5.No

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If C6=1, continue to W401.

#3 If C6=5 and W1=4, skip to W501.

#4 If C6=5 and W1>4, skip to C5.

#5 If C6=5 and R1=4, return to the main questionnaire.

#6 If C6=5 and R1>4, skip to C5.

W401 What type of junior high school have you attended?

1. Ordinary junior high school (skip to W403)
3. Adult junior high school (skip to W403)
5. Vocational junior high school (continue to W402)

W402 What was your major in junior high school? \_\_\_\_\_ [Show card]

1. Manufacture
2. Resource development, survey and mapping
3. Hydraulic engineering
4. Transportation
5. Medical and health
6. Materials and Energy
7. Finance and economics
8. Civil engineering
9. Biochemistry and Medicine
10. Arts, design, and media
11. Culture and education
12. Tourism
13. Electronic information
14. Textile and food
15. Public security
16. Law
17. Agriculture, forestry, animal husbandry and fishery
18. Environment protection, meteorology and safety
19. Public service
77. Other [please specify]

W403 When did you start to attend junior high school? \_\_\_\_\_ year (1900...2012)

[CAPI] Hard Check

#1 If C01=5, W403<=W2. "Interviewer's Note: The starting date of attendance is earlier than the date of leaving school."

#2 W403>CFPS\_BirthY. "Interviewer's Note: The starting date of attendance is earlier than the date of birth."

W404 Have you graduated from junior high school?

1. Yes
5. No

F1: Record "5. No" if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

[CAPI]

#1 "Don't know" or "Refuse to answer" is not allowed.

#2 If W404=1, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y>=2010, skip to WA2.
- 2) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y<2010, return to the main questionnaire.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=3 and KW2Y>=2010, skip to WA2.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=3 and KW2Y<2010, return to the main questionnaire.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=4, skip to W501.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=5, continue to C5.
- 7) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=4, return to the main questionnaire.
- 8) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=5, continue to C5.

#3 If W404=5, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18, skip to WA1.
- 2) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=3, skip to WA1.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=4, skip to W501.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=5, continue to C5.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=4, return to the main questionnaire.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=5, continue to C5.

C5 Have you ever attended senior high school?

1. Yes
5. No

[CAPI]

#1 "Don't know" or "Refuse to answer" is not allowed.

#2 If C5=1, continue W501.

#3 If C5=5 and W1=5, skip to W601.

#4 If C5=5 and W1>5, skip to C4.

#5 If C5=5 and R1=5, return to the main questionnaire.

#6 If C5=5 and R1>5, skip to C4.

W501 What type of senior high school have you attended?

1. Ordinary senior high school (skip to W503)
2. Adult senior high school (skip to W503)
3. Ordinary specialized high school (continue to W502)
4. Specialized adult high school (continue to W502)
5. Vocational high school (continue to W502)
6. Technical high school (continue to W502)

W502 What was your major in senior high school? [Show card]

- |   |   |
|---|---|
| 1. Manufacture  | 2. Resource development, survey and mapping |
| 3. Hydraulic engineering                                | 4. Transportation                           |
| 5. Medical and health                                   | 6. Materials and Energy                     |
| 7. Finance and economics                                | 8. Civil engineering                        |
| 9. Biochemistry and Medicine                            | 10. Arts, design, and media                 |
| 11. Culture and education                               | 12. Tourism                                 |
| 13. Electronic information                              | 14. Textile and food                        |
| 15. Public security                                     | 16. Law                                     |
| 17. Agriculture, forestry, animal husbandry and fishery |   |
| 18. Environment protection, meteorology and safety      |   |
| 19. Public service                                      | 77. Other [please specify]                  |

W503 When did you start to attend senior high school? \_\_\_\_\_ year (1900...2012)

[CAPI] Hard Check

#1 If C01=5, W503<=W2. "Interviewer's Note: The starting date of attendance is earlier than the date of leaving school."

#2 W503>CFPS\_BirthY. "Interviewer's Note: The starting date of attendance is earlier than the date of birth."

W504 Have you graduated from senior high school?

- 1.Yes      5.No

F1: Record "5. No" if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

[CAPI]

#1 "Don't know" or "Refuse to answer" is not allowed.

#2 If W504=1, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y>=2010, skip to WA2.
- 2) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y<2010, return to the main questionnaire.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=4 and KW2Y>=2010, skip to WA2.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=4 and KW2Y<2010, return to the main questionnaire.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=5, skip to W601.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=6, continue to C4.
- 7) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=5, return to the main questionnaire.
- 8) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=6, continue to C4.

#3 If W504=5, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18, skip to WA1.
- 2) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=4, skip to WA1.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=5, skip to W601.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=6, continue to C4.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=5, return to the main questionnaire.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=6, continue to C4.

C4 Have you ever attended 3-year college?

1.Yes 5.No

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If C4=1, continue to W601.

#3 If C4=5 and W1=6, skip to W701.

#4 If C4=5 and W1>6, skip to C3.

#5 If C4=5 and R1=6, return to the main questionnaire.

#6 If C4=5 and R1>6, skip to C3.

W601 What type of 3-year college have you attended?

- |                                   |                                    |
|-----------------------------------|------------------------------------|
| 1. Ordinary public 3-year college | 2. Ordinary private 3-year college |
| 3. 3-year adult college           | 4. Internet college                |
| 77. Other [please specify] _____  |                                    |

F1: (1) “Ordinary 3-year college” is a junior college that complies with China’s educational system, and enrolls students through the national college entrance exam. “Public” means that such a college is run by the government; “private” means that such a college is run by a private entity in contrast to a public one.

(2) “3-year adult college” is a junior college for adults to be educated through, for example, correspondence courses, and part-time and off-the-job training.

(3) “3-year Internet college” is a junior college that provides education and training through Internet.

W602 What is the field of your major in 3-year college? [Show card]

- |                |              |                                  |                |
|----------------|--------------|----------------------------------|----------------|
| 1. Philosophy  | 2. Economics | 3. Law                           | 4. Education   |
| 5. Literature  | 6. History   | 7. Science                       | 8. Engineering |
| 9. Agriculture | 10. Medicine | 11. Military science [Masked]    |                |
| 12. Management |              | 77. Other [please specify] _____ |                |

W603 When did you start to attend 3-year college? \_\_\_\_\_ year (1900...2012)

[CAPI] Hard Check

#1 If C01=5, W603<=W2. “Interviewer’s Note: The starting date of attendance is earlier than the date of leaving school.”

#2 W603>CFPS\_BirthY. “Interviewer’s Note: The starting date of attendance is earlier than the date of birth.”

W604 Have you graduated from 3-year college?

1.Yes 5.No

F1: Record “5. No” if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If W604=1, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y>=2010, skip to WA2.
- 2) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18 and KW2Y<2010, return to the main questionnaire.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=5 and KW2Y>=2010, skip to WA2.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=5 and KW2Y<2010, return to the main questionnaire.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=6, skip to W701.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=7, continue to C3.
- 7) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=6, return to the main questionnaire.
- 8) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=7, continue to C3.

#3 If W604=5, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18, skip to WA1.
- 2) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=5, skip to WA1.
- 3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=6, skip to W701.
- 4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1>=7, continue to C3.
- 5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=6, return to the main questionnaire.
- 6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1>=7, continue to C3.

C3 Have you ever attended a 4-year college?

- 1.Yes 5.No

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If C3=1, continue to W701.

#3 If C3=5 and W1=7, skip to W801.

#4 If C3=5 and W1>7, skip to C2.

#5 If C3=5 and R1=7, return to the main questionnaire.

#6 If C3=5 and R1>7, skip to C2.

W701 What type of 4-year college have you attended?

1. Ordinary public 4-year college 2. Ordinary private 4-year college 3. Independent college  
4. 4-year adult college (including various kinds of adult college, such as correspondence school, part-time school and off-job training)  
5. Internet college 77.Other [please specify] \_\_\_\_\_

F1: (1) “Ordinary 4-year college” is a college that complies with the China’s educational system, and enrolls students through the national college entrance exam. “Public” means that such a college is run by the government; “private” means that such a college is run by a private entity in contrast to a public one.

(2) “Independent college” is a college run through cooperation between a higher education institution and a social organization or certain individuals. It promotes undergraduate education with non-state financial funds. “Independent college” is an important part of the private higher education and serves a public interest.

(3) “4-year adult college” is a college for adults to be educated through, for example, correspondence courses, and part-time and off-the-job training.

(4) “4-year Internet college” is a college that provides education and training through Internet.

W702 What was the field of your major in 4-year college? [Show card]

Interviewer’s Note: Please choose the field of your major if you work for a double degree.

1. Philosophy 2. Economics 3. Law 4. Education  
5. Literature 6. History 7. Science 8. Engineering  
9. Agriculture 10. Medicine 11. Military science [Masked]  
12. Management 77. Other [please specify] \_\_\_\_\_

W703 When did you start to attend 4-year college in the current school? \_\_\_\_\_ year (1900...2012)

[CAPI] Hard Check

#1 If C01=5, W703<W2. “Interviewer’s Note: The starting date of attendance is earlier than the date of leaving school.”

#2 W703>CFPS\_BirthY. “Interviewer’s Note: The starting date of attendance is earlier than the date of birth.”

W704 Have you graduated from 4-year college?

- 1.Yes 5.No

Interviewer’s Note: Select “5.No” if the respondent didn’t finish this educational level and also didn’t obtain diploma because of dropout, quit, completion of courses or school non-completion.

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed.

#2 If W704=1, continue to W705.

#3 If W704=5, apply the following skip pattern:

- 1) If CFPS2011\_lastest\_interv=1 and CFPS2012\_age>=18, skip to WA1.  
2) If CFPS2011\_lastest\_interv=0 and CFPS2012\_age<18 and W1=6, skip to WA1.  
3) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=7, skip to W801.  
4) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and W1=8, skip to C2.  
5) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=7, return to the main questionnaire.  
6) If CFPS2011\_lastest\_interv=0 or CFPS2012\_age<18, and R1=8, skip to C2.

W705 Have you obtained your bachelor’s degree?

- 1.Yes 5.No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*>=18, return to the main questionnaire after answering W705.
- #3 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18 and W1=6, return to the main questionnaire after answering W705.
- #4 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and W1=7, skip to W801.
- #5 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and W1=8, continue to C2.
- #6 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and R1=7, return to the main questionnaire.
- #7 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and R1=8, continue to C2.

C2 Have you pursued a master’s degree?

1.Yes 5.No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 If C2=1, continue to W801.
- #3 If C2=5, apply the following skip pattern:
- 1) *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and W1=8, skip to W901.
  - 2) *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18 and R1=8, return to the main questionnaire after answering W705.

W801 Did you attend a full-time or part-time master’s program?

1. Full-time 5. Part-time

F1: (1) “Full-time” means devoting all the time to the program during the learning period, without holding any formal job position in another institution at the same time.

(2) “Part-time” means devoting part of the time to the program during the learning period, while holding a formal job position in another institution at the same time.

W802 What was the field of your major in master program? [\[Show card\]](#)

- |                |                                  |                     |                          |
|----------------|----------------------------------|---------------------|--------------------------|
| 1. Philosophy  | 2. Economics                     | 3. Law              | 4. Education             |
| 5. Literature  | 6. History                       | 7.Science           | 8.Engineering            |
| 9. Agriculture | 10.Medicine                      | 11.Military science | <a href="#">[Masked]</a> |
| 12. Management | 77. Other [please specify] _____ |                     |                          |

W803 When did you start to pursue a master’s degree? \_\_\_\_\_year (1900...2012)

[CAPI] Hard Check

- #1 If C01=5, W803<W2. “Interviewer’s Note: The starting date of attendance is earlier than the date of leaving school.”
- #2 W803>*CFPS\_BirthY*. “Interviewer’s Note: The starting date of attendance is earlier than the date of birth.”

W804 Have you graduated from your master’s program?

1.Yes 5.No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 If W804=1, continue to W805.
- #3 If W804=5, apply the following skip pattern:
- 1) If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*>=18, skip to WA1.
  - 2) If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18 and W1=7, skip to WA1.
  - 3) If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and W1=8, skip to W901.
  - 4) If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and R1=8, return to the main questionnaire.

F1: Record “5. No” if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

W805 Have you obtained your master’s degree?

1.Yes 5.No

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 If *CFPS2011\_lastest\_interv*=1 and *CFPS2012\_age*>=18, return to the main questionnaire after answering

W805.

#3 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18 and *W1*=7, return to the main questionnaire after answering W805.

#4 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and *W1*=8, continue to W901.

#5 If *CFPS2011\_lastest\_interv*=0 or *CFPS2012\_age*<18, and *R1*=8, skip to C2.

W901 Did you attend a full-time or part-time doctoral program?

1. Full-time                      5. Part-time

F1: (1) "Full-time" means devoting all the time to the program during the learning period, without holding any formal job position in another institution at the same time.

(2) "Part-time" means devoting part of the time to the program during the learning period, while holding a formal job position in another institution at the same time.

W902 What was the field of your major in doctoral program? **Show card**

1. Philosophy            2. Economics            3. Law            4. Education  
5. Literature            6. History            7. Science            8. Engineering  
9. Agriculture            10. Medicine            11. Military science **Masked**  
12. Management            77. Other [please specify] \_\_\_\_\_

W903 When did you start to pursue a doctoral degree? \_\_\_\_\_ year (2006...2012)

[CAPI] Hard Check

#1 If *C01*=5, *W903*<*W2*. "Interviewer's Note: The starting date of attendance is earlier than the date of leaving school."

#2 *W903*>*CFPS\_BirthY*. "Interviewer's Note: The starting date of attendance is earlier than the date of birth."

W904 Have you graduated from your doctoral program?

F1: Record "5. No" if the respondent has not finished this educational level and has not obtained a diploma because of dropout or incomplete coursework.

1. Yes (continue to W905)    5. No (skip to WA1)

W905 Have you obtained your doctoral degree?

1. Yes            5. No

[CAPI] Return to main questionnaire after answering W905.

WA1 What is the reason for your failure of graduation?

1. Financial difficulty            2. Poor academic records            3. Health problem  
4. Don't like school or attending classes            77. Other [please specify] \_\_\_\_\_

[CAPI] Return to main questionnaire after answering WA1.

WA2 What is the reason why you didn't continue to a higher level of study?

1. Financial difficulty            2. Poor academic records            3. Health problem  
4. Don't like school or attending classes            77. Other [please specify] \_\_\_\_\_

[CAPI] Return to main questionnaire after answering WA2.

## Part G. Work and Individual Income

### G1. Unemployment and Job Search Activities

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next we'll ask you some questions about your work. Please note that we consider any of the following activities as work related: agricultural work, paid work, self-employment/private business, and unpaid family business work. However, housework or voluntary work without payment does not count."

Interviewer's Note: Employed and paid by voluntary organization and paid domestic helper should all

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be considered to have work.

G101 Do you currently have a job?

1. Yes            5. No

G102 Have you worked for at least one hour last week?

1. Yes (skip to G201)    5. No (continue to G103)

G103 Do you have a job, but you are currently on temporary vacation, sick leave or other vacation, or on-the-job training?

1. Yes (continue to G104)    5. No (skip to G108)

G104 When did you start the vacation or the training? \_\_\_\_\_Year (1949...2012) \_\_\_\_\_Month (1...12)

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI] #1 Hard Check: QG104Y>CFPS2012\_BirthY. "Interviewer's Note: The starting date of training cannot be earlier than the date of birth."

G105 Will you return to the original job position in a certain period or within six months?

1. Yes (skip to G201)    5. No (continue to G108)

G108 Are you running your own business which is currently in an off-season, but will resume after a while?

1. Yes (skip to G201)    5. No (continue to G109)

G109 Is your agricultural work (including cropping, managing orchard, collecting agricultural and forestry products, fish farming, fishing, raising livestock, selling agricultural products in market, etc.) in an off-season?

1. Yes (skip to G201)    5. No (continue to G106)

G106 Did you look for a job in the past month?

1. Yes            5. No

G107 Can you start working in two weeks if you receive a job offer?

1. Yes            5. No

G110 Why are you currently unemployed?

1. Do not need/want to work    2. Do housework    3. Retired  
4. Too old and physically feeble (mainly refer to elderly farmers)  
5. No working capacity due to disability/illness  
6. Cannot find a suitable job    7. Attending school or training  
77. Other [please specify] \_\_\_\_\_

[CAPI] Soft Check:

#1 If CFPS2012\_age<35, G110≠3. "You are under 35 years old, are you sure you have retired?"

#1 If CFPS2012\_age<35, G110≠4. "You are under 35 years old, do you really feel that you are too old to work?"

G1011 Have you had any job since January 1, 2010?

1. Yes            5. No

[CAPI] If G1011=5, return to the main questionnaire; otherwise, continue to Part G2.

## G2. Family Agricultural Work

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next we will ask you some questions about your family agricultural work. The agricultural work here only includes the family production activities related to cropping, forestry, stock farming, fishing and other sideline productions, or run business

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of agricultural products produced by your family. ”

G201 Did you work for your own family's agricultural production in the past year?

Interviewer's Note: With respect to agricultural business, the products must be produced by the respondent's family only; otherwise it should be considered as an individual/ private business. For example, selling apples grown by the family is considered as agricultural work; wholesaling apples, renting lands and hiring local peasants to develop an orchard and grow apples should be considered as individual/private business.

1. Yes (continue to G202)      5. No (skip to G301)

F1:“Agriculture” refers to the farming industry that uses land resources as production objects, and produces foods through cultivating animals and plants, providing raw materials for industrial productions. It includes cropping, forestry, stock farming, fishing and sideline productions. “Agricultural work” refers to the producing activity related to agriculture and other related activities.

G202 How long did you work for your own family's agricultural production in the past year? \_\_\_\_months. (1...12)

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one month if it was less than a month; record two months if it was more than one month but less than two months.

G203 How many days per month did you work for your own family's agricultural production during the busy farming season in the past year? \_\_\_\_days. (0...31)

[CAPI] If G203=0, skip to G205; otherwise, continue to G204.

G204 How many hours per day did you work for your own family's agricultural production during the busy season in the past year? \_\_\_\_hours. (1...24)

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

G205 How many days per month did you work for your own family's agricultural production during the off-season in the past year? \_\_\_\_days. (0...31)

[CAPI]

#1 Hard Check: If G201=1, G203 and G205 cannot both be “0”. “Last year, did you work for your own family's agricultural production?”

#2 If G205=0, skip to G301; otherwise, continue to G206.

G206 How many hours per day did you work for your own family's agricultural production during the off-season in the past year? \_\_\_\_hours. (1...24)

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

### G3. Agricultural Work for Other Families

[Prompt] Interviewer's Note: Please read out the following paragraph, “Next we will ask some questions about your work for other families' agricultural production for wage. Here we only consider your work as employed by another agricultural family. ”

G301 Did you do agricultural work for other agricultural families for wage for at least ten days in the past year?

1. Yes (continue to G303)

5. No (skip to Part G4 Non-Agricultural Waged Job)

Interviewer's Note:

- (1) The work must be done to earn money. Unpaid help or exchanged labor work does not count.
- (2) The employer must be an agricultural family. For example, if the respondent is employed by other farmers who run an apple orchard to grow apples, the work should be counted; if the respondent is employed by a non-agricultural entrepreneur/organization to grow apples, this should be considered as non-agricultural wage work.

G303 How many days did you work for other agricultural families in the past year? \_\_\_\_\_ days.  
(1...365)

G304 How many hours per day did you work for other agricultural families in the past year?  
\_\_\_\_\_ hours. (1...24)

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

[CAPI] Soft Check:  $\leq 16$ . "Did you work more than 16 hours per day?"

G305 How much did you earn from working for other agricultural families in the past year? \_\_\_\_\_ yuan.  
(10...100,000)

[CAPI] Soft Check:  $G305 \leq 20,000$ . "Interviewer's Note: Did the respondent earn more than 20,000 from working for other agricultural families in the past year?"

G310 Where did you worked for other agricultural families for the longest time?

Interviewer's Note: Press the space key first to retrieve the list of provinces, cities and counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ County.
5. Another province in mainland China (please specify): \_\_\_\_\_ Province/Municipality \_\_\_\_\_ County.
6. Outside of Mainland China (including Hong Kong, Macau, and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

## G4. Non-Agricultural Waged Job

[CAPI]

#1 If  $CFPS2011\_latest\_interv=0$ , use the wording "in the past year" in the following prompt.

#2 If  $CFPS2011\_latest\_jobtype=0$ , use the wording "from '[CAPI] Load  $CFPS2011\_latest\_time$ ' to now".

#3 Otherwise, use the wording "from '[CAPI] Load  $CFPS2011\_latest\_jobdate$ ' to now".

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next we will ask you some questions about the non-agricultural wage work you have done from '[CAPI] Load  $CFPS2011\_latest\_jobdate$ ' till now/from '[CAPI] Load  $CFPS2011\_latest\_time$ ' till now/the past year. The job you have could be either agricultural or non-agricultural in nature, but you must be employed by a non-agricultural individual, organization, enterprise, or work unit. "

[CAPI]

#1 If  $CFPS2011\_latest\_interv=0$  (never been individually interviewed), continue to G401.

#2 If  $CFPS2011\_latest\_jobtype=1$  (self-employment), 5 (agricultural work), 0 (unemployed or no applicable data), continue to G401.

#3 If  $CFPS2011\_latest\_jobtype=3$  (employed) and  $CFPS2011\_latest\_jobname$  is not blank, skip to G404.

#4 If  $CFPS2011\_latest\_jobtype=3$  (employed) and  $CFPS2011\_latest\_jobname$  is blank, skip to G405.

[CAPI]

- #1 If *CFPS2011\_latest\_interv*=0, use the wording “in the past year” in the questions G401 and G402.  
 #2 If *CFPS2011\_latest\_jobtype*=0, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now”.  
 #3 Otherwise, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now”.

G401 From “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ In the past year, have you ever had any non-agricultural waged job (excluding the work for other farmers)?

Interviewer’s Note: The job you have could be either agricultural or non-agricultural in nature, but you must be employed by a non-agricultural individual, organization, enterprise, or work unit.

1. Yes (continue to G402)      5. No (skip to Part G5)

G402 From “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ In the past year, how many non-agricultural waged jobs have you done? \_\_\_\_\_ (1...10)

[CAPI] Soft Check:  $G402 \leq 6$ . “Have you had more than six non-agricultural waged jobs from last survey till now/in the past year?”

G403 The names of the employers for “[CAPI] Load G402” are respectively: \_\_\_\_\_, \_\_\_\_\_...\_\_\_\_\_

[CAPI] Skip to the CAPI before G408 after answering G403.

Interviewer’s Note:

- (1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.
- (2) The names of the employers cannot be all the same. Please record a name that could best represents each job to distinguish different jobs for the same employer. If the respondent has done several jobs in the same work unit, please insert numbers after the name of work units. For example, if the respondent has two jobs in Peking University, please record the first job as Peking University 1, record the second job as Peking University 2.

G404 We recorded that you worked in “[CAPI] Load *CFPS2011\_latest\_jobname*” in “[CAPI] Load *CFPS2011\_latest\_jobdate*”. Is this correct?

1. Yes (skip to G406)      5. No (continue to G405)

G405 The name of your employer in “[CAPI] Load *CFPS2011\_latest\_jobdate*” is \_\_\_\_\_ (IJobBName1).

Interviewer’s Note: If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.

G406 Besides that job, from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now, how many other non-agricultural waged jobs did you have? \_\_\_\_\_ (0...10)

Interviewer’s Note: The job you have could be either agricultural or non-agricultural in nature, but you must be employed by a non-agricultural individual, organization, enterprise, or work unit.

[CAPI]

#1 If  $G406=0$ , skip to the CAPI before G408.

#2 Soft Check:  $G406 \leq 6$ . “Have you had more than six non-agricultural waged jobs besides that one?”

G407 The names of the employers for “[CAPI] Load G406” are respectively: \_\_\_\_\_ (IJobBName2), \_\_\_\_\_ (IJobBName3), ..... \_\_\_\_\_ (IJobBName<sub>n</sub>).

Interviewer’s Note:

- (1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.
- (2) The names of the employers cannot be all the same. Please record a name that could best represents each job to distinguish different jobs for the same employer. If the respondent has done several jobs in the same work unit, please insert numbers after the name of work units. For example, if the respondent has two jobs in Peking University, please record the first job

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as Peking University 1, record the second job as Peking University 2.

[CAPI] Use the following prompt and ask G408-G424 about each job, from JobBName1 till the last one.

[Prompt] Interviewer's Note: Please read out the following paragraph, "Next we will ask some questions about '[CAPI] Load JobBNameN'."

G408 Where is this job located?

Interviewer's Note: Press the space key first to retrieve the list of provinces, cities and counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ County.
5. Another province in mainland China (please specify): \_\_\_\_ Province/Municipality \_\_\_\_ County.
6. Outside of Mainland China (including Hong Kong, Macau, and Taiwan)
7. Online store.

[CAPI]

#1 Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

#2 If G408=7, continue to G409; otherwise, skip to G410.

G409 Do you work at home or at a company/work unit?

1. At home
5. At a company/work unit

G410 What kind of business or industry is your work unit engaged in—that is, what type of products does your work unit make or what type of business is your work unit engaged in? \_\_\_\_\_

Interviewer's Note:

- (1) Format of recording: industry+ products/business.
- (2) For example:
  - a) Consulting, providing legal consulting service to the government.
  - b) Education, public university.
  - c) Manufacture, paper making.
  - d) Postal and telecommunication service, installing land-line phone.

G411 What is your job duty? \_\_\_\_\_

Interviewer's Note:

- (1) Ask about specifics of the job duty.
- (2) Format of recording: specifics of job duty + position/profession (+ other related details).
- (3) For example:
  - a) A logistic worker who purchases food and cook breakfast, lunch and dinner for the employees in the work unit.
  - b) A software engineer who develops on-line game software.
  - c) A customer manager who promotes credit card business.
  - d) A teacher who teaches English at a junior high school level in a training institution.
  - e) A government official who is responsible for the public traffic construction in the entire province.

G4121 When did you start this job? \_\_\_\_\_year (1949...2012) \_\_\_\_\_month (1...12).

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI]

#1 Hard Check: If QG4121Y=2012, QG4121M<= month of the current interview. "Interviewer's Note: The starting date of the job cannot be later than the current date."

#2 Hard Check: QG4121Y>CFPS2012\_BirthY. "Interviewer's Note: The starting date of the job cannot be earlier than the date of birth."

G4122 When did this job end? \_\_\_\_\_year (2010...2012) \_\_\_\_\_month (1...12).

Interviewer's Note:

- (1) Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".
- (2) If the respondent still holds this job, record the date of the interview.

[CAPI]

#1 Hard Check: If  $QG4122Y=2012$ ,  $QG4122M \leq$  month of the current interview. "Interviewer's Note: The ending date of the job cannot be later than current date."

#2 Hard Check: If  $CFPS2011\_latest\_interv=0$ , then  $QG4122Y \geq 2011$ . "Interviewer's Note: We only ask about the job in the past year."

#3 Hard Check: If  $CFPS2011\_latest\_interv=0$ , and  $QG4122Y=2011$ , then  $QG4122M >$  month of current interview. "Interviewer's Note: We only ask about the job in the past year."

#4 Hard Check:  $QG4122Y \geq QG4121Y$ . "The ending date of the job must be later than the starting date."

#5 Hard Check: If  $QG4122Y = QG4121Y$ , then  $QG4122M \geq QG4121M$ . "The ending date of the job must be later than the starting date."

[CAPI] If  $QG4122Y=2012$  or ( $QG4122Y=2011$  and  $QG4122M \geq$  month of interview), continue to G413; otherwise, ask about the next job.

G413 How many days per month on average did you work for this job in the past year? \_\_\_ days (1...31).

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one day if it was less than one day; record two days if it was more than one day but less than two days.

G414 How many hours per day on average did you work for this job in the past year? Working time does not include lunch break, but includes paid or unpaid extra working hours. \_\_\_ hours (1...24).

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

[CAPI] Soft Check:  $G414 \leq 16$ . "Did you work more than 16 hours per day on average?"

G415 Which kind of cash benefit does the job provide? Both cash and direct deposit to your bank account should be counted. [Select all that apply]

1. Transportation subsidy
3. Meal subsidy
5. Housing subsidy
77. Other [please specify] \_\_\_\_\_
78. None of the above

[CAPI]

#1 "78. None of the above" and the other options are mutually exclusive.

#2 If  $G415=78$ , skip to G417.

#3 For each option selected in G415, ask G416.

G416 How much did your work unit provide "[CAPI] Load G415" to you each month on average in the past year? \_\_\_\_\_ (5...10,000)

[CAPI] Soft Check:  $\leq 5,000$ . "Interviewer's Note: The subsidy was more than 5,000 yuan."

G417 How much did you earn from this job (including wage, bonus, cash benefits, etc.) after tax in the past year? Both cash and direct deposit to your bank account should be counted. \_\_\_\_\_ yuan.

[CAPI]

#1 Soft Check:  $\leq 200,000$ . "Interviewer's Note: The income after tax was more than 200,000 yuan."

#2 If  $G417="Don't know"$  or "Refuse to answer", continue to G418; otherwise, skip to G419.

G418 Is your after-tax income from this job (including wage, bonus, cash benefits, etc.) more than (10,000 /25,000 /50,000 /100,000 /250,000) yuan last year?

[CAPI] Ask in an unfolding manner and start from 50,000.

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G419 Which kind of material benefit did this job provide for you? [Select all that apply]

- |                                |                                |                       |
|--------------------------------|--------------------------------|-----------------------|
| 1. Free breakfast/lunch/dinner | 2. Free housing                | 3. Company car/bus    |
| 4. Shopping card/coupon        | 77. Other[please specify]_____ | 78. None of the above |

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If G419=78, skip to G421.

#3 For each option selected in G419, ask G420.

G420 In the past year, how much money was “[CAPI] Load G415” provided by your work unit each month on average worth? \_\_\_\_\_ (10...100,000)

Interviewer’s Note: If the benefit was provided one time at the end of the year, please divide it into 12 months.

[CAPI] Soft Check: <=5,000. “Interviewer’s Note: The subsidy was worth more than 5,000 yuan.”

G421 Which kind of insurance did this job provide for you? [Select all that apply] [Show card]

- |                          |                        |                           |
|--------------------------|------------------------|---------------------------|
| 1. Pension               | 2. Health insurance    | 3. Unemployment insurance |
| 4. Work injury insurance | 5. Maternity insurance | 78. None of the above     |

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If G421=78, skip to G424; otherwise, continue to G422.

G422 How much was your own contribution per month to all the social insurances in total (including pension, health insurance, unemployment insurance, work injury insurance, and maternity insurance)? \_\_\_\_\_yuan/per month (0...100,000).

G423 Is the income “[CAPI] Load the response in G417 or the upper limit in G418” you told me earlier the net amount after paying the above insurances?

- |        |       |
|--------|-------|
| 1. Yes | 5. No |
|--------|-------|

G424 Did your employer provide funding for public housing?

- |        |       |
|--------|-------|
| 1. Yes | 5. No |
|--------|-------|

[CAPI] Ask the next job.

## G5. Non-Agricultural Self-Employment and Private Business

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0, use the wording “in the past year”.

#2 If *CFPS2011\_latest\_jobtype*=0, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now”.

#3 Otherwise, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now”.

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask some questions about your individual and private business from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now/ from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now/in the past year. The individual or private business refers to an economic operation of which you are an investor and will share its revenue according to your amount of investment.”

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0 (never been individually interviewed), skip to G502.

#2 If *CFPS2011\_latest\_jobtype*=3 (employed), 5 (agricultural work), 0 (unemployed or no applicable data), skip to G502.

#3 If *CFPS2011\_latest\_jobtype*=1 (self-employed), continue to G501.

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0, use the wording “in the past year” in the questions G502 and G503.

#2 If *CFPS2011\_latest\_jobtype*=0, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now”.

#3 Otherwise, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now”.

G501 We record that your major work was to run your own business in “[CAPI] Load *CFPS2011\_latest\_jobdate*”. Which type of work did you do?

1. Individual or private business operation (skip to G505)
2. Unpaid work for family business

F1: “Individual business” refers to the business operation in which an individual person owns the means of production, provides the labor force, and enjoy all the revenue. “Private business” refers to the business operation in which a private party owns the means of production, employs labors, and distribute the profit according to the amount of capital invested.

G502 Have you ever participated in individual/private business operation from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ in the past year?

Interviewer’s Note: It should not be considered as participating in an individual/private business if the respondent did not invest and enjoy the resulting profit, but instead only earned wage from his/her labor work. For example, the owner of a repair shop runs an individual/private business, but his/her employees only participate in employed work (non-agricultural waged job).

1. Yes (continue to G503)
5. No (skip to G6)

F1: “Individual business” refers to the business operation in which an individual person owns the means of production, provides the labor force, and enjoy all the revenue. “Private business” refers to the business operation in which a private party owns the means of production, employs labors, and distribute the profit according to the amount of capital invested.

G503 How many individual/private business operations have you ever participated from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ in the past year?  
\_\_\_\_\_ (1...10)

[CAPI] Soft Check:  $G503 \leq 6$ . “Have you ever participated in more than six individual/private business operations?”

G504 The names of the companies/businesses in which you participated are respectively (JobC1Name1)\_\_\_\_\_, (JobC1Name2)\_\_\_\_, .... (JobC1NameN)\_\_\_\_\_

Interviewer’s Note:

- (1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.
- (2) The names of the employers cannot be all the same. Please record a name that could best represents each job to distinguish different jobs for the same employer.

[CAPI] Skip to the CAPI before G508 after answering G504.

G505 The name of the company/business is \_\_\_\_\_ (IJobC1Name1)

Interviewer’s Note: If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.

G506 Besides your work then, how many individual/private business operations have you ever participated in from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now?\_\_\_\_\_ (1...10)

Interviewer’s Note: It should not be considered as participating in an individual/private business if the respondent did not invest and enjoy the resulting profit, but instead only earned wage from his/her labor work. For example, the owner of a repair shop runs an individual/private business, but his/her employees only participate in employed work (non-agricultural waged job).

[CAPI]

#1 If  $G506=0$ , skip to the CAPI before G508.

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#2 Soft Check: <=6. “Besides your work then, have you ever participated in more than six individual/private business operations?”

G507 The names of the companies/businesses of the “[CAPI] Load G506” in which you participated are respectively \_\_\_\_\_(JobC1Name2), \_\_\_\_ (JobC1Name3),..... \_\_\_\_ (JobC1NameN)

Interviewer’s Note:

- (1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.
- (2) The names of the employers cannot be all the same. Please record a name that could best represents each job to distinguish different jobs for the same employer.

[CAPI] Starting from JobC1Name1, use the prompt to ask G508-G516 till the last job.

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask you some questions about “[CAPI] Load JobC1Name””.

G508 Where is your company/business located?

Interviewer’s Note: Press the space key first to retrieve the list of provinces, cities and counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ County.
5. Another province in mainland China (please specify): \_\_\_\_ Province/Municipality \_\_\_\_ County.
6. Outside of Mainland China (including Hong Kong, Macau, and Taiwan)
7. Online store.

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

G509 What kind of business do you run—that is, what type of products does your business make or what type of economic activity is it? \_\_\_\_\_

Interviewer’s Note:

- (1) Format of recording: industry+ products/business.
- (2) For example:
  - a) Manufacture, paper making.
  - b) Food industry, running a fast food restaurant.
  - c) Transportation, loading and unloading cargos.
  - d) Retail, running a supermarket.

G510 What is your job duty? \_\_\_\_\_

Interviewer’s Note:

- (1) Ask about specifics of the job duty.
- (2) Format of recording: specifics of job duty + position/profession (+ other related details).
- (3) For example:
  - a) Fruit shop owner, selling fruits.
  - b) Financial administrator.
  - c) Freight driver.
  - d) Nurse in a private dental clinic.

G5101 When did you start this business? \_\_\_\_\_ year (1949...2012) \_\_\_\_ month (1...12).

[CAPI]

#1 Hard Check: If QG5101Y=2012, QG5101M <= month of the current interview. “Interviewer’s Note: The starting date of the job cannot be later than the current date.”

#2 Hard Check: QG5101Y>CFPS2012\_BirthY. “Interviewer’s Note: The starting date of the job must be later than the date of birth.”

G5102 When did you end this business? \_\_\_\_\_ year (2010...2012) \_\_\_\_ month (1...12).

Interviewer’s Note:

- 
- (1) Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as “1” instead of “01”.
  - (2) If the respondent still holds this job, record the date of the interview.

[CAPI]

- #1 Hard Check: If  $QG5102Y=2012$ ,  $QG5102M \leq$  month of the current interview. “Interviewer’s Note: The ending date of the job cannot be later than the current date.”
- #2 Hard Check: If  $CFPS2011\_latest\_interv=0$ , then  $QG5102Y \geq 2011$ . “Interviewer’s Note: We only ask about the job in the past year.”
- #3 Hard Check: If  $CFPS2011\_latest\_interv=0$ , and  $QG5102Y = 2011$ , then  $QG5102M >$  month of the current interview. “Interviewer’s Note: We only ask about the job in the past year.”
- #4 Hard Check:  $QG5102Y \geq QG5101Y$ . “Interviewer’s Note: The ending date of the job must be later than the starting date.”
- #5 Hard Check: If  $QG5102Y = QG5101Y$ , then  $QG5102M \geq QG5101M$ . “Interviewer’s Note: The ending date of the job must be later than the starting date.”

[CAPI] If  $QG5102Y=2012$  or ( $QG5102Y=2011$  and  $QG5102M \geq$  month of the current interview), continue to G511; otherwise, ask about the next individual/private business operation.

G511 How many months did you run this individual/private business in the past year? \_\_\_\_ months (1...12).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one month if the work was less than one month; record two months if the work was more than one month but less than two months.

G512 How many days per month on average did you work on this individual/private business in the past year? \_\_\_\_ days (1...31).

G513 How many hours per day on average did you work on this individual/private business in the past year? Working time does not include lunch break, but includes paid or unpaid extra working hours. \_\_\_\_ hours (1...20).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one hour if the work was less than one hour; record two hours if the work was more than one hour but less than two hours.

[CAPI] Soft Check:  $\leq 16$ . “Did you work more than 16 hours per day?”

G514 Next we will ask some questions about social insurance. Did you pay the pension, health insurance, unemployment insurance, work injury insurance, or maternity insurance as an individual or private entrepreneur? [Select all that apply]

- |                          |                        |                           |
|--------------------------|------------------------|---------------------------|
| 1. Pension               | 2. Health insurance    | 3. Unemployment insurance |
| 4. Work injury insurance | 5. Maternity insurance | 78. None of the above     |

[CAPI]

- #1 “78. None of the above” and the other options are mutually exclusive.
- #2 If  $G514=78$ , skip to the next individual/private business; otherwise, continue to G515.

G515 How much was your own contribution to all the social insurances in total (including pension, health insurance, unemployment insurance, work injury insurance, and maternity insurance) in the past year? \_\_\_\_ yuan (10...20,000).

[CAPI] Soft Check:  $\leq 10,000$ . “Interviewer’s Note: The respondent’s contribution to social insurance in total was more than 10,000 yuan in the past year.”

G516 On what income base was the contribution to the above social insurances determined (including pension, health insurance, unemployment insurance, work injury insurance, and maternity insurance)? \_\_\_\_\_ yuan/month (1,000...10,000,000).

[CAPI] Soft Check:  $\leq 100,000$ . “Interviewer’s Note: The respondent’s income base for calculating

contributions to social insurances was more than 100,000 yuan.”

[CAPI] Ask the next individual/private business.

## G6. Unpaid Help with Family Business

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0, use the wording “in the past year”.

#2 If *CFPS2011\_latest\_jobtype*=0, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now”.

#3 Otherwise, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now”.

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask some questions about your unpaid help with your family business from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now/ from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now/in the past year. It does not include the business in which the family sells its own agricultural products. Unpaid help here means that you are not an investor, and you are neither paid nor share the profit.

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0 (never been individually interviewed), continue to G601.

#2 If *CFPS2011\_latest\_jobtype*=3 (employed), 5 (agricultural work), 0 (unemployed or not applicable data), continue to G601.

#3 If *CFPS2011\_latest\_jobtype*=1 (self-employed) and *G501*=1 (individual/private business), continue to G601.

#4 If *CFPS2011\_latest\_jobtype*=1 (self-employed) and *G501*=5 (unpaid help with family business), skip to G604.

[CAPI]

#1 If *CFPS2011\_latest\_interv*=0, use the wording “in the past year” in the questions G601 and G602.

#2 If *CFPS2011\_latest\_jobtype*=0, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_time*’ till now”.

#3 Otherwise, use the wording “from ‘[CAPI] Load *CFPS2011\_latest\_jobdate*’ till now”.

G601 Have you ever provided unpaid help with your family business (excluding family agricultural production business) from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ in the past year?

Interviewer’s Note: It must be an individual or private economic activity for the respondent’s family business to make profit, excluding the business in which the family sells its own agricultural products.

1. Yes (skip to G602)    5. No (skip to G7)

F1 “Family business” refers to an individual or private business operated by a family. “Unpaid help” means that the respondent does not share the business profit or gets paid.

G602 How many family businesses have you ever provided unpaid help with from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now/ from “[CAPI] Load *CFPS2011\_latest\_time*” till now/ in the past year?  
\_\_\_\_\_ (1...10)

[CAPI] Soft Check:  $G503 \leq 6$ . “Have you provided unpaid help with more than six family businesses?”

G603 The names of the family businesses of the “[CAPI] Load G602” which you provided unpaid help are respectively \_\_\_\_\_ (JobC2Name1), \_\_\_\_\_ (JobC2Name2), ....., \_\_\_\_\_ (JobC2NameN)

Interviewer’s Note:

(1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.

(2) The names of the businesses cannot be all the same. Please record a name that could best describe each activity/business.

[CAPI] Skip to the CAPI before G607 after answering G603.

---

G604 You just told me that your major job was to provide unpaid help with your family business in “[CAPI] Load *CFPS2011\_latest\_jobdate*”. The name of that business was \_\_\_\_\_ (IJobC2Name1).

Interviewer’s Note: If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.

G605 Besides that job, how many family businesses did you provide unpaid help with from “[CAPI] Load *CFPS2011\_latest\_jobdate*” till now? \_\_\_\_\_ (1...10)

Interviewer’s Note: It must be an individual or private economic activity for the respondent’s family business to make profit, excluding the business in which the family sells its own agricultural products.

[CAPI]

#1 If G605=0, skip to the CAPI before G607.

#2 Soft Check: G503<=6. “Besides that job, have you ever provided unpaid help with more than six family businesses?”

G606 The names of the family businesses of “[CAPI] Load G605” with which you provided unpaid help are respectively: \_\_\_\_\_ (IJobC2Name2), \_\_\_\_\_ (IJobC2Name3), …, \_\_\_\_\_ (IJobC2NameN)

Interviewer’s Note:

- (1) If there is no specific name, please record: specific contents of job+ the detailed form of business. For example: fruit shop, shoe-polishing booth, transportation of lumber by truck, catering for weddings and funerals, etc.
- (2) The names of the businesses cannot be all the same. Please record a name that could best describe each activity/business.

[CAPI] Starting from JobC2Name1, ask G607-G610 till the last job.

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask you some questions about ‘[CAPI] Load JobC2Name’.”

G607 Where is this company/business located?

Interviewer’s Note: Press the space key first to retrieve the list of provinces, cities and counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ County.
5. Another province in mainland China (please specify): \_\_\_\_\_ Province/Municipality \_\_\_\_\_ County.
6. Outside of Mainland China (including Hong Kong, Macau, and Taiwan)
7. Online store.

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

G608 What kind of business does your family run—that is, what type of products does your family business make or what type of economic activity is it? \_\_\_\_\_

Interviewer’s Note:

- (1) Format of recording: industry+ products/business.
- (2) For example:
  - a) Manufacture, paper making.
  - b) Food industry, running a fast food restaurant.
  - c) Transportation, loading and unloading cargos.
  - d) Retail, running a supermarket.

G609 What is your job duty? \_\_\_\_\_

Interviewer’s Note:

- (1) Ask about specifics of the job duty.
- (2) Format of recording: specifics of job duty + position/profession (+ other related details).
- (3) For example:
  - a) Freight driver.
  - b) Cooker who purchases the food.

- c) Household appliance repairman.
- d) Clothing salesman.
- e) Security guard for merchandise.

G6101 When did you start to help with this business? \_\_\_\_\_year (1949...2012)\_\_\_\_\_month (1...12).

Interviewer's Note: Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

[CAPI]

#1 Hard Check: If  $QG6101Y=2012$ ,  $QG6101M \leq$  month of the current interview. "Interviewer's Note: The starting date of the job cannot be later than the current date."

#2 Hard Check:  $QG6101Y > CFPS2012\_BirthY$ . "Interviewer's Note: The starting date of the job must be later than the date of birth."

G6102 What did you end this work? \_\_\_\_\_year (2010...2012)\_\_\_\_\_month (1...12).

Interviewer's Note:

(1) Record the year using four digits, and record the month in its numerical format. For example, January should be recorded as "1" instead of "01".

(2) If the respondent still holds this job, record the date of the interview.

[CAPI]

#1 Hard Check: If  $QG6102Y=2012$ ,  $QG5102M \leq$  month of the current interview. "Interviewer's Note: The ending date of the job cannot be later than the current date."

#2 Hard Check: If  $CFPS2011\_latest\_interv=0$ , then  $QG6102Y \geq 2011$ . "Interviewer's Note: We only ask about the job in the past year."

#3 Hard Check: If  $CFPS2011\_latest\_interv=0$ , and  $QG6102Y = 2011$ , then  $QG6102M >$  month of the current interview. "Interviewer's Note: We only ask about the job in the past year."

#4 Hard Check:  $QG6102Y \geq QG6101Y$ . "Interviewer's Note: The ending date of the job must be later than the starting date."

#5 Hard Check: If  $QG6102Y = QG6101Y$ , then  $QG6102M \geq QG6101M$ . "Interviewer's Note: The ending date of the job must be later than the starting date."

[CAPI] Ask the next job.

## G7. Primary job/occupation

G701 According to your description, we know that your latest work units are \_\_\_\_\_. Which is your primary work unit among them?

[CAPI]

#1 Load the names (JobBnameX, JobC1nameX, or JobC2nameX) of the work units which have the same largest number among JobBlastdate1..N, JobC1lastdate1..N, JobC2lastdate1..N.

#2 "Don't know" or "Refuse to answer" is not allowed.

[CAPI]

#1 If  $JobBCN+JobC1CN+JobC2CN \geq 2$ , continue to G702.

#2 Otherwise, skip to the [Data] after G702, and generate the data according to the following rules.

G702 Among your work units of your current jobs, which is the primary one?

[CAPI]

#1 Load the corresponding names (JobBnameX, JobC1nameX, or JobC2nameX) of the work units when current year\*100+current month=JobBlastdate1..N, JobC1lastdate1..N, JobC2lastdate1..N.

#2 "Don't know" or "Refuse to answer" is not allowed.

[Data] Generate Job2012MN (the name of the primary work unit):

---

#1 If JobBCN+JobC1CN+JobC2CN=0, then Job2012MN=JobMRName.

#2 If JobBCN+JobC1CN+JobC2CN=1, Job2012MN is the one (JobBnameX, JobC1nameX, or JobC2nameX) among JobBlastdate1..N, JobC1lastdate1..N, and JobC2lastdate1..N that equals to the (current year \* 100+current month).

#3 If JobBCN+JobC1CN+JobC2CN>=2, then Job2012MN=G702.

[CAPI] If Job2012MN≠“-8”, continue to G703; otherwise, return to the main questionnaire.

G703 Which category does the company/work unit/business of “[CAPI] Load Job2012MN” belong to?

[Show the card]

1. Government/Party/People’s organization/Military
2. State-owned/Collectively-owned public institution/Research Institute
3. State-owned/State-controlled enterprise
4. Collectively-owned enterprise
5. Joint stock cooperative enterprise
6. Limited liability company/Company limited by shares
7. Private enterprise
8. Enterprise invested by Hong Kong/Macao/Taiwan Capital
9. Company invested by foreign capital
10. Rural family business
11. Individually-owned Business
12. Private non-profit organization
13. Association/Guild/Foundation/Social organization
14. Residential community committee/Village committee/Autonomous organization
77. Other [please specify] \_\_\_\_\_
79. Unable to identify

G704 In the company/work unit/business of “[CAPI] Load Job2012MN”, your primary workplace is:

1. Outdoor
2. In a workshop
3. Indoor
4. In an office
5. At home
6. Inside a transportation vehicle
77. Other [please specify] \_\_\_\_\_

G705 How many employees does the company/work unit/business of “[CAPI] Load Job2012MN” have? \_\_\_\_\_ (0...1,000,000)

[CAPI] Soft Check: <=10,000. “Interviewer’s Note: Are there more than 10,000 employees in the your company/work unit?”

G706 Do you have any direct subordinates in the company/work unit/business of “[CAPI] Load Job2012MN”?

1. Yes (continue to G707)
5. No (skip to the CAPI after G707)

F1: “Direct Subordinate” refers to a person who takes direct orders from you.

G707 How many subordinates do you have in the company/work unit/business of “[CAPI] Load Job2012MN”? \_\_\_\_\_ (0...1,000,000)

[CAPI] Soft Check: <=5,000. “Do you have more than 5,000 subordinates?”

[CAPI] Return to the main questionnaire.

## Part Y. Cognitive and Physical Ability Tests

### Y1. Memory Test

List 1 Now let’s play a game of memory test. I am going to read a list of 10 words and you will try to

memorize as many words as you can. We have deliberately made a long list in order to make it difficult for anyone to memorize all of the words. Most people cannot remember all the words. After I finish reading all the words, please recall as many as you can, not necessarily in their original order. Am I clear? Ready? Let's get started.

[CAPI]

#1 Choose a random number from 1 to 4 for each family. Start with the first family member using the recursive mode of "BCDABC".

#2 Save the random number.

#3 Show one word on each screen and each new screen in every 4 seconds.

Interviewer's Note:

(1) Choose a list of words randomly. Read out the ten words gently and slowly with an interval of about 2 seconds between two consecutive words.

(2) Do not allow the respondent to see the words on the screen while you are reading. That is, let the respondent to memorize through listening.

LIST A	LIST B	LIST C	LIST D
A01. RICE	B01. STOOL	C01. MOUNTAIN	D01. WATER
A02. RIVER	B02. FOOT	C02. STONE	D02. HOSPITAL
A03. DOCTOR	B03. SKY	C03. BLOOD	D03. TREE
A04. CLOTHES	B04. MONEY	C04. MOTHER	D04. FATHER
A05. EGG	B05. PILLOW	C05. SHOES	D05. FIRE
A06. CAT	B06. DOG	C06. EYE	D06. TOOTH
A07. BOWL	B07. HOUSE	C07. GIRL	D07. MOON
A08. CHILD	B08. WOOD	C08. HOUSE	D08. VILLAGE
A09. HAND	B09. PRIMARY SCHOOL	C09. ROAD	D09. BOY
A10. BOOK	B10. TEA	C10. SUN	D10. TABLE

**List2** Please spend 2 minutes recalling these words and tell me what you remember.

[CAPI] Stop for 2 minutes.

**List3** Please tell me as many words as you can.

[CAPI] List all the words for the interviewer to record.

A01. RICE	B01. STOOL	C01. MOUNTAIN	D01. WATER
A02. RIVER	B02. FOOT	C02. STONE	D02. HOSPITAL
A03. DOCTOR	B03. SKY	C03. BLOOD	D03. TREE
A04. CLOTHES	B04. MONEY	C04. MOTHER	D04. FATHER
A05. EGG	B05. PILLOW	C05. SHOES	D05. FIRE
A06. CAT	B06. DOG	C06. EYE	D06. TOOTH
A07. BOWL	B07. HOUSE	C07. GIRL	D07. MOON
A08. CHILD	B08. WOOD	C08. HOUSE	D08. VILLAGE
A09. HAND	B09. PRIMARY SCHOOL	C09. ROAD	D09. BOY
A10. BOOK	B10. TEA	C10. SUN	D10. TABLE
A96. NONE	B96. NONE	C96. NONE	D96. NONE
A97. REFUSE TO RECALL	B97. REFUSE TO RECALL	C97. REFUSE TO RECALL	D97. REFUSE TO RECALL

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 “A96” and the other options are mutually exclusive.
- #3 “A97” and the other options are mutually exclusive.
- #4 If choose “A96”, continue to List4; otherwise, skip to List6.

**List4** Please relax. We have deliberately made a long list in order to make it difficult for anyone to memorize all of the words. Most people cannot remember all the words. I will read the words again. Please spend another 2 minutes recalling them, and tell me what you remember.

[CAPI] Stop for 2 minutes. Then show the list of words selected before.

**List5** Please recall the words as many as possible.

[CAPI] List all the words for the interviewer to record.

A01. RICE	B01. STOOL	C01. MOUNTAIN	D01. WATER
A02. RIVER	B02. FOOT	C02. STONE	D02. HOSPITAL
A03. DOCTOR	B03. SKY	C03. BLOOD	D03. TREE
A04. CLOTHES	B04. MONEY	C04. MOTHER	D04. FATHER
A05. EGG	B05. PILLOW	C05. SHOES	D05. FIRE
A06. CAT	B06. DOG	C06. EYE	D06. TOOTH
A07. BOWL	B07. HOUSE	C07. GIRL	D07. MOON
A08. CHILD	B08. WOOD	C08. HOUSE	D08. VILLAGE
A09. HAND	B09. PRIMARY SCHOOL	C09. ROAD	D09. BOY
A10. BOOK	B10. TEA	C10. SUN	D10. TABLE
A96. NONE	B96. NONE	C96. NONE	D96. NONE
A97. REFUSE TO RECALL	B97. REFUSE TO RECALL	C97. REFUSE TO RECALL	D97. REFUSE TO RECALL

[CAPI]

- #1 “Don’t know” or “Refuse to answer” is not allowed.
- #2 “A96” and the other options are mutually exclusive.
- #3 “A97” and the other options are mutually exclusive.
- #4 If choose “A96”, continue to List4; otherwise, skip to List6.

**List6** Try to remember the words I just read. I’ll ask you to recall them later.

[CAPI]

#1 Press the button to record the current time. If it does not work, please record in the current time manually:

\_\_\_\_ hour \_\_\_\_ minute (24-hour system)

#2 Return to the main questionnaire.

**List7** I read a list of words a moment ago. Please tell me the words you can still remember as many as possible.

[CAPI] List all the words for the interviewer to record.

Interviewer’s Note: Record the words the respondent remembers correctly. If the respondent cannot recall any word, tell him/her that it is OK and he/she should relax.

A01. RICE	B01. STOOL	C01. MOUNTAIN	D01. WATER
A02. RIVER	B02. FOOT	C02. STONE	D02. HOSPITAL
A03. DOCTOR	B03. SKY	C03. BLOOD	D03. TREE
A04. CLOTHES	B04. MONEY	C04. MOTHER	D04. FATHER

A05. EGG	B05. PILLOW	C05. SHOES	D05. FIRE
A06. CAT	B06. DOG	C06. EYE	D06. TOOTH
A07. BOWL	B07. HOUSE	C07. GIRL	D07. MOON
A08. CHILD	B08. WOOD	C08. HOUSE	D08. VILLAGE
A09. HAND	B09. PRIMARY SCHOOL	C09. ROAD	D09. BOY
A10. BOOK	B10. TEA	C10. SUN	D10. TABLE
A96. NONE	B96. NONE	C96. NONE	D96. NONE
A97. REFUSE TO RECALL	B97. REFUSE TO RECALL	C97. REFUSE TO RECALL	D97. REFUSE TO RECALL

[CAPI]

#1 “A96” and the other options are mutually exclusive.

#2 “A97” and the other options are mutually exclusive.

## Y2. Number Series Test

[CAPI] Shut down the functions of “Refuse to answer” and “Don’t know”.

[Prompts] Interviewer’s Note: Ask the respondent to look at the computer screen in the following test. He/She will follow the computer instructions by themselves to take the test. The respondent is allowed to use pencil and paper to assist calculation, but is not allowed to use an electronic calculator or other tools.

D200 Let’s play a number game. I’m going to show you a row of numbers on the computer screen with a blank number. Sometimes the blank number will be at the end of the series, and sometimes it will be in the middle of the series. Please read the numbers from left to right and fill in the blank number.

Now let me explain this game through an example. Which number should be filled in the blank?

Please enter the number directly on the computer.

‘1 . . . 2 . . . BLANK . . . 4’

Interviewer’s Note:

- (1) If necessary, repeat the instruction above to the respondent.
- (2) Allow enough time for the respondent to read and think about the number series.
- (3) The respondent is allowed to use pencil and paper to assist calculation, but is not allowed to use an electronic calculator or other tools.
- (4) If the respondent does not know the answer, record “-1”; if the respondent refuses to answer, record “-2”; if the respondent does not understand the test, record “-3”. Do not record “Don’t know” as “Don’t understand the test”.
- (5) If the respondent cannot enter the number because of some special reasons (such as not familiar with entering numbers on a computer or disability), the interviewer can help with the use of computer.

[CAPI] If D200=3, skip to D240; otherwise, continue to [Intro1].

[Intro1]: The correct answer is “3”, because for any two consecutive numbers in the series, the latter equals to the former + 1. Press “1” to continue.

D240 Here is another example. Please read the series from left to right and then fill in the blank number.

2 . . . 4 . . . 6 . . . BLANK

Interviewer’s Note:

- (1) If necessary, repeat the instruction above to the respondent.
- (2) Allow enough time for the respondent to read and think about the number series.
- (3) The respondent is allowed to use pencil and paper to assist calculation, but is not allowed to use an electronic calculator or other tools.
- (4) If the respondent does not know the answer, record “-1”; if the respondent refuses to answer,

---

record “-2”; if the respondent does not understand the test, record “-3”. Do not record “Don’t know” as “Don’t understand the test”.

- (5) If the respondent cannot enter the number because of some special reasons (such as not familiar with entering numbers on a computer or disability), the interviewer can help with the use of computer.

[CAPI] If D240=3, skip to D241; otherwise, continue to [Intro2].

[Intro2] The correct answer is “8”, because for any two consecutive numbers in the series, the latter equals to the former + 2. Press “1” to continue.

D241 Do you understand this test now?

1. Understand the test and ready to continue (continue to D242)
5. Do not understand the test, or feel confused (skip to the end of the number series test, and skip to the concluding remarks of the number series test)
7. Don’t know (skip to the end of the number series test, and skip to the concluding remarks of the number series test)
9. Refuse to answer (skip to the end of the number series test, and skip to the concluding remarks of the number series test)

[Prompt] Interviewer’s Note: Let the respondent to operate the computer on him/hers own. The respondent is allowed to use pencil and paper to assist calculation, but is not allowed to use an electronic calculator or other tools. If the respondent cannot enter the number because of some special reasons (such as not familiar with entering numbers on a computer or disability), the interviewer can help with the use of computer.

D242 From now on, you will see similar questions as above on the computer screen. Sometimes the blank number will be at the end of the series, and sometimes it will be in the middle. There might be several blanks in a single series. The number series can increase monotonically, such as 1, 2, 3; or decrease monotonically, such as 3, 2, 1. There will be variations in the difficulty of these questions. Some may be easy while others may be difficult. Please do your best to do the test.

It is more important to get correct answers to these questions rather than to give quick answers that may not be correct. Please think carefully before you answer. Given that some questions are really hard, it is OK that you don’t know the correct answers. You can give up any question at any time and then continue to the next question. Press “1” to continue if you are ready.

[CAPI]

- #1 Choose one out of the two sets of tests randomly and save the selected random number.
- #2 Cannot go back once the test set is selected.
- #3 Start with D201 if the first set is selected; Start with D221 if the second set is selected.

■ **First Set**

D201 Please read the number series on the computer screen, and fill in the blank number.

“8...BLANK...12...14”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”; if you cannot answer, record “-4”.

[CAPI]

- #1 If D201=-4, end the number series test. Skip to the concluding remarks of the number series test; otherwise, continue to D202.
- #2 Create the variable Rcount1=0. If D201=10, then Rcount1+1.

D202 Please read the number series on the computer screen, and fill in the blank number.

“23...26...30...35...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D202=41, then Rcount1+1.

---

**D203** Please read the number series on the computer screen, and fill in the blank number.

**“18...17...15...BLANK...8”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D203=12, then Rcount1+1.

[CAPI]

#1 If Rcount1=0 (the respondent failed to answer any question correctly), continue to D204.

#2 If Rcount1=1 (the respondent answered one question above correctly), skip to D207.

#3 If Rcount1=2 (the respondent answered two questions above correctly), skip to D210.

#4 If Rcount1=3 (the respondent answered all three questions above correctly), skip to D213.

**D204** Please read the number series on the computer screen, and fill in the blank number.

**“6...7...BLANK.....9”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount2=0. If D204=8, then Rcount2+1.

**D205** Please read the number series on the computer screen, and fill in the blank number.

**“6...BLANK...4...3”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D205=5, then Rcount2+1.

**D206** Please read the number series on the computer screen, and fill in the blank number.

**“5...8...11...BLANK”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D206=14, then Rcount2+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D207** Please read the number series on the computer screen, and fill in the blank number.

**“BLANK...4...6...8”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount3=0. If D207=2, then Rcount3+1.

**D208** Please read the number series on the computer screen, and fill in the blank number.

**“1...3...3...5...7...7...BLANK”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D208=9, then Rcount3+1.

**D209** Please read the number series on the computer screen, and fill in the blank number.

**“18...10...6...BLANK...3”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D209=4, then Rcount3+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D210** Please read the number series on the computer screen, and fill in the blank number.

**“17...BLANK...12...8”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount4=0. If D210=15, then Rcount4+1.

---

**D211** Please read the number series on the computer screen, and fill in the blank number.

“10...BLANK...3...1”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D211=6, then Rcount4+1.

**D212** Please read the number series on the computer screen, and fill in the blank number.

“17...16...14...10...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D212=2, then Rcount4+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D213** Please read the number series on the computer screen, and fill in the blank number.

“BLANK...20...26...38...62”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable of Rcount5=0. If D213=17, then Rcount5+1.

**D214** Please read the number series on the computer screen, and fill in the blank number.

“5...BLANK...11...19...35”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D214=7, then Rcount5+1.

**D215** Please read the number series on the computer screen, and fill in the blank number.

“54...70...BLANK...BLANK...84”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D215=78, 82, then Rcount5+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

## ■ Second Set

**D221** Please read the number series on the computer screen, and fill in the blank number.

“7...10...13...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”; if you cannot answer, record “-4”.

[CAPI]

#1 If D221=-4, skip to the end of the number series test, and skip to the concluding remarks of the number series test; otherwise, continue to D222..

#2 Create the variable Rcount6=0. If D221=16, then Rcount6+1.

**D222** Please read the number series on the computer screen, and fill in the blank number.

“BLANK...13...15...18...22”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D222=12, then Rcount6+1.

**D223** Please read the number series on the computer screen, and fill in the blank number.

“18...17... BLANK...12...8”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer,

---

record “-2”.

[CAPI] If D223=15, then Rcount6+1.

[CAPI]

#1 If Rcount6=0 (the respondent failed to answer any question correctly), continue to D224;

#2 If Rcount6=1 (the respondent answered one question above correctly), skip to D227;

#3 If Rcount6=2 (the respondent answered two questions above correctly), skip to D230;

#4 If Rcount6=3 (the respondent answered all three questions above correctly), skip to D233.

**D224** Please read the number series on the computer screen, and fill in the blank number.

“4...5...6...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount7=0. If D224=7, then Rcount7+1.

**D225** Please read the number series on the computer screen, and fill in the blank number.

“5...4...3...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D225=2, then Rcount7+1.

**D226** Please read the number series on the computer screen, and fill in the blank number.

“11... BLANK...15...7”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D226=15, then Rcount7+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D227** Please read the number series on the computer screen, and fill in the blank number.

“BLANK...15...13...11”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount=0. If D227=17, then Rcount8+1.

**D228** Please read the number series on the computer screen, and fill in the blank number.

“10...6...3...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D228=1, then Rcount8+1.

**D229** Please read the number series on the computer screen, and fill in the blank number.

“11...9...6...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D229=2, then Rcount8+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D230** Please read the number series on the computer screen, and fill in the blank number.

“1...3...9...BLANK”

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount9=0. If D230=27, then Rcount9+1.

**D231** Please read the number series on the computer screen, and fill in the blank number.

---

**“13...15...19...27...BLANK”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D231=43, then Rcount9+1.

**D232** Please read the number series on the computer screen, and fill in the blank number.

**“3...3...4...6...6...7...BLANK...BLANK”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D232=9, 9, then Rcount9+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

**D233** Please read the number series on the computer screen, and fill in the blank number.

**“6... BLANK...15...27...51”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] Create the variable Rcount10=0. If D233=9, then Rcount10+1.

**D234** Please read the number series on the computer screen, and fill in the blank number.

**“BLANK...18...24...36...60”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D234=15, then Rcount10+1.

**D235** Please read the number series on the computer screen, and fill in the blank number.

**“60...33...24...21...BLANK”**

Interviewer’s Note: If you do not know the answer, please record “-1”; if you do not want to answer, record “-2”.

[CAPI] If D235=20, then Rcount10+1.

[CAPI] End the number series test. Skip to the concluding remarks of the number series test.

[Concluding remarks of the number series test]

Thank you very much for your cooperation. It is the end of our number series test. Please give the computer back to me. Thanks!

Observation\_math Interviewer’s Note: Do not ask, but record according to your observation. Did the respondent use paper, pencil or other tools during the test?

1. Yes                      5. No

[CAPI] Return to the main questionnaire.

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# **China Family Panel Studies**

## **2012 Adult Short Questionnaire**

CONFIDENTIALITY NOTE: In accordance with Article 25 of Chapter III of the Statistics Law of the People's Republic of China, "[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any danwei or individual."



Institute of Social Science Survey, Peking University

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April, 2012



(3) Press the space key first to retrieve the list of ethnicities.

[CAPI] Load the list of ethnicities which can be searched by initial letters. Han ethnicity appears at the top of the list. Add an option “79. Not applicable”.

N401 Are you/“[CAPI] Load the targeted respondent’s name” a member of the Communist Party of China?

1. Yes            5. No

## Part C. Education

C101 Are you/“[CAPI] Load the targeted respondent’s name” currently in school?

Interviewer’s Note: If the respondent is on vacation, please choose “1. Yes”

1. Yes            5. No

F1: “In school” is the formal way of receiving education, which includes several phases: primary school, Junior high school, senior high school, junior college, 4-year college, master, and doctor. “In school” can refer to being either a full-time or a part-time student. However, receiving training, tutoring, and taking extra remedial course do not count as “in school”.

[CAPI]

#1 “Don’t know” or “Refuse to answer” is not allowed in C101.

#2 If C101=1, continue to RA1; otherwise, skip to W1R.

RA1 Are you/“[CAPI] Load the targeted respondent’s name” a full-time or part-time student?

1. Full-time            5. Part-time

R1 What type of school are you/“[CAPI] Load the targeted respondent’s name” currently attending?

1. Illiterate/Semi-literate [Masked]    2. Primary school    3. Junior high school  
4. Senior high school    5. or 3-year college    6. 4-year college  
7. Master’s program    8. Doctoral program  
9. No need to go to school [Masked]

S9TOTAL In the past year, how much in total did you/“[CAPI] Load the targeted respondent’s name” or your/“ [CAPI] Load the targeted respondent’s name” family pay for your/his/her education?  
\_\_\_\_\_yuan (0...500,000)

[CAPI]

#1 Soft Check: <=100,000. “Interviewer’s Note: The total cost of education is more than 100,000 yuan.”

#2 Skip to Part E after answering S9TOTAL.

W1R What is the highest level of education you/“[CAPI] Load the targeted respondent’s name” have obtained so far?

1. Illiterate/Semi-literate            2. Primary school            3. Junior high school  
4. Senior high school            5. 3-year college  
6. 4-year college/Bachelor’s degree

7. Master's degree      8. Doctoral degree      9. No need to go to school

## Part E. Marriage

E104 Your/“[CAPI] Load the targeted respondent's name” marital status is: [Show card]

1. Never married      2. Married (having a spouse)      3. Cohabitation  
4. Divorced      5. Widowed

F1: (1) “Never married” refers to an individual who has never been married and is not currently cohabitating.

(2) “Married” refers to an individual who currently has a spouse, either having a marriage certificates or living together as a married couple without a marriage certificate, known as a factual marriage.

(3) “Cohabitation” refers to living with a partner without a marriage certificate but also in a different way from a factual marriage. Cohabitation includes living together either before the first marriage or after the first marriage is dissolved.

(4) “Divorced” refers to an individual who used to be married but is no longer married and has not remarried yet.

(5) “Widowed” refers to an individual who has remained single since his/her spouse passed away.

[CAPI]

#1 If C101=5, continue to Part G;

#2 If C101=1 and RA=1, skip to Part I;

#3 If C101=1 and RA1=5, continue to Part G.

## Part G. Work and Individual Income

G101 Do you currently have a job?

1. Yes (skip to G2)      5. No

G1011 Have you had any job since January 1, 2010?

1. Yes      5. No

[CAPI] If G101=5 and G1011=5, skip to Part I; otherwise, continue to G201.

### G2. Family Agricultural Work

[Prompt] Interviewer's Note: Please read out the following paragraph, “Next we will ask some questions about your/ “[CAPI] Load the targeted respondent's name” ’s family agricultural work. The agricultural work here only includes the family production activities related to cropping, forestry, stock farming, fishing and other sideline productions, or run business of agricultural

products produced by your/“[CAPI] Load the targeted respondent’s name” ’s household. ”

G201 Did you/“[CAPI] Load the targeted respondent’s name” work for your own family’s agricultural producing in the past year?

Interviewer’s Note: With respect to agricultural business, the products must be produced by the respondent’s family only; otherwise it should be considered as an individual/ private business. For example, selling apples grown by the family is considered as agricultural work; wholesaling apples, renting lands and hiring local peasants to develop an orchard and grow apples should be considered as individual/private business.

1. Yes (continue to G202) 5. No (skip to G301)

F1:“Agriculture” refers to the farming industry that uses land resources as production objects, and produces foods through cultivating animals and plants, providing raw materials for industrial productions. It includes cropping, forestry, stock farming, fishing and sideline productions. “Agricultural work” refers to the producing activity related to agriculture and other related activities.

G202 How long did you/“[CAPI] Load the targeted respondent’s name” work for the family’s agricultural production in the past year? \_\_\_\_months (1...12).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one month if it was less than a month; record two months if it was more than one month but less than two months.

G203 How many days per month on average did you/“[CAPI] Load the targeted respondent’s name” work for the family’s agricultural production during the busy farming season in the past year? \_\_\_\_days (0...31).

[CAPI] If G203=0, skip to G205; otherwise, continue to G204.

G204 How many hours per day on average did you/“[CAPI] Load the targeted respondent’s name” work for the family’s agricultural production during the busy farming season in the past year? \_\_\_\_hours (1...24).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

G205 How many days per month on average did you/“[CAPI] Load the targeted respondent’s name” work for the family’s agricultural production during the slack farming season in the past year? \_\_\_\_days (0...31).

[CAPI]

#1 Hard Check: If G201=1, then G203 and G205 cannot both be “0”. “Last year, did you/ ‘[CAPI] Load the targeted respondent’s name’ work for the family’s agricultural production?”

#2 If G205=0, skip to G301; otherwise, continue to G206.

G206 How many hours per day on average did you/“[CAPI] Load the targeted respondent’s name” work for the family’s agricultural production during the off-season in the past year? \_\_\_ hours (1...24).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

### G3. Agricultural Work for Other Families

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask some questions about your/“[CAPI] Load the targeted respondent’s name”’s work for other agricultural household for wage. Here we only include the work that you/“[CAPI] Load the targeted respondent’s name” are/is employed by another agricultural household. ”

G301 Did you/“[CAPI] Load the targeted respondent’s name” do agricultural work for other agricultural families for wage for at least ten days in the past year?

1. Yes (continue to G303)    5. No (skip to Part G4 Non-Agricultural Waged Job)

Interviewer’s Note:

- (1) The work must be done to earn money. Unpaid help or exchanged labor work does not count.
- (2) The employer must be an agricultural family. For example, if the respondent is employed by other farmers who run an apple orchard to grow apples, the work should be counted; if the respondent is employed by a non-agricultural entrepreneur/organization to grow apples, this should be considered as non-agricultural wage work.

G303 How many days did you/“[CAPI] Load the targeted respondent’s name” work for other agricultural families in the past year? \_\_\_ days (1...365).

G304 How many hours per day on average did you/“[CAPI] Load the targeted respondent’s name” work for other agricultural families in the past year? \_\_\_ hours (1...24).

Interviewer’s Note:

- (1) Round up to an integer.
- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

[CAPI] Soft Check: <=16. “Did you work more than 16 hours per day?”

G305 How much did you/“[CAPI] Load the targeted respondent’s name” earn for working for other agricultural families in the past year? \_\_\_\_\_yuan (10...100,000).

[CAPI] Soft Check: G305<=20,000. “Interviewer’s Note: Did the respondent earn more than 20,000 for working for other agricultural families in the past year?”

G310 Where did you/“[CAPI] Load the targeted respondent’s name” work for other agricultural families for the longest time?

Interviewer’s Note: Press the space key first to retrieve the list of provinces, cities and counties.

1. This village/residential community
2. Another village/residential community in this township
3. Another township in this county/city/district.
4. Another county/city/district in this province (please specify): \_\_\_\_\_ County.
5. Another province in mainland China (please specify): \_\_ Province/Municipality \_\_\_\_\_ County.
6. Outside of Mainland China (including Hong Kong, Macau, and Taiwan)

[CAPI] Load the list of provinces, cities, and districts/counties, which can be searched by initial letters.

#### G4. Non-Agricultural Waged Job

[Prompt] Interviewer’s Note: Please read out the following paragraph, “Next we will ask you/“[CAPI] Load the targeted respondent’s name” some questions about non-agricultural jobs. The job could be either agricultural or non-agricultural in nature, but you must be employed by a non-agricultural individual, organization, enterprise, or work unit. ”

G400 Do you/“[CAPI] Load the targeted respondent’s name” have any non-agricultural job now?

1. Yes (skip to the [Prompt 1] before G4101)
5. No (continue to G401)

G401 Did you/“[CAPI] Load the targeted respondent’s name” have any non-agricultural job in the past year?

1. Yes (skip to the [Prompt 2] before G4101)
5. No (skip to P201)

[Prompt 1] Interviewer’s Note: Please read out the following paragraph, “Please choose the primary job among your/“[CAPI] Load the targeted respondent’s name” ’s current non-agricultural waged jobs to answer the following questions.”

[Prompt 2] Interviewer’s Note: Please read out the following paragraph, “Please choose the latest job among your/“[CAPI] Load the targeted respondent’s name” ’s non-agricultural jobs during the past year to answer the following questions.”

G4101 Which category does your/“[CAPI] Load the targeted respondent’s name” ’s job belong

to?

1. Non-agricultural employed work
2. Individual/private business
3. Unpaid help with family's individual/private business

G410 What kind of business or industry is your/"[CAPI] Load the targeted respondent's name" 's work unit engaged in in—that is, what type of products does your/"[CAPI] Load the targeted respondent's name" 's work unit make or what type of business is it engaged in? \_\_\_\_\_

Interviewer's Note:

- (1) Format of recording: industry+ products/business.
- (2) For example:
  - a) Education, public university.
  - b) Manufacturing, paper making.
  - c) Postal and telecommunication services, installing land-line phones.
  - d) Transportation, loading and unloading cargos
  - e) Retail, running a supermarket

G411 What is your/"[CAPI] Load the targeted respondent's name" 's job duty? \_\_\_\_\_

Interviewer's Note:

- (1) Ask about specifics of the job duty.
- (2) Format of recording: specifics of job duty + position/profession (+ other related details).
- (3) For example:
  - a) A teacher who teaches English at a junior high school level in a training institution.
  - b) A government official who is responsible for the public traffic construction in the entire province.
  - c) Freight driver.
  - d) Fruit shop owner, selling fruits.
  - e) Security guard for merchandise.

G413 How many days per month on average did you/"[CAPI] Load the targeted respondent's name" work for this job in the past year? \_\_\_days (1...31).

Interviewer's Note:

- (1) Round up to an integer.
- (2) Record one day if it was less than one day; record two days if it was more than one day but less than two days.

G414 How many hours per day on average did you/"[CAPI] Load the targeted respondent's name" work for this job in the past year? Working time does not include lunch break, but includes paid or unpaid extra working hours. \_\_\_hours (1...24).

Interviewer's Note:

- (1) Round up to an integer.

- (2) Record one hour if it was less than one hour; record two hours if it was more than one hour but less than two hours.

[CAPI] Soft Check:  $G414 \leq 16$ . "Did you work more than 16 hours per day?"

G417 How much did you/[CAPI] Load the targeted respondent's name" earn from this job (including wage, bonus, cash benefits, etc.) after tax in the past year?. \_\_\_\_\_ yuan. (0...10,000,000).

[CAPI]

#1 Soft Check:  $\leq 200,000$ . "Interviewer's Note: The respondent's after-tax income was more than 200,000."

#2 If  $G417 = \text{"Don't know"}$  or "Refuse to answer", continue to G418; otherwise, skip to Part I.

G418 Is your/[CAPI] Load the targeted respondent's name" 's after-tax income from this job (including wage, bonus, cash benefits, etc.) more than (10,000 /25,000 /50,000 /100,000 /250,000) yuan last year?

[CAPI] Ask in an unfolding manner and start from 50,000.

## Part I Retirement and pension

[CAPI]

#1 If  $CFPS2012\_age < 35$ , skip to Part P.

#3 If  $CFPS2012\_age \geq 35$ , continue to I101.

I101 Have you/[CAPI] Load the targeted respondent's name" officially retired or resigned (including early retirement and internal retirement from the work unit or enterprise)?

1. Resigned      5. Retired      78. None of the above

F1: "Resignation" refers to that a person has lost his/her working ability due to disease or disability, but his/her age, working age, and contributions to the pension plan don't meet the retirement conditions; therefore, he/she can receive certain amount of resignation compensation to cover the living expense, and will formally retire when the required conditions are met.

[CAPI] If  $I101 = 78$  or "Don't know" or "Refuse to answer", and  $CFPS2012\_age < 45$ , skip to Part P; otherwise, continue to I401.

I401 Including all the subsidies, how much can you/[CAPI] Load the targeted respondent's name" get paid per month after tax? Only include the pension (or pension benefits) from the Basic Pension Insurance? \_\_\_\_\_ yuan/month (0...100.000)

[CAPI] If  $I401 = \text{"Refuse to answer"}$  or "Don't know", continue to I402; otherwise, skip to N401.

F1: "Basic Pension Insurance" is a mandatory social security system established and implemented according to the related national laws. In this system, both employers and employees should contribute to pension premiums according to the law. When employees reach the retirement age or withdraw from the labor force for other reasons, social security institutions should pay pensions to the employees to cover their basic living expense. The Basic Pension Insurance, the

Unemployment Insurance, the Basic Medical Insurance, the Work-Related Injury Insurance, and the Maternity Insurance together make up the modern social insurance system, and the Basic Pension Insurance is among the most important ones in this system.

[CAPI]

#1 Soft Check: I401  $\geq 200$  and  $\leq 5,000$ . “Interviewer’s Note: The pension is less than 200 yuan per month.” “Interviewer’s Note: The pension is more than 5,000 yuan per month.”

#2 If I401 = “Don’t know” or “Refuse to answer”, continue to I402; otherwise, skip to N401.

I402 Is the total amount of your/“upload targeted respondent’s name” retirement pension and every other pension insurance per month more than (500 /1,000/2,500/5,000/10,000) yuan?

[CAPI] Ask in an unfolding manner and start from 2,500 yuan.

## Part P. Health Module

P201 How would you rate your/“[CAPI] Load the targeted respondent’s name”’s health status?

1. Excellent      2. Very good      3. Good      4. Fair [Don’t read out]      5. Poor

P509 How much did your/“[CAPI] Load the targeted respondent’s name”’s family pay directly for your/“[CAPI] Load the targeted respondent’s name”’s total medical expense in the past year? \_\_\_\_\_ yuan (0...5,000,000)

Interviewer’s Note:

- (1) If none, record “0”.
- (2) If the money was borrowed from relatives or friends, or your relatives or friends made a direct payment, it should be counted.
- (3) If the cost was reimbursed or will be reimbursed, it should not be counted.

P605 Do you/“[CAPI] Load the targeted respondent’s name” have any of the following medical insurances? [Select all that apply]

1. Public medical insurance
2. Urban Employee Basic Medical Insurance
3. Urban Resident Basic Medical Insurance
4. Supplementary medical insurance
5. New Rural Cooperative Medical Insurance      78. None of the above

[CAPI] “78. None of the above” and the other options are mutually exclusive.

F1: (1) Public medical insurance was established based on the instruction on public medical insurance for government officials issued by the State Council in June 1952. The insurance covers government officials, party members, people’s organizations, and employees from the work units that are related to health, education, academics and athletics. Disabled soldiers and college students are also covered. This insurance is financed by the local and central governments and is administered by the department of health or the ministry of finance at different levels. This insurance covers medical costs incurred in receiving outpatient and inpatient care, but not the costs of food, living and transportation. If one has financial difficulty, he/she should be compensated by his/her work unit through the administrative budget.

(2) Urban Employee Basic Medical Insurance is the material support provided by the state or society to individuals who are injured or sick, i.e. a social security system that provides medical service or economic compensation. According to the decision made by the State Council in December 1998, it is required to establish a new nationwide basic medical insurance system for urban employees. Based on this decision, those who are eligible to be covered should be the employees from the work units that are specified in the basic medical insurance and that pay the insurance premium. The medical insurance plan covers all of the work units in urban areas, including enterprises (state-owned enterprises, collective-owned enterprises, foreign commercial investment companies, private enterprise, etc.), government branches, institutions, social groups, and non-enterprise work units. It is up to the local government to decide whether rural enterprises and their employees should enter the basic medical insurance system. The insurance premium should be contributed by both the employers and employees. The document specifies that the amount contributed by the employer should be around 6% of the total income of the employee, while the amount contributed by the employee should be around 2% of his/her total income. Retired individuals are covered by the medical insurance system but do not need to pay the premium themselves.

(3) Urban Resident Basic Medical Insurance provides institutional support for basic medical care for unemployed urban residents. It covers the urban residents who have local household registration but are not covered by other types of medical insurance (e.g., Urban Employee Basic Medical Insurance, New Rural Cooperative Medical Insurance, etc.).

(4) Supplementary medical insurance is a concept related to the basic medical insurance. As the national basic medical insurance system can only meet the basic medical needs of the participants, extra medical care may be supported by other types of medical insurance such as the supplementary medical insurance. Unlike basic medical insurance, supplementary medical insurance is not required by law and is not mandatory. Instead, it is a voluntary plan for employers and employees. There are two main ways to implement the supplementary medical insurance plan. One is to establish and manage the insurance system according to the insurance principles in an industry. Another is to let a commercial insurance company run the system. The current basic medical insurance for urban employees in China can only meet very basic medical needs with shallow coverage, and does not cover the rural population at all. Thus, the development of supplementary medical insurance will be good for the better implementation of basic medical insurance, improvement in the medical care for urban employees, the meeting of all the citizens' medical needs, and the prosperity of a harmonious society.

(5) Urban basic pension insurance is also known as the national basic pension insurance, which is a mandatory system established by the government to cover the basic living needs of retirees. Before the 1990s, there was only one type of pension insurance for retirees in China. Since 1991, a multi-level pension insurance system has been established by incorporating basic pension insurance, enterprise supplementary pension insurance, and individual savings pension insurance. Combining social pooling and personal savings accounts, the basic pension insurance is a novel mode created by China. This system is financed the same way as the traditional basic pension insurance, that is, the cost is shared by government, work units and individuals. The basic pension insurance emphasizes social support, and is distributed in a structural way. It emphasizes the differences between the stimulus for personal saving and work contribution. Thus, this system bears the features of traditional social insurance, such as social support, risk diversification, and security, and meanwhile underlines the individual's sense of self-protection and the stimulus mechanism.

[CAPI] If Rtype=7 in the family questionnaire, continue to Part Y; otherwise, show the prompt, “Congratulations, the questionnaire has been completed. Press 1 to continue.”

## Part Y. Making Appointments with the Non-Coresident Family Members

### Part Y1. Telephone Confirmation of Non-Coresident Family Members

[CAPI] If E401=“Don’t know”, or “Refuse to answer”, or “-8” in the Family Roster Questionnaire, load the home phone number; otherwise, load “not available.”

Y101 Please confirm that the home phone number of “[CAPI] Load the targeted respondent’s name” is “[CAPI] Load TE401A or TE401B in the Family Roster Questionnaire, or ‘not available’.”

1. Yes [skip to Y103]                      5. No [continue to Y102]

Y102 The home phone number of “[CAPI] Load the targeted respondent’s name” is \_\_\_\_\_ (district number) \_\_\_\_\_

Interviewer’s Note: “Home phone number” refers to the phone number of the house where the respondent lives. If the respondent is a student, record the phone number of the dormitory.

[CAPI] A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

[CAPI] If E402=“Don’t know”, or “Refuse to answer”, or “-8”, load the workplace phone number; otherwise, load “not available.”

Y103 Please confirm that the workplace phone number of “[CAPI] Load the targeted respondent’s name” is “[CAPI] Load TE402A or TE402B in the Family Roster Questionnaire, or ‘not available’.”

1. Yes [skip to Y105]                      5. No [continue to Y104]

Y104 The workplace phone number of “[CAPI] Load the targeted respondent’s name” is \_\_\_\_\_ (district number) \_\_\_\_\_

Interviewer’s Note: If the respondent currently has no job, record “-8. Not applicable”.

[CAPI]

#1 A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

#2 If QY104A=-8, skip to the CAPI before Y105.

[CAPI] If E403="Don't know", or "Refuse to answer", or "-8" in the Family Roster Questionnaire, load the mobile phone number; otherwise, load "not available."

Y105 Please confirm that the mobile phone number of "[CAPI] Load the targeted respondent's name" is "[CAPI] Load TE403 in the Family Roster Questionnaire, or 'not available'."

1. Yes [skip to Part Y2]
5. No [continue to Y106]

Y106 Mobile phone number of "[CAPI] Load the targeted respondent's name" is \_\_\_\_\_

[CAPI]

#1 A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with "123" are invalid.

#2 Skip to Y2 after answering Y106.

Y107 Interviewer confirms whether a valid phone number has been collected.

1. Yes (continue to Part Y2)
2. No (Part Y ends; generate a system code "8008" and enter the review system)

## Part Y2. Information about the Phone Call

[CAPI]

#1 Press "1" to continue as the following prompt pops up on the screen.

#2 The font color of the following questions are the same as that of the interviewer's note.

[Prompt] Interviewer's Note: Answer the following questions based on the interviewer's observations. The phone call should be made in presence of a family member. If the non-coresident family member has any doubt about the survey or the interviewer's identity, let the family member at home talk to him/her.

[CAPI]

#1 If E403≠ "Don't know", "Refuse to answer", or "-8" in the Family Roster Questionnaire:

- 1) If Y105=1, continue to Y201, and load the mobile phone number from the Family Roster Questionnaire in the following questions.
- 2) If Y105=5, continue to Y201, and load Y106 in the following questions.

#2 If E403= "Don't know", "Refuse to answer", "-8", or missing:

- 1) If Y105=5, continue to Y201, and load Y106 in the following questions.
- 2) If Y105=1, skip to the CAPI before Y204 in the following questions.

Y201 The result of calling "[CAPI] Load the mobile phone number from the Family Roster Questionnaire/Y106" was:

1. Could not get through (continue to Y202)
3. Got through (skip to Y301)
5. Got through but failed to communicate (skip to Y203)

Y202 The reason for not getting through “Load the mobile phone number from the Family Roster Questionnaire/Y106” was:

1. No one answered the phone
2. The line was busy
3. Hung up
4. Out of service area
5. Powered off
6. Service suspended
7. Other (please specify)\_\_\_\_\_

F1: “Service suspended” also includes expired number, service temporarily suspended, invalid number, and being unable to receive a call.

[CAPI] Skip to the CAPI before Y204 after answering Y202.

Y203 The reason for failing to communicate was:

1. Too much noise to hear clearly
3. Problem with understanding the dialect
5. Other (please specify)\_\_\_\_\_

[CAPI]

#1 E401≠ “Don’t know”, “Refuse to answer”, or “-8” in the Family Roster Questionnaire:

- 1) If Y101=1, continue to Y204, and load the home phone number from the Family Roster Questionnaire in the following questions.
- 2) If Y101=5, continue to Y204; load Y102 in the following questions.

#2 If E401= “Don’t know”, “Refuse to answer”, “-8”, or missing:

- 1) If Y101=5, continue to Y204, and load Y102 in the following questions.
- 2) If Y101=1, skip to the CAPI before Y207 in the following questions.

Y204 The result of calling “[CAPI] Load the home phone number from the Family Roster Questionnaire /Y102” was:

1. Could not get through (continue to Y205)
3. Got through (skip to Y301)
5. Got through but failed to communicate (skip to Y206)

Y205 The reason for not getting through “[CAPI] Load the home phone number from the Family Roster Questionnaire /Y102” was:

1. No one answered the phone
2. The line was busy
3. Hung up
4. Out of service area
5. Powered off
6. Service suspended
7. Other (please specify)\_\_\_\_\_

F1: “Service suspended” also includes expired number, service temporarily suspended, invalid number, and being unable to receive a call.

[CAPI] Skip to the CAPI before Y207 after answering Y205.

Y206 The reason for failing to communicate was:

1. Too much noise to hear clearly
3. Problem with understanding the dialect
5. Other (please specify)\_\_\_\_\_

[CAPI]

#1 E402≠ “Don’t know”, “Refuse to answer”, or “-8” in the Family Roster Questionnaire:

- 1) If Y103=1, continue to Y207, and load the workplace phone number from the Family Roster Questionnaire in the following questions.
- 2) If Y103=5, continue to Y207, and load Y104 in the following questions.

#2 If E402= “Don’t know”, “Refuse to answer”, “-8”, or missing:

- 1) If Y103=5, continue to Y207, and load Y104 in the following questions.
- 2) If Y103=1, skip to Y210.

Y207 The result of calling “[CAPI] Load the workplace phone number from the Family Roster Questionnaire/Y104” was:

1. Could not get through (continue to Y208)
3. Got through (skip to Y301)
5. Got through but failed to communicate (skip to Y209)

Y208 The reason for not getting through “[CAPI] Load the workplace phone number from the Family Roster Questionnaire/Y104” was:

1. No one answered the phone
2. The line was busy
3. Hung up
4. Out of service area
5. Powered off
6. Service suspended
7. Other (please specify)\_\_\_\_\_

F1: “Service suspended” also includes expired number, service temporarily suspended, invalid number, and being unable to receive a call.

[CAPI] Skip to Y210 after answering Y208.

Y209 The reason for failing to communicate was:

1. Too much noise to hear clearly
3. Problem with understanding the dialect
5. Other (please specify)\_\_\_\_\_

[CAPI] Continue to Y210 after answering Y210.

Y210 Is it necessary to continue to contact the non-coresident family member?

1. Yes (skip to the prompt “The main part of the questionnaire has been completed. Please try to contact the non-coresident member in 48 hours.” Ask Y201-Y209 repeatedly for at most twice.)
5. No (skip to the end of the questionnaire “Congratulations, the questionnaire has been



for you to do a telephone interview?

1. Yes (skip to Y401)                      5. No (continue to Y307)

Y307 If it is not convenient for you to participate in our telephone interview, then is it convenient for you to do an online interview?

1. Yes (skip to 401)  
 3. I would consider other forms of interview [please specify] \_\_\_\_\_ (skip to 401)  
 5. I do not want to participate in any kind of interview (skip to [Concluding Remark 4])

Y308 Could you please give me the contact information of “[CAPI] Load the respondent’s name”? The contact information of his/her relatives or friends will also be fine as long as we can reach him/her. [Select all that apply]

1. Mobile phone number            3. Land-line number            5. Email address  
 78. None of the above

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If Y308= “78. None of the above”, skip to [Concluding Remark 4].

#3 If “1” is selected in Y308, continue to Y309; otherwise, skip to the CAPI before Y310.

Y309 The mobile phone of “[CAPI] Load the respondent’s name” is \_\_\_\_\_

Interviewer’s Note: The mobile phone number recorded in the Family Roster Questionnaire is “[CAPI] Load the respondent’s mobile phone number recorded in the Family Roster Questionnaire”. [CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with “123” are invalid.

[CAPI] If “3” is selected in Y308, continue to Y310; otherwise, skip to the CAPI before Y311.

Y310 The land-line phone number of “[CAPI] Load the respondent’s name” is \_\_\_\_\_ (district number) \_\_\_\_\_

Interviewer’s Note: The land-line phone number recorded in the Family Roster Questionnaire is “[CAPI] Load the respondent’s land-line phone number recorded in the Family Roster Questionnaire”.

[CAPI] A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

[CAPI] If “5” is selected in Y308, continue to Y311; otherwise, skip to [Concluding Remark 4].

Y311 The email address of “[CAPI] Load the respondent’s name” is \_\_\_\_\_

[CAPI]

#1 E-mail address should contain @.

#2 Skip to [Concluding Remark 4] after answering Y311.

## Part Y4. Information Confirmation

**[Prompt] Now we would like to confirm your phone numbers and address.**

Y401 Besides this phone number, could you provide another mobile or land-line phone number with which we can reach you? It does not matter if the number belongs to you or not. [Select all that apply]

1. Mobile phone  
78. None of the above  
5. Land-line phone

[CAPI]

#1 “78. None of the above” and the other options are mutually exclusive.

#2 If “78” is selected in Y401, skip to Y411.

#3 If “1” is selected in Y401, continue to Y402; otherwise, skip to the CAPI before Y404.

Y402 Another mobile phone number is \_\_\_\_\_

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with “123” are invalid.

Y403 The owner of this mobile phone number is \_\_\_\_\_

**Interviewer’s Note:** Record the detailed relationship between the respondent and the owner of this number. Record the family name of the owner. For example: the respondent’s wife, Ms. Wang.

1. Respondent himself/herself  
5. Other [please specify] \_\_\_\_\_

[CAPI] If “5” is selected in Y401, continue to Y404; otherwise, skip to Y411.

Y404 \_\_\_\_\_ (district number) \_\_\_\_\_

[CAPI] A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

Y405 The owner of this land-line phone number is \_\_\_\_\_

**Interviewer’s Note:** Record whether it is a home phone number or an office phone number. If it is a phone number at the workplace, record the detailed name of the workplace.

1. Respondent himself/herself  
5. Other [please specify] \_\_\_\_\_

Y406 Your email address is \_\_\_\_\_

**Interviewer’s Note:** If the respondent does not have an email, record “-8. Not applicable”.

[CAPI]

#1 E-mail address should contain @.

#2 If Y307=1, “Don’t know”, “Refuse to answer”, or “-8” is not allowed in Y406.

Y407 Which province are you living in? \_\_\_\_\_

**Interviewer’s Note:** Press the space key first to retrieve the list of provinces, and then select the one where the respondent lives.

[CAPI] Load the list of provinces, which can be searched with initial letters.

Y408 Which city are you living in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of cities, and then select the one where the respondent lives.

[CAPI] Load the list of cities, which can be searched with initial letters.

Y409 Which county/district are you living in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of counties/districts, and then select the one where the respondent lives.

[CAPI] Load the list of counties/districts, which can be searched with initial letters.

Y410 Which township/street are you living in? \_\_\_\_\_

Y411 Which village/residential community are you living in? \_\_\_\_\_

Y412 What is your detailed address within the village/community? \_\_\_\_\_

[CAPI]

#1 If Y303=1, continue to [Concluding Remark 1].

#2 If Y303=5, Y305A=1, continue to [Concluding Remark 1].

#3 If Y306=1, skip to [Concluding Remark 2].

#4 If Y307=1, skip to [Concluding Remark 3].

#5 If Y307=3, skip to [Concluding Remark 4].

[Concluding Remark 1] Thank you very much for your great support to our project. We will get in touch with you as soon as possible and conduct a face-to-face interview. Please keep your phone available. Best wishes and good luck.

Y501 Interviewer records whether the respondent's current location is within your reach.

Interviewer's Note: "Within the reach" means that the respondent is located in the sampled district/county where the interviewer is, or another sampled district/county within the sampled city where the interviewer can carry out the follow-up interview. Record "1" if this is the case; otherwise, record "5".

1. Yes

5. No

[CAPI]

#1 If Y501=1, and Y303=1, then when the interview ends, the CAPI system assigns the number "1001" automatically, creates a non-co-resident individual long-form questionnaire, and leaves it in the original interviewer's system.

#2 If Y501=1, and Y305A=1, then when the interview ends, the CAPI system assigns the number "1001" automatically, creates a non-co-resident individual long-form questionnaire, and leaves it in the original interviewer's system.

#3 If Y501=5, and Y303=1, then when the interview ends, the CAPI system assigns the number "8004" automatically, creates a non-co-resident individual long-form questionnaire, and sends it into the distribution system.

[Concluding Remark 2] Thank you very much for your great support to our project. We will get in touch with you as soon as possible and conduct a telephone interview. Please keep your phone available. Best wishes and good luck.

[CAPI] After accomplishing the questionnaire, assign the number of 8005 by the system automatically, create outgoing individual long questionnaire, enter it into the distribution system.

[Concluding Remark 3] Thank you very much for your great support to our project. We will get in touch with you as soon as possible and send you a web link to our online interview. We will send you a text message once the web link is successfully delivered. Please be aware of our message. Best wishes and good luck.

[CAPI] When the interview ends, the CAPI system assigns the number “8006” automatically, creates a non-coresident individual long-form questionnaire, and sends it into the distribution system.

[Concluding Remark 4] Thank you very much for your answering the phone. In order to double check my work, a colleague in our institute will contact you soon. We look forward to your cooperation at that time. Best wishes and good luck.

[CAPI] When the interview ends, the CAPI system assigns the number “8007” automatically, creates a non-coresident individual long-form questionnaire, and sends it into the distribution system.

## Part Z. Interviewer Observations

Z101 Respondent's sex is:

1. Male 5. Female

Z102 Respondent's cooperation during the interview:

Very low --1--2--3--4--5--6--7--> Very high

Z103 Respondent's interest in the interview:

Very low 1--2--3--4--5--6--7-- Very high

Z104 Respondent's concern about the interview:

Very low --1--2--3--4--5--6--7--> Very high

Z105 Respondent's impatience with the interview:

Very low--1--2--3--4--5--6--7--> Very high

# China Family Panel Studies

## 2012 Address Questionnaire

**CONFIDENTIALITY NOTE:** In accordance with Article 25 of Chapter III of the *Statistics Law of the People's Republic of China*, “[D]ata collected in statistical surveys that lead to identification of the surveyed individuals must be restricted to statistical uses only, and shall not be released by any *danwei* or individual.”



Institute of Social Science Survey, Peking University

April, 2012

## Part F. Family Address

[Prompt] Interviewer's Note: Read out the following paragraph, "Thank you very much for your cooperation. We have completed the Family Roster Questionnaire of your family. In order to keep you and your family updated about our project, and prepare for the interview next year, we would like to record your family's contact information."

FA101 Your mobile phone number: \_\_\_\_\_

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with "123" are invalid.

FA102 Your family's land-line phone number: (district number) \_\_\_\_\_

Interviewer's Note: If the family does not have a land-line phone, record "-8".

[CAPI]

#1 A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

#2 If FA102A= "-8", continue to FA103A.

FA103A Interviewer's Note: Which province does this family live in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of provinces, and then select the one where the respondent lives.

[CAPI] Load the list of provinces, which can be searched with initial letters.

FA103B Interviewer's Note: Which city does this family live in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of cities, and then select the one where the respondent lives.

[CAPI] Load the list of cities, which can be searched with initial letters.

FA103C Interviewer's Note: Which county/district does this family live in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of counties/districts, and then select the one where the respondent lives.

[CAPI] Load the list of counties/districts, which can be searched with initial letters.

FA103D Interviewer's Note: Which township/street does this family live in? \_\_\_\_\_

FA103E Interviewer's Note: Which village/residential community does this family live in? \_\_\_\_\_

FA103F What is your family's detailed address within the village/community? \_\_\_\_\_

FA104 What is your family's post code?

[CAPI] A post code is restricted to 6 digits.

[CAPI] If both FA101 and FA102B have no valid information (“Don’t know” or “Refuse to answer”), continue to FA105; otherwise, skip to Part C.

FA105 Please record the reason why the respondent cannot provide a phone number \_\_\_\_\_

[CAPI] “Don’t know” or “Refuse to answer” is not allowed in FA105.

## Part C. The Contact Person’s Address

[Prompt] Interviewer’s Note: Read out the following paragraph, “We just recorded your family’s contact information. Now would you please provide another contact person’s information so that we can contact you through him/her if we fail to contact you directly? This contact person could be a relative or friend who does not live with you.”

CI 101 What is the name of the contact person? \_\_\_\_\_

CI102 What is your relationship with this contact person? \_\_\_\_\_

1. Relative/Friend
2. Neighbor
3. Cadre of the village/residential community
4. Cadre of the Family Planning Commission
77. Other [please specify] \_\_\_\_\_

CI103 His/Her mobile phone number: \_\_\_\_\_

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with “123” are invalid.

CI104 His/Her land-line phone number: (district number) \_\_\_\_\_

Interviewer’s Note: If there is no fixed phone in the family, record “-8”.

[CAPI]

#1 A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

#2 If CI104A=-8, then skip to CI105A.

CI105A Which province does his/her family live in? \_\_\_\_\_

Interviewer’s Note: Press the space key first to retrieve the list of provinces, and then select the one where the respondent lives.

[CAPI] Load the list of provinces, which can be searched with initial letters.

CI105B Which city does his/her family live in? \_\_\_\_\_

Interviewer’s Note: Press the space key first to retrieve the list of cities, and then select the one where the respondent lives.

[CAPI] Load the list of cities, which can be searched with initial letters.

CI105C Which county/district does his/her family live in? \_\_\_\_\_

Interviewer's Note: Press the space key first to retrieve the list of counties/districts, and then select the one where the respondent lives.

[CAPI] Load the list of counties/districts, which can be searched with initial letters.

CI105D Which township/street does his/her family live in? \_\_\_\_\_

CI105E Which village/residential community does his/her family live in? \_\_\_\_\_

CI105F What is his/her family's detailed address within the village/community? \_\_\_\_\_

CI106 What is his/her family's post code?

[CAPI] A post code is restricted to 6 digits.

[CAPI] If both CI101 and CI102B have no valid information ("Don't know" or "Refuse to answer"), continue to CI107; otherwise, end this module.

CI107 Please record the reason why the respondent cannot provide a phone number \_\_\_\_\_

[CAPI] "Don't know" or "Refuse to answer" is not allowed in CI107.

CI2 Is there another contact person?

1. Yes (return to CI101)                      5. No (end this module)

## Part I. Personal Address

[Prompt] Interviewer's Note: Read out the following paragraph, "Thank you very much for your cooperation. We have completed your individual questionnaire. In order to keep you and your family updated about our project, and prepare for the interview next year, we would like to record your personal contact information."

IA101 Your mobile phone number: \_\_\_\_\_

[CAPI] A mobile phone number is restricted to 11 digits. Validate the mobile phone number. Phone numbers starting with "123" are invalid.

IA102 Your land-line phone number: (district number) \_\_\_\_\_

Interviewer's Note: If the respondent does not have a land-line phone, record "-8".

[CAPI]

#1 A land-line phone number is restricted to 7-8 digits, and the district number is restricted to 3-4 digits.

#2 If IA102A=-8, continue to IA101.

IA101 Your email address: \_\_\_\_\_

Interviewer's Note: Record "-8" if not applicable.

[CAPI] E-mail address should contain @.

IA104 Your QQ account: \_\_\_\_\_

Interviewer's Note: Record "-8" if not applicable.

IA105 Your MSN account: \_\_\_\_\_

Interviewer's Note: Record "-8" if not applicable.

[CAPI] MSN account should contain @.

IA106 What is your detailed mailing address? \_\_\_\_\_

IA107 What is your post code? \_\_\_\_\_

[CAPI] A post code is restricted to 6 digits.

[CAPI] If both IA101 and IA102B have no valid information ("Don't know" or "Refuse to answer"), continue to IA108; otherwise, end this module.

IA108 Please record the reason why the respondent cannot provide phone number \_\_\_\_\_

[CAPI] "Don't know" or "Refuse to answer" is not allowed in IA108.